# Dirichlet to Neumann Properties for Fourier Restrictions

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,  $x,\xi\in\mathbb{R}^n$ .

Notation:  $\xi' = (\xi_1, \xi_2, \dots, \xi_{n-1}) \in \mathbb{R}^{n-1}$ Work with paraboloid  $\{\xi_n = |\xi'|^2 = \xi_1^2 + \xi_2^2 + \dots + \xi_{n-1}^2\}.$ 

Let  $\Sigma$  be a bounded region of the paraboloid. Identify  $\xi \in \Sigma$  with the corresponding  $\xi' \in \mathbb{R}^{n-1}$ . We're going to work with  $f \in L^p(\mathbb{R}^n)$  where  $\hat{f}|_{\Sigma} \in L^2(\Sigma)$  by the Stein-Tomas theorem.

I'll say there is a Dirichlet-to-Neumann property if additional smoothness of  $\hat{f}|_{\Sigma}$  (e.g. belonging to  $H^{\ell}(\Sigma)$  for some  $\ell > 0$ ) implies that  $\left. \frac{\partial^k \hat{f}}{\partial \xi_n^k} \right|_{\Sigma}$  is smoother than its usual *a priori* estimates.

What are the *a priori* bounds for a restriction of  $\frac{\partial^k \hat{f}}{\partial \xi_n^k}$ ?

Keep in mind that  $\frac{\partial^k \hat{f}}{\partial \xi_n^k} \in W^{-k,p'}(\mathbb{R}^n)$  is very bad.

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In this talk I'll concentrate on the k = 1 case.

If  $\hat{f}|_{\Sigma} \equiv 0$ , that makes its restriction as smooth as possible. What can we say about  $\frac{\partial \hat{f}}{\partial \xi_n}$  in this case? If  $\hat{f}|_{\Sigma} \equiv 0$ , that makes its restriction as smooth as possible. What can we say about  $\frac{\partial \hat{f}}{\partial \xi_n}$  in this case?

### Theorem (G-Stolyarov, '20)

If 
$$f \in L^p(\mathbb{R}^n)$$
,  $1 \le p \le \frac{2n+2}{n+7}$ , and  $\hat{f}|_{\Sigma} \equiv 0$ , then  $\left\| \frac{\partial \hat{f}}{\partial \xi_n} \right\|_{L^2(\Sigma)} \lesssim \|f\|_p$ .

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 $\frac{\partial \hat{f}}{\partial \xi_n} \in L^2(\Sigma)$  is much better than the *a priori* bound in  $H^{-1}(\Sigma)$ .

Look at 
$$F(r) = \|\widehat{f}\|_{L^2(\Sigma+r\overline{e}_n)}^2 = \iint_{\mathbb{R}^{2n}} f(x)\overline{f}(y)\widehat{\Sigma}(x-y)e^{ir(x_n-y_n)}dxdy.$$

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Basic properties:  $F(r) \ge 0$ , and F(0) = 0 by assupption.

Show that F''(r) is bounded by  $||f||_p^2$ . Then  $0 \le F(\delta) \lesssim \delta^2 ||f||_p^2$ .

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Summary:  $\hat{f}|_{\Sigma+r\bar{e}_n}$  is a continuous  $L^2(\Sigma)$ -valued function of  $r \in [-1, 1]$ . But it is Lipschitz-continuous at r = 0 if  $\hat{f}$  vanishes on  $\Sigma$ .

## Prior Work

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Free resolvent 
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 $R_0^+(\lambda^2)$  is a Fourier multiplier with "symbol"  $\frac{1}{|\xi|^2-\lambda^2}+\frac{\pi i}{2\lambda}d\sigma_{|\xi|=\lambda}.$ 

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A resonance is a function  $\psi$  "close to  $L^2$ " satisfying  $\psi = -R_0^+(\lambda^2)V\psi$ . It's a eigenfunction of H if  $\psi \in L^2$ .

We'd like every resonance to be an eigenfunction.

Agmon: If V is real-valued, then each resonance has  $\widehat{V\psi}|_{|\xi|=\lambda} \equiv 0.$ 

Then  $R_0^+(\lambda^2)V\psi$  is better than the *a priori* estimates for the free resolvent, and it is better than the initial assumptions on  $\psi$ .

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Agmon worked with  $\psi$  and  $V\psi$  in weighted  $L^2(\mathbb{R}^n)$ , so that  $\widehat{V\psi} \in H^s(\mathbb{R}^n)$ . Then he reduced the special bound on  $R_0^+(\lambda^2)V\psi$  to the Hardy inequality. G-Schlag ('04) worked with  $V\psi\in L^p(\mathbb{R}^3)$ .

### Lemma

If 
$$\phi \in L^1(\mathbb{R}^3)$$
 and  $\left. \hat{\phi} \right|_{|\xi|=\lambda} \equiv 0$ , then  $R_0(\lambda^2)\phi \in L^2(\mathbb{R}^3)$ .

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There is an  $\mathbb{R}^n$  version of this lemma, with  $\phi \in L^p(\mathbb{R}^n)$ ,  $1 \le p \le \frac{2n+2}{n+5}$ . (G. '16)

Example:

The ball multiplier in  $\mathbb{R}^2$  typically maps  $L^1(\mathbb{R}^2)$  to  $L^q(\mathbb{R}^2)$  for any  $q > \frac{4}{3}$ .

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Conjecture: This is also true for any q > 1.

## Proving Dirichlet-to-Neumann Bounds

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• k = 0 assumption  $\hat{f}|_{\Sigma} \in H^{\ell}(\Sigma)$ .

• 
$$k = \kappa_p = \frac{n+1}{p} - \frac{n+3}{2}$$
 a priori bound  $\frac{\partial^k \hat{f}}{\partial \xi_n^k}\Big|_{\Sigma} \in H^{-k}(\Sigma).$ 

Note:  $k = \kappa_p$  is the same as  $p = \frac{2n+2}{n+3+2k}$ . It's the maximum number of derivatives allowed in our *a priori* bound.

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Then we expect to see  $\frac{\partial \hat{f}}{\partial \xi_n} \in L^2(\Sigma)$  if  $\ell = \frac{\kappa_p}{\kappa_p-1}$ .

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- The assumption  $\hat{f}|_{\Sigma} \in H^{\ell}(\Sigma)$  is much too fragile for Complex interpolation.
- Standard counterexamples (radial, translated, Knapp) introduce other constraints on  $\ell$ , k, and p.

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The last term on the right is the one we want to control.

The end result is a bound

$$ig\|rac{\partial \hat{f}}{\partial \xi_n}ig\|_{L^2(\Sigma)}^2 \lesssim \ \|f\|_p^2 + \|\hat{f}\|_{H^\ell(\Sigma)} + ig\|rac{\partial^2 \hat{f}}{\partial \xi_n^2}ig\|_{H^{-\ell}(\Sigma)}$$

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If you use the *a priori* estimate for k = 2, the conclusion is that  $\ell = 2$  is sufficient.

Iterating the Leibniz rule gets us to a bound in terms of  $\left\|\frac{\partial^k \hat{f}}{\partial \xi_n^k}\right\|_{H^{-(k-1)\ell}(\Sigma)}$ . If  $\kappa_p$  is an integer, we can obtain the optimal  $\ell = \frac{\kappa_p}{\kappa_p - 1}$  this way.

Let's consider the difference quotients  $F(r) = \frac{1}{r} [\hat{f}|_{\Sigma + r\bar{e}_n} - \hat{f}|_{\Sigma}].$ 

For  $r \neq 0$  this is a continuous  $L^2(\Sigma)$ -valued function of r. We would like to show it is continuous at r = 0 as well.

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Keeping the Riemann-Lebesgue Lemma in mind, it would suffice to take the Fourier transform in r and then show that

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In fact, that condition would suffice to show that the partial derivative  $\frac{\partial f}{\partial \xi_n}$  exists pointwise almost everywhere on  $\Sigma$ . Which is false for  $f \in L^p$ , p > 1, even if  $\hat{f}$  vanishes on  $\Sigma$ .

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So we need to use a weaker notion of integrability for  $\hat{F}(\rho)$  which still implies that F(r) is continuous.

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## Questions for Further Study

• That Ball Multiplier Conjecture.

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- what happens when n = 4? Or n = 3? (If the derivative of  $\hat{f}$  exists, it will be in  $L^q$  for some q < 2.)

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