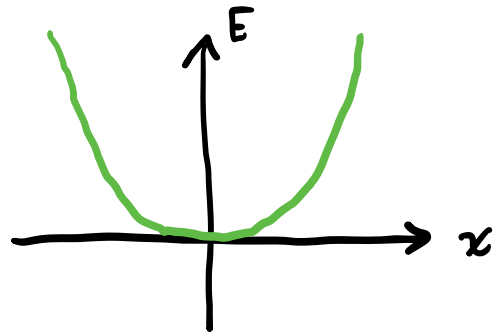


Chapter 7 Simple Harmonic Oscillator

$$\hat{H} = \frac{1}{2m} \hat{P}^2 + \frac{m\omega^2}{2} \hat{X}^2$$



Why important?

- exactly solvable
- basic example of all quantum field theories (e.g. photons, phonons, conduction electrons...)
- leading approximation to low-energy physics:

$$V(x) = \underbrace{V(x_0)}_{\text{irrelevant constant}} + (x-x_0) \cancel{V'(x_0)} + \frac{1}{2}(x-x_0)^2 V''(x_0) + \dots$$



$$\left(\begin{array}{l} \text{Classically: } E = \frac{m}{2} \dot{x}^2 + \frac{k}{2} x^2 \\ \Rightarrow m \ddot{x} = -kx \\ \Rightarrow x = A e^{i\omega t} + B e^{-i\omega t} \quad \omega / \omega = \sqrt{\frac{k}{m}} \end{array} \right)$$

Can solve directly from operator algebra without solving a differential equation.

(Analogously to way used angular momentum algebra $[\hat{J}_x, \hat{J}_y] = i\hbar \hat{J}_z$ to deduce $|j, m\rangle$ states.)

$$[\hat{X}, \hat{P}] = i\hbar$$

$$\Rightarrow [\hat{X}, \hat{H}] = i\frac{\hbar}{m}\hat{P} \quad \& \quad [\hat{P}, \hat{H}] = -i\hbar m\omega^2\hat{X}$$

$$\left(\text{Use: } [\hat{A}, \hat{B}\hat{C}] = [\hat{A}, \hat{B}]\hat{C} + \hat{B}[\hat{A}, \hat{C}] \right)$$

= Leibniz rule for commutators.

Find analog of $\hat{J}_{\pm} \doteq \hat{J}_x \pm i\hat{J}_y \dots$

$$\hat{a} \doteq \sqrt{\frac{m\omega}{2\hbar}} \left(\hat{X} + \frac{i}{m\omega} \hat{P} \right)$$

"lowering" or
"annihilation" op.

$$\hat{a}^+ \doteq \sqrt{\frac{m\omega}{2\hbar}} \left(\hat{X} - \frac{i}{m\omega} \hat{P} \right)$$

"raising" or
"creation" op.

Check!

$$\hat{X} = \sqrt{\frac{\hbar}{2m\omega}} (\hat{a} + \hat{a}^+)$$

$$\hat{P} = -i\sqrt{\frac{m\omega\hbar}{2}} (\hat{a} - \hat{a}^+)$$

$$[\hat{a}, \hat{a}^+] = 1 \quad (*)$$

$$\hat{H} = \hbar\omega \left(\hat{a}^+ \hat{a} + \frac{1}{2} \right)$$

- Number operator:

$$\hat{N} \doteq \hat{a}^\dagger \hat{a} \quad \hat{N}^\dagger = \hat{N}$$

Since $\hat{H} = \hbar\omega(\hat{N} + \frac{1}{2})$, $\Rightarrow$

$\hat{H}$ eigenstate = $\hat{N}$ eigenstate:

$$\begin{aligned} \hat{N}|n\rangle &= n|n\rangle & n \in \mathbb{R} \\ \Rightarrow \hat{H}|n\rangle &= E_n|n\rangle & \text{w/ } E_n \doteq \hbar\omega(n + \frac{1}{2}) \end{aligned}$$

- What is $\text{spec}(\hat{N})$? i.e. which n are eigenvalues?

Let $|\psi\rangle = \hat{a}|n\rangle$.

$$0 \leq \langle \psi | \psi \rangle = \langle n | \hat{a}^\dagger \hat{a} | n \rangle = \langle n | \hat{N} | n \rangle = n \langle n | n \rangle = n$$

$$\therefore n \geq 0.$$

- Check!

$$\begin{aligned} [\hat{N}, \hat{a}] &= -\hat{a} \\ [\hat{N}, \hat{a}^\dagger] &= +\hat{a}^\dagger \end{aligned}$$

$$\Rightarrow \hat{N}\hat{a}^\dagger|n\rangle = \hat{a}^\dagger(\hat{N}+1)|n\rangle = (n+1)\hat{a}^\dagger|n\rangle$$

$$\Rightarrow \begin{aligned} \hat{a}^+ |n\rangle &= C_+ |n+1\rangle && \text{"raising"} \\ \hat{a} |n\rangle &= C_- |n-1\rangle && \text{"lowering"} \end{aligned}$$

- There is a minimum value of n , $n = n_{\min}$

$$\Rightarrow \hat{a} |n_{\min}\rangle = 0$$

$$\Rightarrow \hat{N} |n_{\min}\rangle = \hat{a}^+ \hat{a} |n_{\min}\rangle = 0 \\ = n_{\min} |n_{\min}\rangle$$

$$\Rightarrow n_{\min} = 0.$$

$\therefore$ Apply raising operator $\hat{a}^+$

$$\Rightarrow \text{spec}(\hat{N}) = \{n = 0, 1, 2, 3, \dots\}$$

$$\hat{H} |n\rangle = E_n |n\rangle = \hbar \omega (n + \frac{1}{2}) |n\rangle \quad n = 0, 1, 2, 3, \dots$$

$$\bullet \quad \|\hat{a}^+ |n\rangle\|^2 = \|C_+ |n+1\rangle\|^2 = |C_+|^2 \langle n+1 | n+1 \rangle = |C_+|^2$$

$$\stackrel{||}{\langle n | \hat{a} \hat{a}^+ |n \rangle} = \langle n | (\hat{a}^+ \hat{a} + 1) |n \rangle = \langle n | (\hat{N} + 1) |n \rangle$$

$$= (n+1) \langle n | n \rangle = n+1.$$

$$\Rightarrow C_+ = \sqrt{n+1}.$$

$$\Rightarrow \hat{a}^+ |n\rangle = \sqrt{n+1} |n+1\rangle$$

check! →

$$\hat{a} |n\rangle = \sqrt{n} |n-1\rangle$$

$$\Rightarrow |n\rangle = \frac{1}{\sqrt{n!}} (\hat{a}^+)^n |0\rangle$$

← check!



$$\langle n|m\rangle = \delta_{n,m} \quad \sum_{n=0}^{\infty} |n\rangle \langle n| = 1$$

Position basis

$$\hat{a}|0\rangle = 0 \Rightarrow \langle x|\hat{a}|0\rangle = 0$$

$$\therefore 0 = \sqrt{\frac{m\omega}{2\hbar}} \langle x| \left(\hat{X} + \frac{i}{m\omega} \hat{P} \right) |0\rangle$$

$$= \sqrt{\frac{m\omega}{2\hbar}} \left(x \langle x|0\rangle + \frac{i}{m\omega} \left(-i\hbar \frac{d}{dx} \right) \langle x|0\rangle \right)$$

Denote $\varphi_n(x) \doteq \langle x|n\rangle$ energy eigenfunctions

$$\therefore 0 = \sqrt{\frac{m\omega}{2\hbar}} \left(x \varphi_0(x) + \frac{\hbar}{m\omega} \varphi_0'(x) \right)$$

Easy 1st-order differential equation:

$$\Rightarrow \varphi_0(x) = \left(\frac{m\omega}{\pi\hbar} \right)^{1/4} e^{-m\omega x^2/2\hbar} \quad (\text{Gaussian})$$

chosen so $1 = \langle 0|0 \rangle = \int dx |\varphi_0(x)|^2$.

• $\varphi_n(x)$?

$$\varphi_n(x) = \langle x|n \rangle = \frac{1}{\sqrt{n!}} \langle x|(\hat{a}^\dagger)^n|0 \rangle$$

$$= \frac{1}{\sqrt{n!}} \left(\sqrt{\frac{m\omega}{2\hbar}} \right)^n \left(x - \frac{\hbar}{m\omega} \frac{d}{dx} \right)^n \langle x|0 \rangle$$

$$= \frac{1}{\sqrt{n!}} \left(\frac{m\omega}{2\hbar} \right)^{n/2} \left(\frac{m\omega}{\pi\hbar} \right)^{1/4} \left(x - \frac{\hbar}{m\omega} \frac{d}{dx} \right)^n e^{-\frac{m\omega x^2}{2\hbar}}$$

$$= \frac{1}{\sqrt{n!}} \frac{1}{2^{n/2}} \left(\frac{m\omega}{\pi\hbar} \right)^{1/4} \cdot \underbrace{H_n \left(\sqrt{\frac{m\omega}{\hbar}} x \right)}_{\text{"Hermite polynomials"}} \cdot e^{-\frac{m\omega x^2}{2\hbar}}$$

"Hermite polynomials"

$$H_0(z) = 1$$

$$H_1(z) = 2z$$

$$H_2(z) = 4z^2 - 2$$

$$H_3(z) = 8z^3 - 12z$$

$\vdots$

$$H_n(-z) = (-1)^n H_n(z)$$

$$H_{n+1}(z) = 2z H_n(z) - H'_n(z)$$

- Time dependence

$$|\psi(t)\rangle = \sum_{n=0}^{\infty} e^{-iE_n t/\hbar} |n\rangle \langle n|\psi(0)\rangle$$

Example $|\psi(0)\rangle = C(3|2\rangle + 4i|4\rangle)$

What is C ?

What is $|\psi(t)\rangle$?

What is $\langle X \rangle(t)$?

What is $\langle X^2 \rangle(t)$?

What is $\langle E \rangle(t)$?

What is $\text{Prob}(1 < x < 2, t)$?

Quantization of electromagnetic (EM) field

($\equiv$ Quantum field theory $\equiv$ relativistic QM)

- Classically: $\vec{E}(\vec{x}, t)$ & $\vec{B}(\vec{x}, t)$ obey ME (vac)

$$\vec{\nabla} \cdot \vec{E} = \vec{\nabla} \cdot \vec{B} = \vec{\nabla} \times \vec{E} + \frac{1}{c} \frac{\partial \vec{B}}{\partial t} = \vec{\nabla} \times \vec{B} - \frac{1}{c} \frac{\partial \vec{E}}{\partial t} = 0$$

- sol'ns: "plane waves"

$\equiv$ complete orthon basis of $\vec{E}, \vec{B}$ func.

$$\vec{E}(\vec{x}, t) = \text{Re}(\vec{E}_0 e^{i(\vec{k} \cdot \vec{x} - \omega t)})$$

$$\vec{B}(\vec{x}, t) = \hat{k} \times \vec{E}$$

$$w/ \left\{ \begin{array}{lll} \hat{k} \equiv \vec{k}/k & \omega = ck & \hat{k} = \text{direction of light} \\ k \equiv |\vec{k}| & \vec{E}_0 \cdot \vec{k} = 0 & \omega = \text{frequency} \\ & & \lambda \equiv \frac{2\pi}{k} = \text{wavelength} \end{array} \right.$$

$$\vec{E}_0 \equiv \text{cplx polarization vector}$$

$$\frac{\text{energy}}{\text{vol}} = |\vec{E}_0|^2 \quad \frac{\text{momentum}}{\text{vol}} = \frac{\hat{k}}{c} |\vec{E}_0|^2$$

• E.g., take $\hat{k} = \hat{z}$, $\vec{E}_0 = E_{0x} \hat{x} + E_{0y} \hat{y}$

$$\text{Define } \left\{ \begin{array}{l} \hat{R} \equiv \frac{1}{\sqrt{2}} (\hat{x} + i\hat{y}) \\ \hat{L} \equiv \frac{1}{\sqrt{2}} (\hat{x} - i\hat{y}) \end{array} \right. \Rightarrow \vec{E}_0 = E_R \hat{R} + E_L \hat{L}$$

"Right - & Left-circularly polarized"

$$\frac{J_z}{\omega} = + \frac{1}{\omega} (|E_R|^2 - |E_L|^2) = \text{angular momentum of light}$$

Quantumly:

Each plane wave **mode** $(\vec{k}, L) \doteq (\vec{k}, \pm)$

is effectively described by a harmonic oscillator!

i.e., mode has quantized energies

$$E_{\vec{k}, \pm} = \hbar \omega (\hat{N}_{\vec{k}, \pm} + \frac{1}{2})$$

with interpretation

$\hat{N}_{\vec{k}, \pm}$ = counts **number** of "light particles"

= "photons" (Einstein, 1905)

corresponding to mode $(\vec{k}, \pm)$.

$$\begin{aligned} \Rightarrow \hat{H}_{EM} &= \int d^3k \hbar \omega (\hat{N}_{\vec{k}, +} + \frac{1}{2} + \hat{N}_{\vec{k}, -} + \frac{1}{2}) \\ &= \int d^3k \hbar \omega (\hat{a}_{\vec{k}, +}^\dagger \hat{a}_{\vec{k}, +} + \hat{a}_{\vec{k}, -}^\dagger \hat{a}_{\vec{k}, -} + 1) \end{aligned}$$

(infinite) constant, drop ↗

⇒ single photon has $H_{EM} = \hbar \omega$ ($\omega \doteq c|\vec{k}|$)

$\hat{a}_{\vec{k}+}^\dagger$ creation operator of $(\vec{k}+)$ photon

$\hat{a}_{\vec{k}+}$ annihilation op. of $(\vec{k}+)$ photon

...

• E+M Hilbert space $\mathcal{H} = \bigoplus_{\vec{k}} \mathcal{H}_{\vec{k}}$

and orthon basis $\mathcal{H}_{\vec{k}} = \{ |n, \pm\rangle, n=0,1,2,\dots \}$

$= \mathcal{H}_{s.no.} \otimes \mathcal{H}_{\text{helicity}}$

$\swarrow \quad \searrow$
 $\{ |n\rangle, n=0,1,2,\dots \} \quad \{ |+\rangle, |-\rangle \}$

$\dim \mathcal{H}_{\text{helicity}} = 2$: like spin $j=1/2$ H.S.

but is called "helicity = 1" H.S.

• From angular momentum in E+M, find ...

$$\hat{\vec{J}}_{\vec{k}} = \int d^3k \cdot \hbar \cdot (\hat{a}_{\vec{k}+}^\dagger \hat{a}_{\vec{k}+} - \hat{a}_{\vec{k}-}^\dagger \hat{a}_{\vec{k}-})$$

$$= \int d^3k \cdot \hbar \cdot (\hat{N}_{\vec{k}+} - \hat{N}_{\vec{k}-})$$

component of ang. mom. in $\hat{k}$ -direction,
is called "helicity" for relativistic particle.

$\Rightarrow$ single photon has $\begin{cases} J_k = +\hbar & \text{for } |\vec{k}+\rangle \\ J_k = -\hbar & \text{for } |\vec{k}-\rangle \end{cases}$

So more like spin $j=1$, but no $J_k=0$ state!

- From (linear) momentum in EM, find...

$$\begin{aligned} \hat{\vec{P}} &= \int d^3k \cdot \hbar \vec{k} (\hat{a}_{\vec{k}+}^\dagger \hat{a}_{\vec{k}+} + \hat{a}_{\vec{k}-}^\dagger \hat{a}_{\vec{k}-}) \\ &= \int d^3k \cdot \hbar \vec{k} \cdot (\hat{N}_{\vec{k}+} + \hat{N}_{\vec{k}-}) \end{aligned}$$

$\Rightarrow$ single photon has $\vec{P} = \hbar \vec{k}$.

- $\hat{P}_\mu \doteq (\hat{H}, c\hat{\vec{P}})$ $\mu=0,1,2,3$
 $\downarrow \quad \underbrace{\quad}_{c\vec{P}}$
 $\hat{H}$

transforms as a 4-vector under
Lorentz group. ($c \doteq$ speed of light)

Recall from special relativity

particle mass $\nearrow$ $(mc^2)^2 = P_\mu P^\mu \doteq P_0^2 - P_1^2 - P_2^2 - P_3^2$

$$= H^2 - c^2 \vec{P} \cdot \vec{P}$$

$$\begin{aligned} \therefore \text{For photon } (mc^2)^2 &= (\hbar\omega)^2 - c^2 \hbar^2 \vec{k} \cdot \vec{k} \\ &= \hbar^2 c^2 k^2 - \hbar^2 c^2 k^2 \\ &= 0 \end{aligned}$$

$\Rightarrow$ Photon is massless.

$\Rightarrow$ Photon velocity = c (special relativity). ✓

Generalization to any relativistic particle/field:

$$H = \bigoplus_{\vec{k}} H_{\vec{k}}$$

$\hat{a}_{\vec{k},m}^+$ = creates particle of momentum $\hbar\vec{k}$ & $\hat{J}_z = m\hbar$

$\hat{a}_{\vec{k},m}$ = annihilates " " " " " "

with $m \in \{-j, -j+1, \dots, j\}$

where $j \in \{0, \frac{1}{2}, 1, \dots\}$ = spin of particle

$$\Rightarrow \text{basis } H_{\vec{k}} = \{ |0\rangle, \hat{a}_{\vec{k},m_1}^+ |0\rangle, \hat{a}_{\vec{k},m_1}^+ \hat{a}_{\vec{k},m_2}^+ |0\rangle, \dots \}$$

$\uparrow$
"Fock space"

$$\Rightarrow \hat{H} = \int d^3k \sum_{m=-j}^j \hbar\omega \hat{N}_{\vec{k},m}$$

$$\hat{\mathbf{P}} = \int d^3k \sum_{m=-j}^j \hbar \vec{k} \hat{N}_{\vec{k},m}$$

$$\hat{J}_z = \int d^3k \sum_{m=-j}^j \hbar m \hat{N}_{\vec{k},m}$$

($\hat{J}_x, \hat{J}_y$ a little more complicated...)

$$\omega / \quad \omega \doteq \sqrt{c^2 k^2 + \frac{1}{\hbar^2} c^4 m^2} \quad m \doteq \text{mass particle}$$

- This works for any mass $m > 0$
 & spin $j \in \{0, 1, 2, \dots\}$ "bosons"

- For mass $m=0$:

spin j	$\rightarrow$	helicity
$m \in \{-j, -j+1, \dots, j\}$		$h \in \{-j, +j\}$
$\underbrace{\hspace{10em}}$		$\underbrace{\hspace{10em}}$
$2j+1$ values		2 values

- For spin $j \in \{\frac{1}{2}, \frac{3}{2}, \frac{5}{2}, \dots\}$ "fermions"

$$(\hat{a}_{\vec{k},m}^+, \hat{a}_{\vec{k},m}) \rightarrow (\hat{c}_{\vec{k},m}^+, \hat{c}_{\vec{k},m})$$

$$[\hat{a}_{\vec{k},m}, \hat{a}_{\vec{k}',m'}^+] = 0 \quad \rightarrow \quad \{\hat{c}_{\vec{k},m}, \hat{c}_{\vec{k}',m'}^+\} = 0$$

$$[\hat{a}_{\vec{k},m}, \hat{a}_{\vec{k}',m'}^+] = \delta_{\vec{k},\vec{k}'} \delta_{m,m'} \quad \rightarrow \quad \{\hat{c}_{\vec{k},m}, \hat{c}_{\vec{k}',m'}^+\} = \delta_{\vec{k},\vec{k}'} \delta_{m,m'}$$

↑
"Canonical commutation
relations"

↑
"Canonical anticommutation
relations"

- E.g. electrons: $m = .5 \text{ MeV}/c^2$ $j = 1/2$
gravitons: $m = 0$ $h = 2$
...
- All this is for **free** particles/fields.
- For interacting particles/fields it is
known how to write down a consistent*
quantum theory only for spin/helicity ≤ 1
!!??

(* consistent = causal, local)

- In particular gravity (helicity = 2)
and supergravity (helicity = $3/2$)
are not understood as consistent
quantum theories (yet) ...