

Ch. 6 Position & Momentum

- Start with particle moving in one dimension:
- classically position given by $-\infty < x < \infty$ $x \in \mathbb{R}$.
- Ignore spin (will come back next semester)
- Experimentally, we seem to find we can measure a particle's position (e.g., by scattering light off it) and find any value $x \in \mathbb{R}$.
i.e., position does not seem to be quantized.
- So posit: exists a **position operator**

$$\hat{X} = \hat{X}^\dagger \quad \text{with eigenvalues} = \mathbb{R}. \quad (*)$$

Note: $(*)$ is an idealization/simplification:

- In reality can only ever measure to some finite precision δx (e.g. wavelength of light), and also $\exists$ max. extent L (e.g. size of lab), so

$$x \in \{n \cdot \delta x, \quad n = 0, 1, 2, \dots, \frac{L}{\delta x}\}$$

finite set, so $\dim \mathcal{H} = \frac{L}{\delta x} < \infty$.

- Only get $x \in \mathbb{R}$ as limits $\delta x \rightarrow 0, L \rightarrow \infty$.
- Even in principle we don't think these limits exist:

(form black hole

$$\delta x_{\min} \approx l_{\text{Planck}} \doteq \sqrt{\hbar G / c^3} \approx 10^{-35} \text{ m} \quad \left\{ \begin{array}{l} \text{that size \& can't} \\ \text{see inside} \end{array} \right.$$

$$L_{\max} \approx \frac{c}{H_0} \approx \frac{1}{\sqrt{\Lambda_{\text{cc}}}} \approx 10^{26} \text{ m} \quad \left\{ \begin{array}{l} \text{size of universe} \\ \text{"causal horizon"} \end{array} \right.$$

$$\therefore \dim \mathcal{H}_{\text{universe}} \approx \left(\frac{L_{\max}}{\delta x_{\min}} \right)^3 \approx 10^{180}.$$

(b/c 3 dimensions)

Position operator $\hat{X}$

- Eigenvalues $x \in \mathbb{R} \Rightarrow \boxed{\hat{X} |x\rangle = x |x\rangle}$

where $\{|x\rangle, x \in \mathbb{R}\}$ is o-n eigenbasis:

$$\langle x | y \rangle = \delta_{x,y} \quad \sum_x |x\rangle \langle x| = 1$$

↑
??

- Completeness $\boxed{\int_{-\infty}^{\infty} dx |x\rangle \langle x| = 1}$

↑
??

$$\Rightarrow |\psi\rangle = \int_{-\infty}^{\infty} dx |x\rangle \langle x | \psi \rangle \equiv \int_{-\infty}^{\infty} dx \psi(x) |x\rangle$$

$$\boxed{\psi(x) \doteq \langle x | \psi \rangle = \text{"wave function"}}$$

= components of $|\psi\rangle$ in $\{|x\rangle\}$ basis.

- $\psi(x) = \langle x | \psi \rangle = \langle x | \left(\int_{-\infty}^{\infty} dy |y\rangle \langle y| \right) | \psi \rangle$

$$= \int_{-\infty}^{\infty} dy \langle x | y \rangle \langle y | \psi \rangle = \int_{-\infty}^{\infty} dy \langle x | y \rangle \psi(y)$$

$\Rightarrow$ call $\langle x | y \rangle \doteq \delta(x-y)$ "Dirac delta function"

and define it by property

$$\int_{-\infty}^{\infty} dy \delta(x-y) \psi(y) = \psi(x) \quad \text{for all } \psi$$

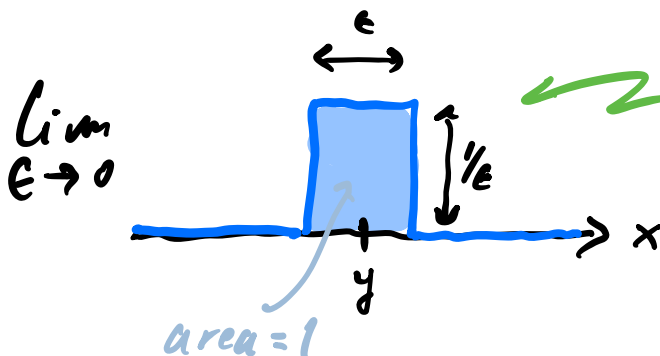
- Implies:

real: $\delta(x-y)^* = \delta(x-y)$

even: $\delta(x-y) = \delta(y-x)$

support @ 0: $\delta(x-y) = 0$ if $x \neq y$.
 $\delta(0) = \infty$

- $\delta(x-y)$ not really a function ("generalized func" or "distribution")



any other shape with area = 1 & max $\rightarrow \infty$ works

- $\langle \psi | \phi \rangle = \left(\int dx \psi^*(x) \langle x | \right) \left(\int dy \phi(y) |y\rangle \right)$
 $= \iint dx dy \psi^*(x) \phi(y) \langle x | y \rangle$

$$= \int \int dx dy \psi^*(x) \varphi(y) \delta(x-y)$$

$$\therefore \boxed{\langle \psi | \varphi \rangle = \int dx \psi^*(x) \varphi(x)}$$

$$\bullet 1 = \|\psi\rangle\|^2 \doteq \langle \psi | \psi \rangle = \int dx \psi^*(x) \psi(x) = \int dx |\psi(x)|^2$$

$$\Rightarrow \boxed{1 = \int dx |\psi(x)|^2} \quad \Rightarrow \lim_{|x| \rightarrow \infty} |\psi(x)| = 0.$$

Note: $|\psi\rangle$ dimensionless (pure number $\sim \sqrt{\text{probability}}$)
but $\psi(x)$ has dimension: $\frac{1}{\sqrt{\text{length}}}$

- $|x\rangle$ is not normalized! (Not physical state!)

$$\langle x | x \rangle = \delta(x-x) = \delta(0) = \infty$$

General rule: eigenstates corresponding to

continuous eigenvalues have
"delta-function normalization" $\langle x | y \rangle = \delta(x-y).$

- Born's rule:

$$\begin{aligned} \text{Prob}(x_0 \leq \hat{x} \leq x_1) &= \langle \psi | \hat{P}_{x_0 \leq x \leq x_1} | \psi \rangle \\ &= \langle \psi | \left(\int_{x_0}^{x_1} dx |x\rangle \langle x| \right) | \psi \rangle = \int_{x_0}^{x_1} dx |\psi(x)|^2 \end{aligned}$$

$$\text{Prob}(x_0 \leq \hat{x} \leq x_1) = \int_{x_0}^{x_1} dx |\psi(x)|^2$$

$$\therefore |\psi(x)|^2 dx = \text{Prob}(x \leq \hat{x} \leq x+dx)$$

$$\therefore |\psi(x)|^2 = \frac{\text{Prob}(x \leq \hat{x} \leq x+dx)}{dx} \doteq P(x)$$

"Probability density" $\uparrow$

• Expectation values:

$$\begin{aligned} \langle A \rangle &= \langle \psi | \hat{A} | \psi \rangle = \int dx dy \psi^*(x) \langle x | \hat{A} | y \rangle \psi(y) \\ &\doteq \int dx dy \psi^*(x) A(x, y) \psi(y) \end{aligned}$$

$$A(x, y) \doteq \langle x | \hat{A} | y \rangle$$

matrix elements of $\hat{A}$
in position basis

Compare $\langle A \rangle = \sum_{i,j} c_i^* A_{ij} c_j$

$c_i \doteq \langle i | \psi \rangle$
 $A_{ij} \doteq \langle i | \hat{A} | j \rangle$

$$= (c_1^* \dots c_n^*) \begin{pmatrix} A_{11} & \dots \\ \vdots & \ddots \\ \dots & A_{nn} \end{pmatrix} \begin{pmatrix} c_1 \\ \vdots \\ c_n \end{pmatrix}$$

- Example: $\hat{A} = \hat{X}$ $\langle x | \hat{X} | y \rangle = y \langle x | y \rangle = y \delta(x-y)$
 $\quad \quad \quad = x \langle x | y \rangle = x \delta(x-y)$

$$\Rightarrow \langle X \rangle = \int dx dy \psi^*(x) x \delta(x-y) \psi(y)$$

$$= \int dx \cdot x \cdot |\psi(x)|^2 = \int dx \cdot x \cdot P(x) \quad \checkmark$$

- Example: $\hat{A} = \hat{X}^n$ $\langle x | \hat{X}^n | y \rangle = x^n \delta(x-y)$

$$\Rightarrow \langle X^n \rangle = \int dx \cdot x^n \cdot |\psi(x)|^2 = \int dx \cdot x^n \cdot P(x).$$

- $\hat{A} = f(\hat{X}) \quad \dots$

$$\Rightarrow \langle f(x) \rangle = \int dx \cdot f(x) \cdot |\psi(x)|^2$$

Momentum Operator $\hat{P}$

- Just like $\hat{X}$: $\hat{P}^\dagger = \hat{P}$ & $\text{spec}(\hat{P}) = \mathbb{R}$

$$\Rightarrow \delta\text{-func O-u basis } \{ |p\rangle, p \in \mathbb{R} \}$$

s.t.

$$\hat{P} |p\rangle = p |p\rangle, \quad \langle p | q \rangle = \delta(p-q), \quad \int dp |p\rangle \langle p| = 1.$$

- $|p\rangle$ has dimension $\frac{1}{\sqrt{\text{momentum}}} = \sqrt{\frac{T}{ML}} = \sqrt{\frac{L}{\hbar}}$

$$\text{b/c } \delta(p-q) \quad \frac{1}{\text{mom.}} = \frac{T}{ML} = \frac{L}{\hbar}$$

- Relation of $\hat{X}$ to $\hat{P}$ bases

- $\hat{P}$ is generator of translations:

$$\hat{T}(a) \doteq e^{-ia\hat{P}/\hbar} \quad \text{shifts } x \rightarrow x+a$$

$$\begin{aligned} \Rightarrow |x+a\rangle &= \hat{T}(a)|x\rangle = \left(1 - \frac{ia}{\hbar}\hat{P} + O(a^2)\right)|x\rangle \\ &= |x\rangle - \frac{ia}{\hbar}\hat{P}|x\rangle + O(a^2) \end{aligned}$$

$$\Rightarrow \hat{P}|x\rangle = i\hbar \frac{|x+a\rangle - |x\rangle}{a} + O(a)$$

$\lim_{a \rightarrow 0} \Rightarrow$

$$\hat{P}|x\rangle = i\hbar \frac{d}{dx}|x\rangle$$



$$\text{adj. } \langle x|\hat{P} = -i\hbar \frac{d}{dx}\langle x|$$

- $\hat{P}|\psi\rangle = \hat{P} \int dx \psi(x) |x\rangle = \int dx \psi(x) \hat{P}|x\rangle$

$$= i\hbar \int dx \psi(x) \frac{d}{dx}|x\rangle$$

$$= -i\hbar \int dx \left(\frac{d\psi(x)}{dx}\right) |x\rangle$$

"integration by parts"

(IBP) *

$$\Rightarrow \langle x|\hat{P}|\psi\rangle = -i\hbar \frac{d\psi(x)}{dx}$$

[Note: Townsend & others say:

" $\hat{P} = -i\hbar \frac{d}{dx}$ in position basis "

" $\hat{P}|\psi\rangle = -i\hbar \left| \frac{d\psi}{dx} \right\rangle$ "

This is confusing and wrong!

* I.B.P.

$$\int_a^b dx f(x) \frac{dg(x)}{dx} = - \int_a^b dx \frac{df(x)}{dx} \cdot g(x) + \underbrace{f(b)g(b) - f(a)g(a)}$$

If $a, b \rightarrow \pm\infty$ & f or $g \rightarrow 0 \Rightarrow$ drop boundary terms $\Rightarrow 0$

$$\Rightarrow \int dx f \cdot \frac{dg}{dx} = - \int dx \frac{df}{dx} g$$

• Matrix elements of $\hat{P}$ in x -basis.

$$\begin{aligned} \langle y | \hat{P} | x \rangle &= \langle y | i\hbar \frac{d}{dx} | x \rangle = i\hbar \frac{d}{dx} \langle y | x \rangle \\ &= i\hbar \frac{d}{dx} \delta(y-x) = i\hbar \frac{d}{dx} \delta(x-y) \end{aligned}$$

Check: $i\hbar \frac{d}{dy} \delta(x-y) = \langle x | \hat{P} | y \rangle = \langle y | \hat{P} | x \rangle^* = -i\hbar \frac{d}{dx} \delta(x-y)$

$$\Rightarrow \boxed{\frac{d}{dy} \delta(x-y) = -\frac{d}{dx} \delta(x-y)}$$

Proof:

$$\int dy \varphi(y) \frac{d}{dy} \delta(x-y) = - \int dy \frac{d\varphi(y)}{dy} \delta(x-y) = - \frac{d\varphi(x)}{dx}$$

|| ? || ✓

$$- \int dy \varphi(y) \frac{d}{dx} \delta(x-y) = - \frac{d}{dx} \left(\int dy \varphi(y) \delta(x-y) \right) = - \frac{d\varphi(x)}{dx}$$

• Heisenberg algebra $[\hat{x}, \hat{p}] = ?$

• act on any state

$$[\hat{x}, \hat{p}]|\psi\rangle = (\hat{x}\hat{p} - \hat{p}\hat{x})|\psi\rangle$$

$$= \int dx (\hat{x}\hat{p} - \hat{p}\hat{x})|x\rangle \psi(x)$$

$$= \int dx \psi(x) (\hat{x} i\hbar \frac{d}{dx} |x\rangle - \hat{p} x |x\rangle)$$

$$= \int dx \psi(x) \{ i\hbar \frac{d}{dx} (\hat{x}|x\rangle) - x (\hat{p}|x\rangle) \}$$

$$= \int dx \psi(x) \{ i\hbar \frac{d}{dx} (x|x\rangle) - x i\hbar \frac{d}{dx} |x\rangle \}$$

$$= i\hbar \int dx \psi(x) \{ |x\rangle + x \cancel{\frac{d}{dx} |x\rangle} - x \cancel{\frac{d}{dx} |x\rangle} \}$$

$$= i\hbar \int dx \psi(x) |x\rangle = i\hbar |\psi\rangle$$

True for all $|\psi\rangle \therefore$

$$[\hat{X}, \hat{P}] = i\hbar$$

$$\Rightarrow \Delta X \cdot \Delta P \geq \frac{\hbar}{2}$$

"Heisenberg
uncertainty
relation"

• $\{|x\rangle\} \leftrightarrow \{|p\rangle\}$ change of basis:

What is $|p\rangle$ in terms of $|x\rangle$?

$$|p\rangle = \int dx |x\rangle \underbrace{\langle x|p\rangle}_{?}$$

$$\langle x|\hat{P} = -i\hbar \frac{d}{dx} \langle x|$$

$$p \langle x|p\rangle = \langle x|\hat{P}|p\rangle = -i\hbar \frac{d}{dx} \langle x|p\rangle$$

$$\Rightarrow \langle x|p\rangle = N e^{ipx/\hbar}$$

• Find N by

$$\begin{aligned} \delta(p-q) &= \langle q|p\rangle = \int dx \langle q|x\rangle \langle x|p\rangle = \int dx N^* e^{-iqx/\hbar} N e^{ipx/\hbar} \\ &= |N|^2 \int_{-\infty}^{\infty} dx e^{ix(p-q)/\hbar} = |N|^2 (2\pi\hbar) \delta(p-q). \end{aligned}$$

(useful math identity: $\int dx e^{ixp/\hbar} = 2\pi\hbar \delta(p)$)

$$\Rightarrow \langle x|p \rangle = \frac{1}{\sqrt{2\pi\hbar}} e^{ixp/\hbar}$$

• Momentum-space wave function

$$|\psi\rangle \doteq \int dp \tilde{\psi}(p) |p\rangle$$

$$\tilde{\psi}(p) = \langle p|\psi\rangle = \int dx \langle p|x\rangle \langle x|\psi\rangle = \frac{1}{\sqrt{2\pi\hbar}} \int dx e^{-ipx/\hbar} \psi(x)$$

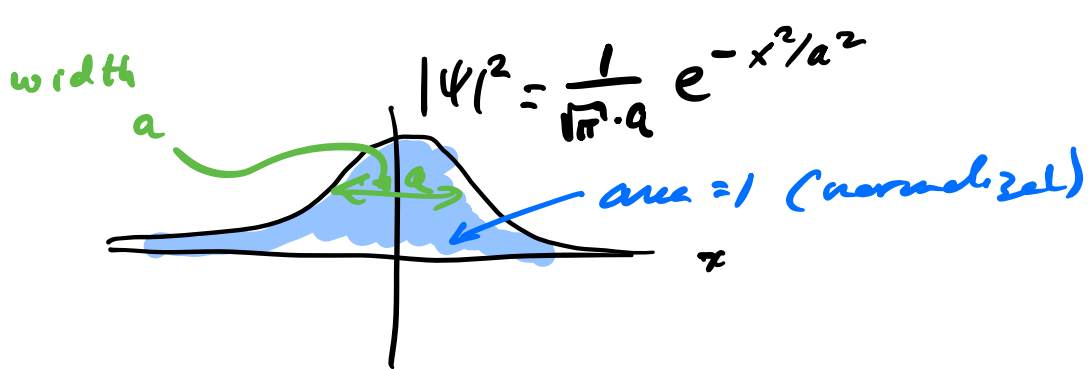
$\Rightarrow$ Fourier transform!

$$\tilde{\psi}(p) = \frac{1}{\sqrt{2\pi\hbar}} \int dx e^{-ipx/\hbar} \psi(x)$$

$$\psi(x) = \frac{1}{\sqrt{2\pi\hbar}} \int dp e^{+ipx/\hbar} \tilde{\psi}(p)$$

• Gaussian wave function:

$$\psi(x) = \langle x|\psi\rangle \doteq \frac{1}{\pi^{1/4} \sqrt{a}} e^{-x^2/2a^2}$$



Compute: $\langle X \rangle = 0$ $\langle X^2 \rangle = \frac{1}{2} a^2$

$\Rightarrow \Delta X = \frac{a}{\sqrt{2}}$

$\tilde{\Psi}(p) = \frac{1}{\sqrt{2\pi\hbar}} \int dx e^{-ipx/\hbar} \frac{1}{\pi^{1/4} \sqrt{a}} e^{-x^2/2a^2} = \dots$

$= \sqrt{\frac{a}{\hbar}} \frac{1}{\pi^{1/4}} e^{-a^2 p^2 / 2\hbar^2}$ Also Gaussian

$\Rightarrow \Delta P = \frac{\hbar}{a\sqrt{2}}$

$\therefore \Delta X \cdot \Delta P = \frac{\hbar}{2} = \text{minimum allowed by uncertainty relation}$

Time evolution

• $i\hbar \frac{d}{dt} |\psi(t)\rangle = \hat{H} |\psi(t)\rangle$ Schröd Eqn.

$\Rightarrow i\hbar \frac{d}{dt} \langle x | \psi(t) \rangle = \langle x | \hat{H} | \psi(t) \rangle$

Say: $\hat{H} = \frac{1}{2m} \hat{P}^2 + V(\hat{x})$

particle mass kinetic energy potential energy

particle moving in a potential

$$\begin{aligned}
 \langle x | \hat{H} | \psi(t) \rangle &= \frac{1}{2m} \langle x | \hat{P}^2 | \psi(t) \rangle + \langle x | V(\hat{x}) | \psi(t) \rangle \\
 &= \frac{1}{2m} (-i\hbar) \frac{d}{dx} \langle x | \hat{P} | \psi(t) \rangle + \langle x | V(x) | \psi(t) \rangle \\
 &= \frac{1}{2m} (-i\hbar \frac{d}{dx})^2 \langle x | \psi(t) \rangle + V(x) \langle x | \psi(t) \rangle \\
 &= -\frac{\hbar^2}{2m} \frac{\partial^2 \psi(x,t)}{\partial x^2} + V(x) \psi(x,t) \quad (*)
 \end{aligned}$$

where $\psi(x,t) \doteq \langle x | \psi(t) \rangle$.

Plug into (*) gives diff. eq. for $\psi(x,t)$:

$$i\hbar \frac{\partial \psi(x,t)}{\partial t} = -\frac{\hbar^2}{2m} \frac{\partial^2 \psi(x,t)}{\partial x^2} + V(x) \psi(x,t) \quad (1)$$

2nd-order, linear, partial differential equation.

- Since $\hat{H}$ is t -independent, we can solve (1) for its time dependence of $\psi(x,t)$ once & for all if we can solve the energy eigenvalue

problem:

$$\hat{H} |n\rangle = E_n |n\rangle \quad (2)$$

since then

$$|\psi(t)\rangle = \sum_n e^{-iE_n t/\hbar} |n\rangle \langle n|\psi(0)\rangle \quad (3)$$

• Write (2) & (3) in position basis:

$\langle x|n\rangle \doteq \varphi_n(x)$ "energy eigenfunction." Then (2) $\Rightarrow$

$$E_n \varphi_n(x) = E_n \langle x|n\rangle = \langle x|\hat{H}|n\rangle$$

$$= -\frac{\hbar^2}{2m} \frac{d^2 \varphi_n(x)}{dx^2} + V(x) \varphi_n(x)$$

using (*), So "time-independent Schrödinger":

$$-\frac{\hbar^2}{2m} \varphi_n''(x) + V(x) \varphi_n(x) = E_n \varphi_n(x)$$

$$(\varphi'' \doteq \frac{d^2}{dx^2} \varphi).$$

• (3) $\Rightarrow$ $\psi(x,t) = \sum_n e^{-iE_n t/\hbar} \varphi_n(x) \langle n|\psi(0)\rangle$

where $\langle n|\psi(0)\rangle = \int dy \langle n|y\rangle \langle y|\psi(0)\rangle = \int dy \varphi_n^*(y) \psi(y,0)$

- So, we want to solve 2nd-order, linear ODE:

$$-\frac{\hbar^2}{2m} \varphi'' + V(x) \varphi = E \varphi \quad (\star)$$

$(\varphi_n \rightarrow \varphi; E_n \rightarrow E)$ for $E \approx \varphi(x)$
 = energy eigenvalue equation!

General solution of a 2nd order ODE is

$$\varphi(x) = A \varphi_1(x) + B \varphi_2(x) \quad (\star\star)$$

for arbitrary $A, B \in \mathbb{C}$ & where $\varphi_{1,2}$ are two linearly-independent solutions

In other words, for each value of the eigenvalue E there is potentially a 2-dimensional eigenspace with basis wavefunctions $\{\varphi_1, \varphi_2\}$ (which depend on the value of E).

But this 2-d space of solutions $(\star\star)$ for each E is not in general allowed! This is because $(\star)$ is not complete: we need to specify **Boundary Conditions (BC's)**

The basic BCs come from demanding that states be normalizable, so

$$1 = \langle \varphi | \varphi \rangle = \int dx |\varphi|^2 \Rightarrow \lim_{x \rightarrow \pm\infty} |\varphi| = 0 \quad \text{BC1}$$

- We will see that BC's are actually a bit more subtle. The rule is:

→ Exists BC $\lim_{x \rightarrow -\infty} |\varphi| = 0$ only if

$$E < \lim_{x \rightarrow -\infty} V(x)$$

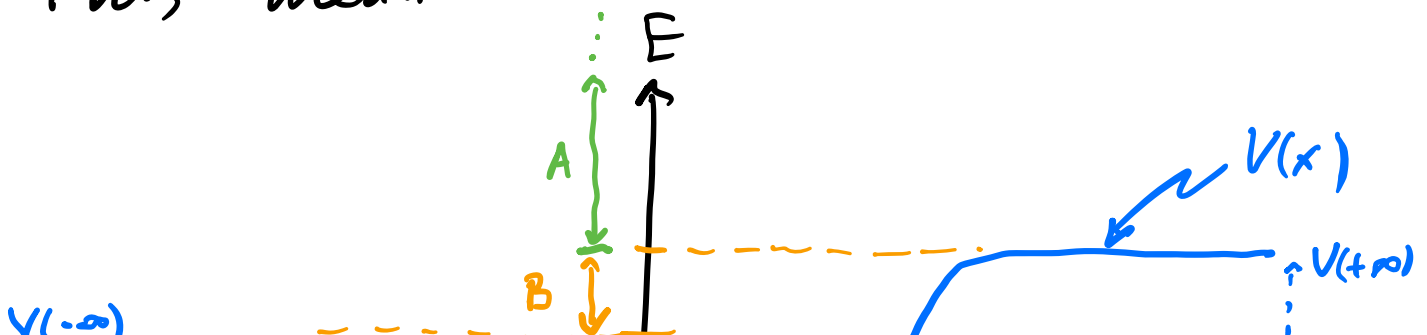
→ Exists BC $\lim_{x \rightarrow +\infty} |\varphi| = 0$ only if

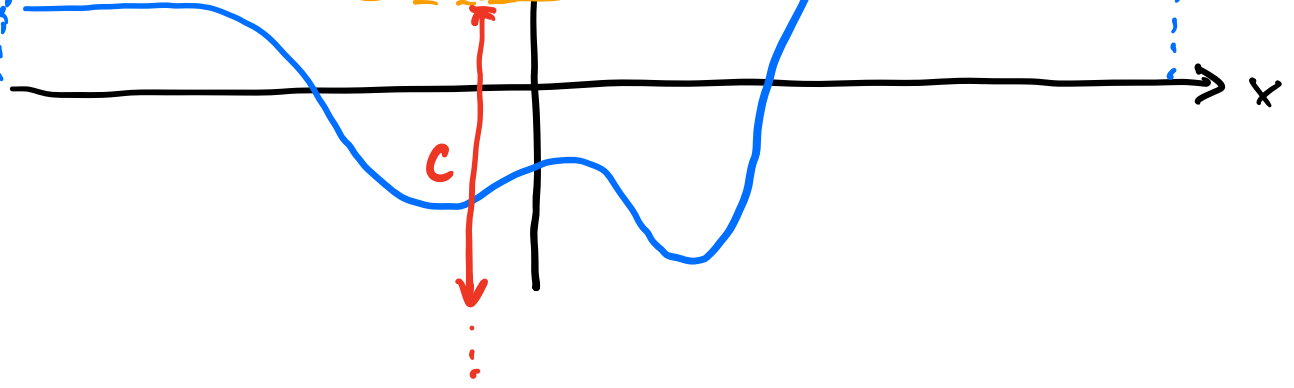
$$E < \lim_{x \rightarrow +\infty} V(x)$$

→ Otherwise no BC

BC2

This means:





If $E > V(+\infty)$ (region A):

no BC's, $\therefore$ 2-dim eigenspace each E

If $V(+\infty) > E > V(-\infty)$ (region B):

one BC, $\therefore$ 1-dim eigenspace each E

If $V(-\infty) > E$ (region C):

two BCs, $\therefore$ generically 0-dim eigenspace ea. E

$\Rightarrow$ generically E is not an eigenvalue!

But in region C there may be special values of E for which exists a special linear combination of $\varphi_1(x)$ & $\varphi_2(x)$ such that both BC's are satisfied.

For this discrete set of E 's, each has a 1-dimensional eigenspace. These are called the "bound states" of the system.

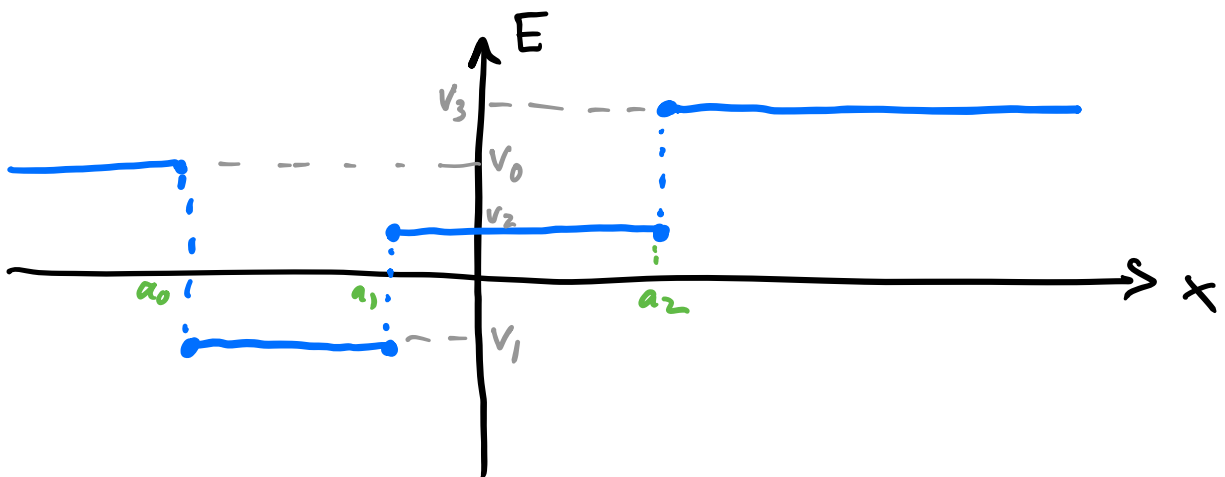
Simple potentials

- There is no closed-form solution for general $V(x)$.
So focus, to start, on special simple potentials:

(1) Constant: $V(x) = V_0$ (indep't of x)

(2) Piece-wise constant:

$$V(x) = \begin{cases} V_0 & x < a_0 \\ V_1 & a_0 < x < a_1 \\ V_2 & a_1 < x < a_2 \\ \vdots & \\ V_n & a_{n-1} < x \end{cases}$$



(1) Constant potential

$V(x) = V_0 = \text{constant} \Rightarrow$ free particle (no forces)

$$\Rightarrow \hat{H} = \frac{1}{2m} \hat{P}^2 + V_0 \hat{1}$$

$\Rightarrow [\hat{P}, \hat{H}] = 0$, so can simultaneously diagonalize $\hat{P}$ & $\hat{H}$

$\Rightarrow$ p-basis = energy eigenbasis!

$$\hat{H} |p\rangle = \left(\frac{1}{2m} p^2 + V_0 \right) |p\rangle \doteq E_p |p\rangle$$

$$E_p \doteq \frac{p^2}{2m} + V_0. \quad \Leftrightarrow \quad p \doteq \sqrt{2m(E_p - V_0)}$$

- $E_p \geq V_0$, so all $E \geq V_0$ are energy eigenvalues
 $\Rightarrow$ continuous energy spectrum.
- $E_{-p} = E_p$, so $\hat{H} |-p\rangle = E_p |-p\rangle$
 $\Rightarrow$ each $E_p > V_0$ is doubly degenerate.
- General sol'n of Schröd. Eqn.

$$|\psi(t)\rangle = \int dp \, e^{-iE_p t/\hbar} |p\rangle \underbrace{\langle p|\psi(0)\rangle}_{\equiv \tilde{\Psi}(p,0)}$$

$$= \int dp \, e^{-\frac{ip^2 t}{2m\hbar}} e^{-iV_0 t/\hbar} \tilde{\Psi}(p,0) |p\rangle$$

$$= \underbrace{e^{-iV_0 t/\hbar}} \left[\int dp \, e^{-\frac{ip^2 t}{2m\hbar}} \tilde{\Psi}(p,0) |p\rangle \right]$$

↓
 overall phase = unobservable → drop!
 (reflects fact that overall zero of energy is unobservable)

$$\therefore \boxed{\tilde{\Psi}(p, t) \equiv \langle p | \Psi(t) \rangle = e^{-\frac{i p^2 t}{2m\hbar}} \tilde{\Psi}(p, 0)}$$

- This is solution in momentum basis.
 What is it in position basis?

$$\hat{H} |\varphi\rangle = E |\varphi\rangle \Rightarrow -\frac{\hbar^2}{2m} \varphi''(x) + V_0 \varphi(x) = E \varphi(x)$$

$$\Rightarrow \varphi'' = -\frac{2m}{\hbar^2} (E - V_0) \varphi \quad (*)$$

Recall simple diff. eqns:

$$\varphi'' = -k^2 \varphi \Rightarrow \varphi = A e^{ikx} + B e^{-ikx} \left. \vphantom{\varphi} \right\} \text{oscillatory} \\ (= \tilde{A} \cos kx + \tilde{B} \sin kx)$$

$$\varphi'' = +\kappa^2 \varphi \Rightarrow \varphi = A e^{\kappa x} + B e^{-\kappa x} \left. \vphantom{\varphi} \right\} \text{exponential}$$

$\therefore$ 2 cases for (*):

$$\textcircled{1} \underline{E > V_0} \Rightarrow k \doteq \frac{1}{\hbar} \sqrt{2m(E - V_0)} \quad \& \quad \varphi = A e^{ikx} + B e^{-ikx}$$

$$\textcircled{2} \underline{E < V_0} \Rightarrow \kappa \doteq \frac{1}{\hbar} \sqrt{2m(V_0 - E)} \quad \& \quad \varphi = A e^{\kappa x} + B e^{-\kappa x}$$

In case (2), $\lim_{x \rightarrow +\infty} |\varphi| \sim |A| e^{Kx} \rightarrow \infty$ unless $A=0$
 $\lim_{x \rightarrow -\infty} |\varphi| \sim |B| e^{-Kx} \rightarrow \infty$ unless $B=0$.

$\therefore |\varphi| \rightarrow \infty$ unless $A=B=0 \Rightarrow$ no solution.

$\therefore$ No normalizable solutions for $E < V_0$. ✓

In case (1), $\lim_{x \rightarrow \pm\infty} |\varphi|$ oscillates between $\pm(|A|+|B|)$

$\therefore$ does not diverge, but still get $\int dx |\varphi|^2 = \infty$
 so not normalizable. !?

Compare to momentum space solution: found

$$|\varphi\rangle = A|p\rangle + B|-p\rangle \quad \text{w/ } p = \hbar k.$$

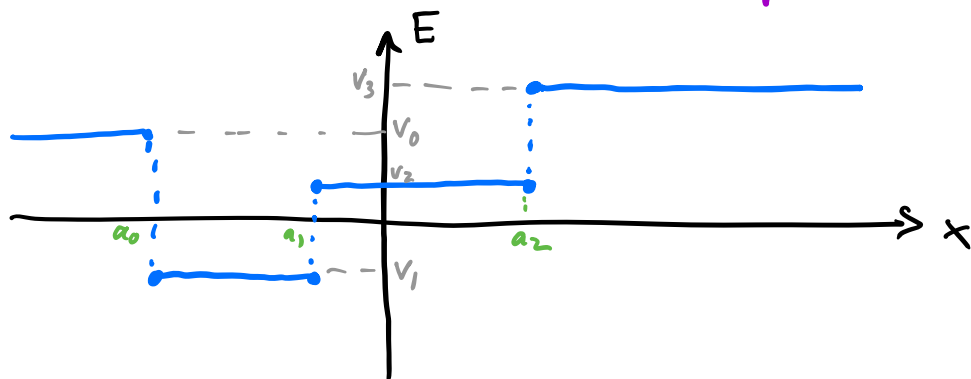
$$\begin{aligned} \Rightarrow \langle \varphi | \varphi \rangle &= (A^* \langle p| + B^* \langle -p|) (A|p\rangle + B|-p\rangle) \\ &= |A|^2 \delta(p-p) + (AB^* + BA^*) \delta(\cancel{p+p}) + |B|^2 \delta(p-p) \\ &= (|A|^2 + |B|^2) \delta(0) = \infty, \end{aligned}$$

also not normalizable.

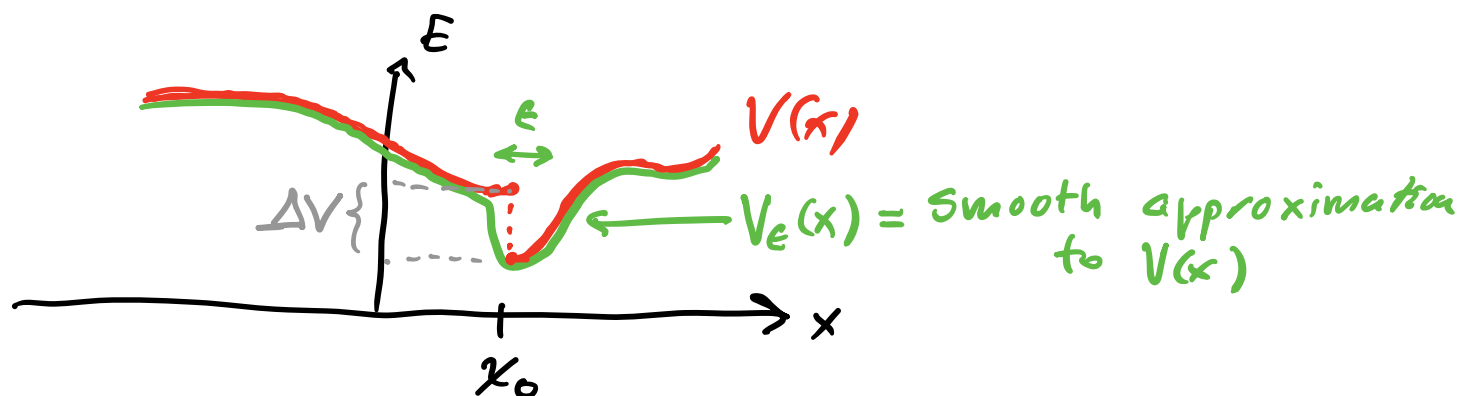
Math rule: if φ oscillates @ $\pm\infty$ but does not grow, then φ is S-function not normalizable.

So we allow all $E > V_0$ as doubly-degenerate eigenvalues. ✓

(2) Piece-wise constant potentials



So need to figure out what happens at a discontinuity in $V(x)$:



Look at $\lim_{\epsilon \rightarrow 0} \left(-\frac{\hbar^2}{2m} \varphi'' + (V_\epsilon(x) - E) \varphi = 0 \right)$. (*)

$$\int_{x_0-\epsilon}^{x_0+\epsilon} dx (*) \Rightarrow 0 = \lim_{\epsilon \rightarrow 0} \left[-\frac{\hbar^2}{2m} (\varphi'(x_0+\epsilon) - \varphi'(x_0-\epsilon)) + \int_{x_0-\epsilon}^{x_0+\epsilon} dx (V_\epsilon(x) - E) \varphi(x) \right]$$

$$\Rightarrow \lim_{\epsilon \rightarrow 0} |\varphi'(x_0+\epsilon) - \varphi'(x_0-\epsilon)| = \frac{2m}{\hbar^2} \lim_{\epsilon \rightarrow 0} \left| \int_{x_0-\epsilon}^{x_0+\epsilon} dx (V_\epsilon(x) - E) \varphi(x) \right|$$

$$< \frac{2m}{\hbar^2} \lim_{\epsilon \rightarrow 0} 2\epsilon \cdot |\Delta V \cdot \varphi(x_0)| = 0$$

$\Rightarrow \boxed{\varphi'(x) \text{ \& \& } \varphi(x) \text{ are continuous for finite } \Delta V}$ (MC)

"Matching Condition" ↗

- But, if $\Delta V \rightarrow \infty$, can get

$$\lim_{\epsilon \rightarrow 0} \underbrace{\epsilon}_0 \underbrace{|\Delta V \cdot \varphi(x_0)|}_{\infty} > 0$$

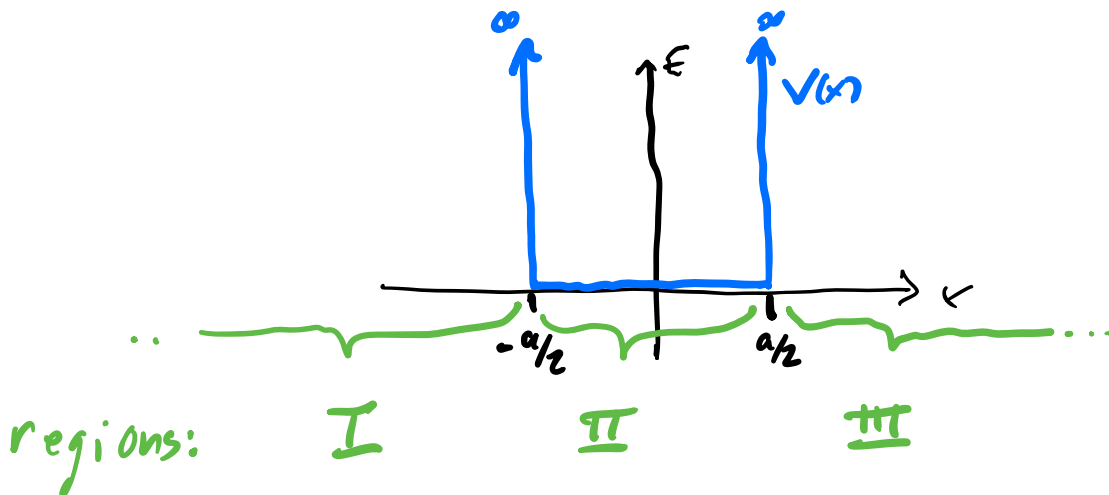
so find

$\varphi(x)$ continuous, but $\varphi'(x)$ can have finite jump at $\Delta V = \infty$ discontinuity.

MC_∞

(2a) Particle in a box

$$V(x) = \begin{cases} 0 & |x| < a/2 \\ \infty & |x| > a/2 \end{cases}$$



$$\hat{H} = \begin{cases} \frac{1}{2m} \hat{p}^2 & \text{in region II} \\ \infty & \text{in regions I \& III.} \end{cases}$$

so, energy eigenvalue problem

$$\hat{H}|n\rangle = E_n |n\rangle \quad \text{w/ } |n\rangle := \int dx \varphi_n(x) |x\rangle \Rightarrow$$

I: $\infty \cdot \varphi_n(x) = E_n \varphi_n(x) \quad x < -a/2$

II: $-\frac{\hbar^2}{2m} \varphi_n''(x) = E_n \varphi_n(x) \quad -a/2 < x < a/2$

III: $\infty \cdot \varphi_n(x) = E_n \varphi_n(x) \quad a/2 < x$

• In regions I & III, for any E_n only solution is

$$\varphi_n(x) = 0 \quad x < -a/2 \text{ \& } x > a/2$$

(Matches classical expectation.)

• In region II ($|x| < a/2$):

$$\varphi_n'' = -\frac{2m}{\hbar^2} E_n \varphi_n \equiv \begin{cases} -k^2 \varphi_n & \text{if } E_n > 0 \\ +\kappa^2 \varphi_n & \text{if } E_n < 0 \end{cases}$$

with $k \doteq \frac{1}{\hbar} \sqrt{2m E_n}$ & $\kappa \doteq \frac{1}{\hbar} \sqrt{2m (-E_n)}$.

$\therefore$ If $E_n > 0 \Rightarrow \varphi_n^{(\text{II})} = A e^{ikx} + B e^{-ikx}$

$$\text{If } E_n < 0 \Rightarrow \varphi_n^{(II)} = A e^{Kx} + B e^{-Kx}$$

- Now use matching condition:

MC_∞:
$$\begin{aligned} \varphi_n^{(II)}\left(-\frac{a}{2}\right) &= \varphi_n^{(I)}\left(-\frac{a}{2}\right) = 0 \\ \varphi_n^{(II)}\left(+\frac{a}{2}\right) &= \varphi_n^{(III)}\left(+\frac{a}{2}\right) = 0 \end{aligned}$$

- If $E_n < 0$: MC $\Rightarrow$

$$\begin{cases} A e^{K(-\frac{a}{2})} + B e^{-K(-\frac{a}{2})} = 0 \\ A e^{K(\frac{a}{2})} + B e^{-K(\frac{a}{2})} = 0 \end{cases} \Rightarrow \begin{cases} A + B e^{Ka} = 0 \\ A + B e^{-Ka} = 0 \end{cases}$$

$$\Rightarrow B(e^{Ka} - e^{-Ka}) = 0 \Rightarrow B = 0 \Rightarrow A = 0$$

$\therefore$ **No solution!** $\Rightarrow$ No eigenenergies < 0 .
(Makes sense classically.)

- If $E_n > 0$: MC $\Rightarrow$

$$\begin{cases} A e^{ik(-\frac{a}{2})} + B e^{-ik(-\frac{a}{2})} = 0 \\ A e^{ik(\frac{a}{2})} + B e^{-ik(\frac{a}{2})} = 0 \end{cases} \Rightarrow \begin{cases} B = -A e^{-ika} \\ B = -A e^{ika} \end{cases}$$

$$\Rightarrow A(e^{ika} - e^{-ika}) = 0$$

$\Rightarrow$ Either $A = 0 \Rightarrow B = 0 \Rightarrow$ no solution,

or $A \neq 0 \text{ \& } e^{2ika} = 1. \quad (*)$

- $e^{i\theta} = 1$ iff $\theta = 2\pi n$, $n \in \mathbb{Z}$, therefore

$(*) \Rightarrow k = k_n \doteq \frac{\pi}{a} n, \quad n \in \mathbb{Z}. \Rightarrow E_n = \frac{\hbar^2 k_n^2}{2m} = \frac{\hbar^2 \pi^2 n^2}{2ma^2}$

$\& \quad B = -A e^{i\pi n} = (-1)^{n+1} A$

$\Rightarrow \varphi_n(x) = \begin{cases} A (e^{ik_n x} - (-1)^n e^{-ik_n x}) & |x| < a/2 \\ 0 & |x| > a/2 \end{cases}$

Solution!

- Actually, we have over-counted the solutions
 $\varphi_n = (-1)^{n+1} \varphi_{-n}$ since $k_{-n} = -k_n$.

So we only have independent solutions for
 $n \in \{1, 2, 3, \dots\}$.

$(\varphi_{n=0} \equiv 0).$

- Note that:

$$\varphi_n(x) = \begin{cases} 2iA \cdot \sin(k_n x) & n \text{ even} \\ 2A \cdot \cos(k_n x) & n \text{ odd.} \end{cases}$$

- Note also that solving the eigenvector equation does not determine the overall normalization "A" of $|n\rangle$ or $\varphi_n(x)$.
- To fix A, note that we have found a **discrete** set of eigenvalues, so we should normalize the eigenvectors using

$$\delta_{n,m} = \langle n|m \rangle = \int dx \varphi_n^*(x) \varphi_m(x).$$

In particular

$$\begin{aligned}
 1 &= \int dx |\varphi_n(x)|^2 = \int_{-a/2}^{a/2} dx |\varphi_n|^2 \\
 &= |A|^2 \int_{-a/2}^{a/2} dx |e^{ik_n x} - (-1)^n e^{-ik_n x}|^2 \\
 &= |A|^2 \int_{-a/2}^{a/2} dx \left(2 - (-1)^n [e^{2ik_n x} + e^{-2ik_n x}] \right) \\
 &= 2|A|^2 \cdot a - 2(-1)^n |A|^2 \int_{-a/2}^{a/2} \cos(2\pi n \frac{x}{a}) dx
 \end{aligned}$$

$$\therefore |A| = \frac{1}{\sqrt{2a}} \quad \&$$

$$\Rightarrow \varphi_n(x) = \sqrt{\frac{2}{a}} \begin{cases} \sin(\frac{n\pi x}{a}) & n=2, 4, 6, \dots \\ \cos(\frac{n\pi x}{a}) & n=1, 3, 5, \dots \end{cases}$$

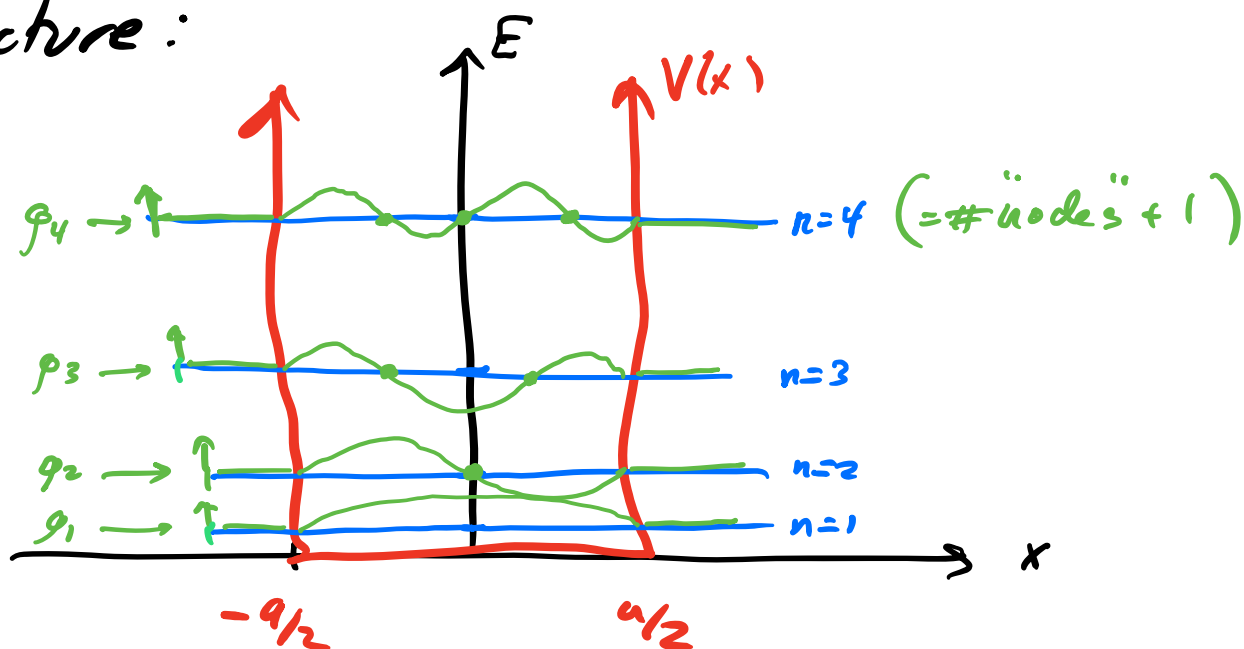
- As a check, the must automatically be orthogonal: for $n \neq m$:

$$0 = \langle n | m \rangle = \int dx \varphi_n^* \varphi_m$$

$$\propto \int_{-a/2}^{a/2} dx (e^{-ik_n x} - (-1)^n e^{ik_n x}) (e^{ik_m x} - (-1)^m e^{-ik_m x})$$

$$= \dots = 0 \quad \checkmark \text{ check!}$$

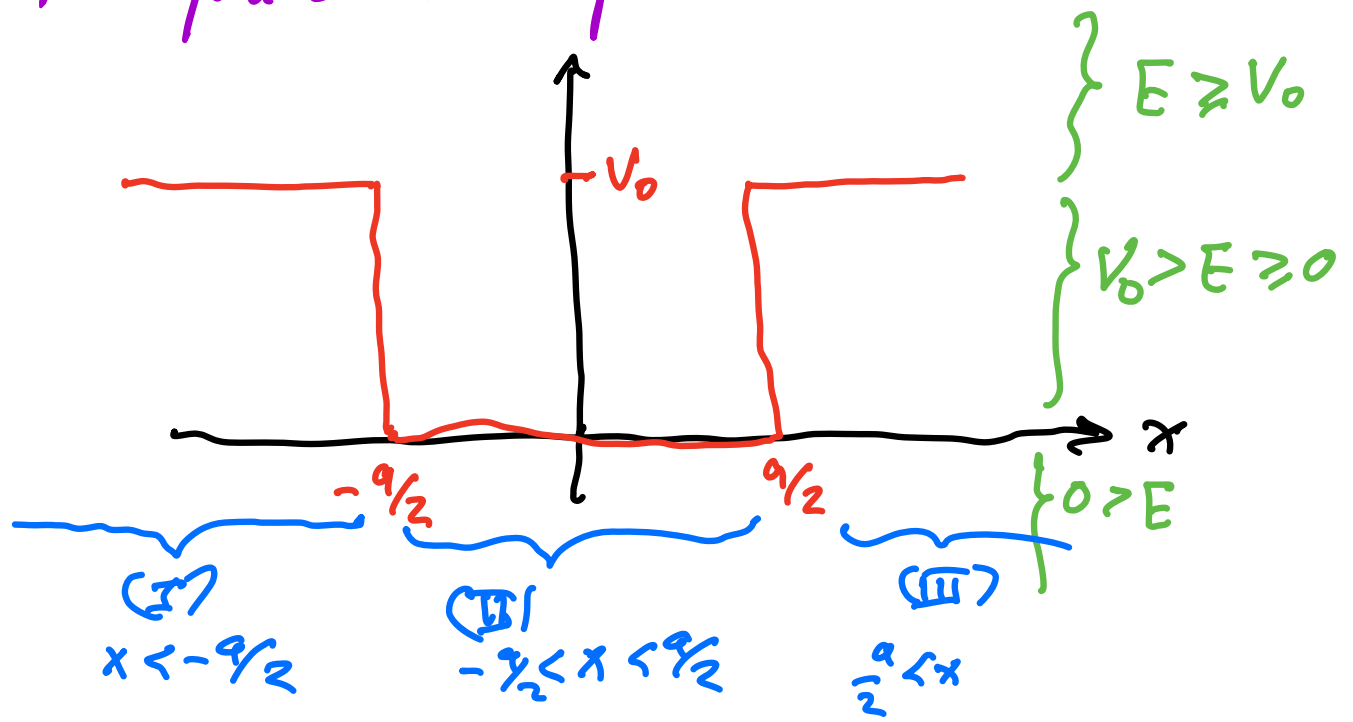
- Picture:



- Question from class: exist infinitely many more normalizable orthogonal wave functions in 1-particle Hilbert space than are in the energy eigenbasis!

Example: $\varphi_n(x + ma) \quad |m| > 1$.

(2b) Square-well potential



$$V(x) = \begin{cases} 0 & |x| < a/2 \\ V_0 & |x| > a/2 \end{cases}$$

Set up problem (will not solve; see problem set...)

a) $0 \leq E < V_0$ (Bound states)

$$\hat{H} |\phi\rangle = E |\phi\rangle \quad \langle x | \phi \rangle = \phi(x)$$

$$-\frac{\hbar^2}{2m} \phi'' + V(x) \phi = E \phi$$

$$\phi \doteq \begin{cases} \phi_I & x < -a/2 \\ \phi_{II} & -a/2 < x < a/2 \\ \phi_{III} & a/2 < x \end{cases}$$

- $-\frac{\hbar^2}{2m} \varphi_{I,II}'' + V_0 \varphi_{I,II} = E \varphi_{I,II}$

$$\Rightarrow \varphi_{I,II}'' = \kappa^2 \varphi_{I,II} \quad \boxed{\kappa^2 \doteq \frac{2m(V_0 - E)}{\hbar^2}} \quad (\kappa > 0)$$

$$\Rightarrow \left. \begin{aligned} \varphi_I &= A e^{\kappa x} + B e^{-\kappa x} \\ \varphi_{II} &= \underbrace{\xi e^{\kappa x}}_{\substack{\uparrow \\ \text{(not energy!)}}} + \mathcal{F} e^{-\kappa x} \end{aligned} \right\}$$

- $-\frac{\hbar^2}{2m} \varphi_{II}'' + 0 \cdot \varphi_{II} = E \varphi_{II}$

$$\Rightarrow \varphi_{II}'' = -k^2 \varphi_{II} \quad \boxed{k^2 \doteq \frac{2mE}{\hbar^2}} \quad (k > 0)$$

$$\Rightarrow \varphi_{II} = \mathcal{C} e^{ikx} + \mathcal{D} e^{-ikx} \quad \}$$

- To solve, need BCs & MCs:

BCs: $\lim_{|x| \rightarrow \infty} \varphi(x) = 0$

$$\Rightarrow \lim_{x \rightarrow -\infty} \varphi_I(x) = 0 \Rightarrow \boxed{B = 0}$$

$$\Rightarrow \lim_{x \rightarrow +\infty} \varphi_{II}(x) = 0 \Rightarrow \boxed{\xi = 0}$$

MCs: φ & φ' continuous @ $x = \pm \frac{a}{2}$

$$x = -a/2 \Rightarrow \begin{cases} \varphi_I(-a/2) = \varphi_{II}(-a/2) \\ \varphi_I'(-a/2) = \varphi_{II}'(-a/2) \end{cases}$$

$$\Rightarrow \begin{cases} A e^{-ka/2} + B e^{ka/2} = C e^{-ika/2} + D e^{ika/2} \\ A k e^{-ka/2} - i k B e^{ka/2} = C i k e^{-ika/2} - D i k e^{ika/2} \end{cases}$$

$$\Rightarrow \boxed{2 \text{ lin. eqns. for } (C, D)} \quad \text{MC}(-a/2)$$

$$x = +a/2 \Rightarrow \varphi_{II}(a/2) = \varphi_{III}(a/2) \text{ and } \dots$$

$$\Rightarrow \boxed{2 \text{ lin. eqns. for } (C, D, F)} \quad \text{MC}(a/2)$$

Solve MC(-a/2) for C, D into A:

$$\Rightarrow \begin{cases} C = A \cdot a(E) \\ D = A \cdot b(E) \end{cases}$$

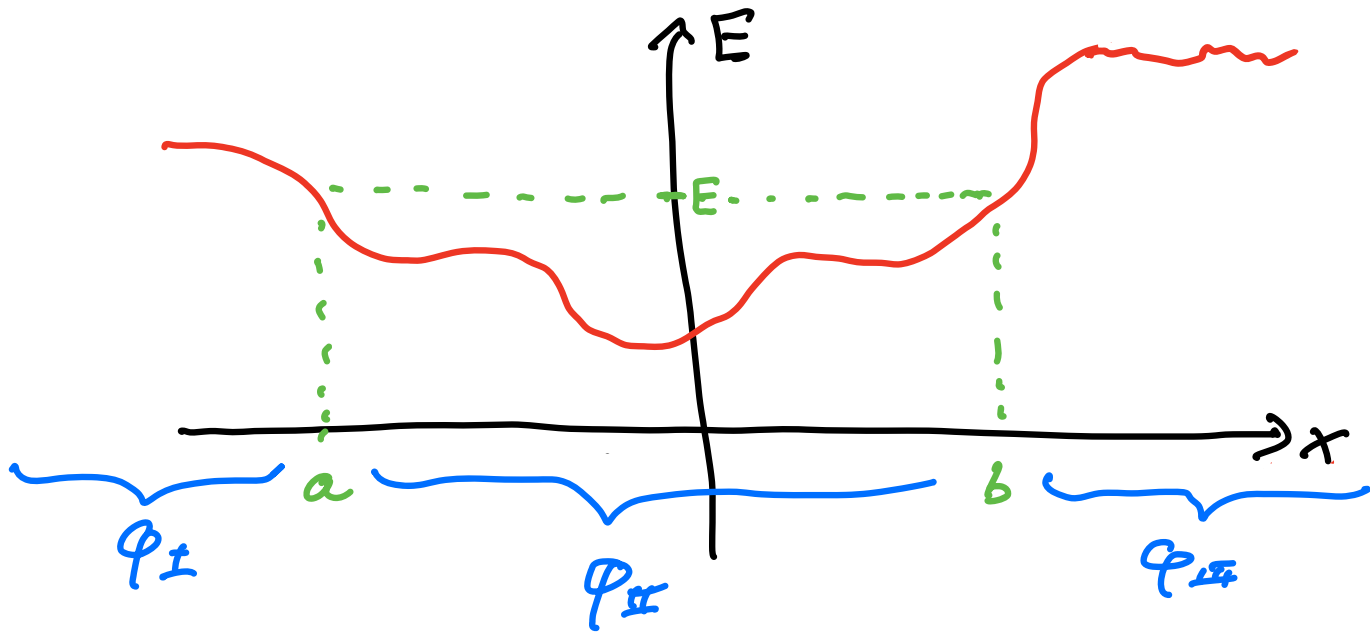
Solve MC(+a/2) for C, D into F:

$$\Rightarrow \begin{cases} C = F \cdot c(E) \\ D = F \cdot d(E) \end{cases}$$

$$\Rightarrow \frac{a}{F} = \boxed{\frac{c(E)}{a(E)} = \frac{d(E)}{b(E)}} \quad (*)$$

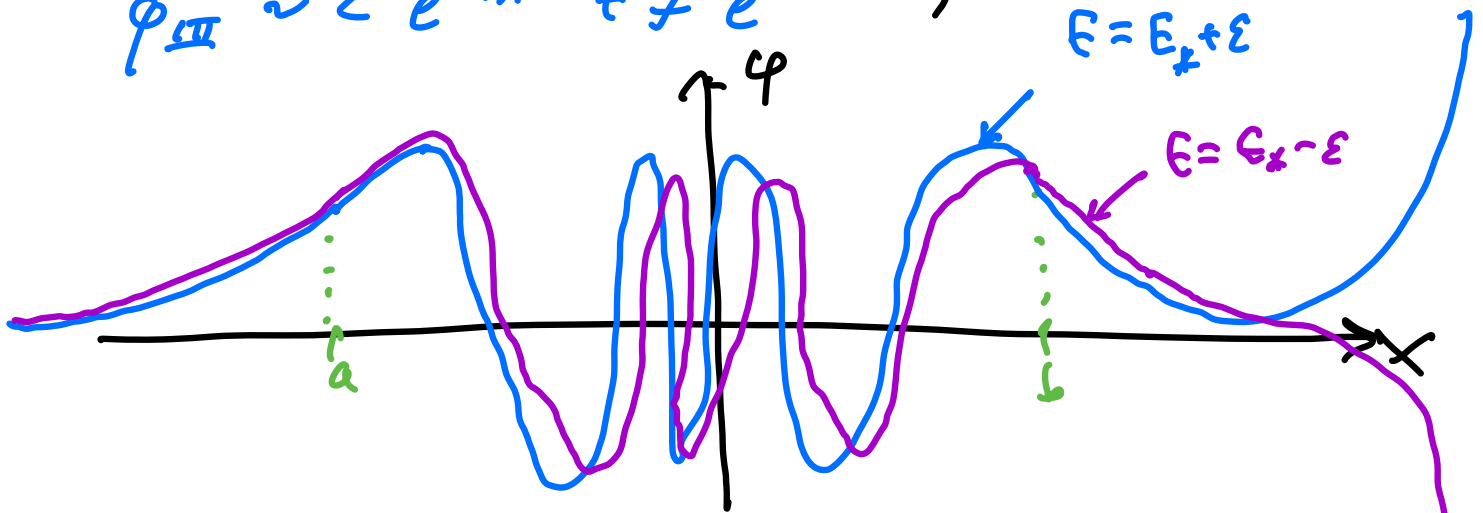
Only at special values of E satisfying (*) are there solutions $\Rightarrow$ discrete eigenenergies.

a') General potential



$$\begin{aligned}\varphi_I &\sim A e^{ikx} + B e^{-kx} \\ \varphi_{II} &\sim C e^{ikx} + D e^{-ikx} \\ \varphi_{III} &\sim E e^{Kx} + F e^{-Kx}\end{aligned}$$

(Really: $A \dots F$ are functions of x)

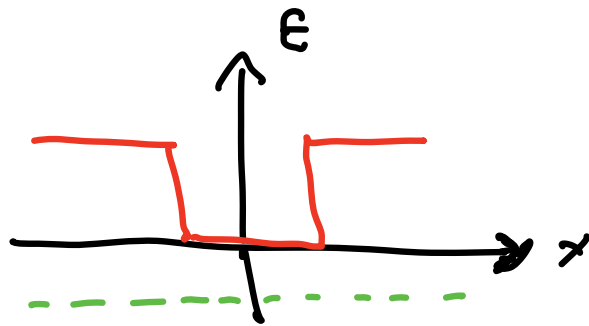


Exactly at $E = E_x$, $\epsilon \rightarrow 0 \Rightarrow E_x = \text{eigenvalue}$

$\Rightarrow$ Discrete spectrum of bound state energies "in potential well"

b)

$E < 0$



$$\Rightarrow \begin{cases} \varphi_I \sim A e^{\kappa x} \\ \varphi_{II} \sim C e^{\kappa' x} + D e^{-\kappa' x} \\ \varphi_{III} \sim F e^{-\kappa x} \end{cases}$$

$\Rightarrow$ No solutions to MCs (except $\varphi \equiv 0$)
b/c for real exponentials

$$\varphi'_I > 0 \Rightarrow \varphi'_{II} > 0 @ x = -\frac{a}{2}$$

$$\Rightarrow \varphi'_{II} > 0 @ \text{all } x$$

$$\Rightarrow \varphi'_{III} > 0 @ x = \frac{a}{2}$$

$$\Rightarrow \varphi'_{III} > 0 @ \text{all } x$$

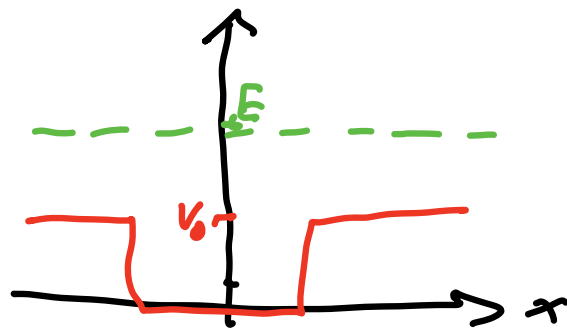
$$\Rightarrow \lim_{\kappa \rightarrow \infty} \varphi_{III} = \infty \quad \#$$

 $\therefore$

No solutions for $E < V_{\min}$.

c) $E \geq V_0$ (scattering states)

$$\Rightarrow \begin{cases} \varphi_I = A e^{i k_1 x} + B e^{-i k_1 x} \\ \varphi_{II} = C e^{i k_2 x} + D e^{-i k_2 x} \\ \varphi_{III} = E e^{i k_3 x} + F e^{-i k_3 x} \end{cases}$$



$$MCS \Rightarrow \dots \Rightarrow \varphi = A \varphi_1 + B \varphi_2$$

$$w/ \quad \varphi_i \sim e^{\pm i k x} \leftarrow \text{oscillatory everywhere}$$

$$\therefore \lim_{|x| \rightarrow \infty} |\varphi| \neq 0.$$

But in free case found that we should

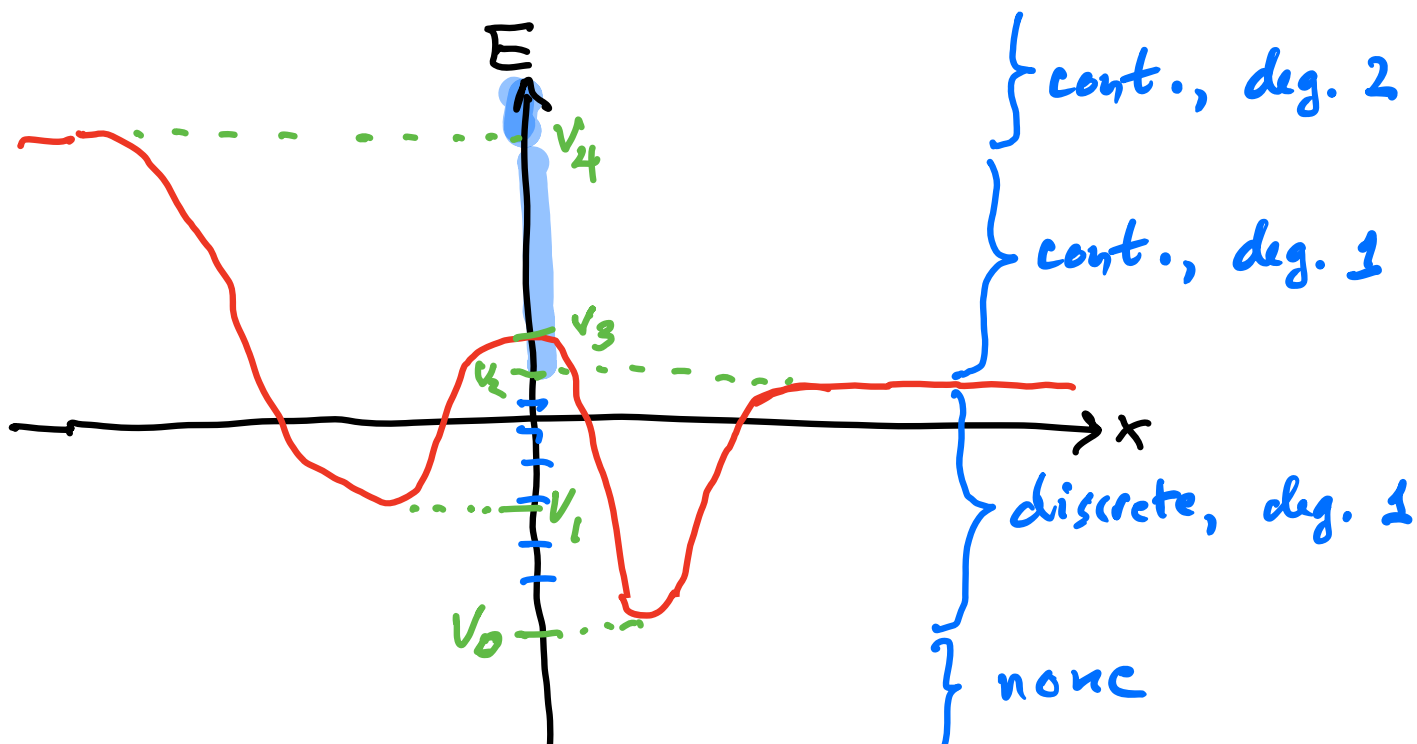
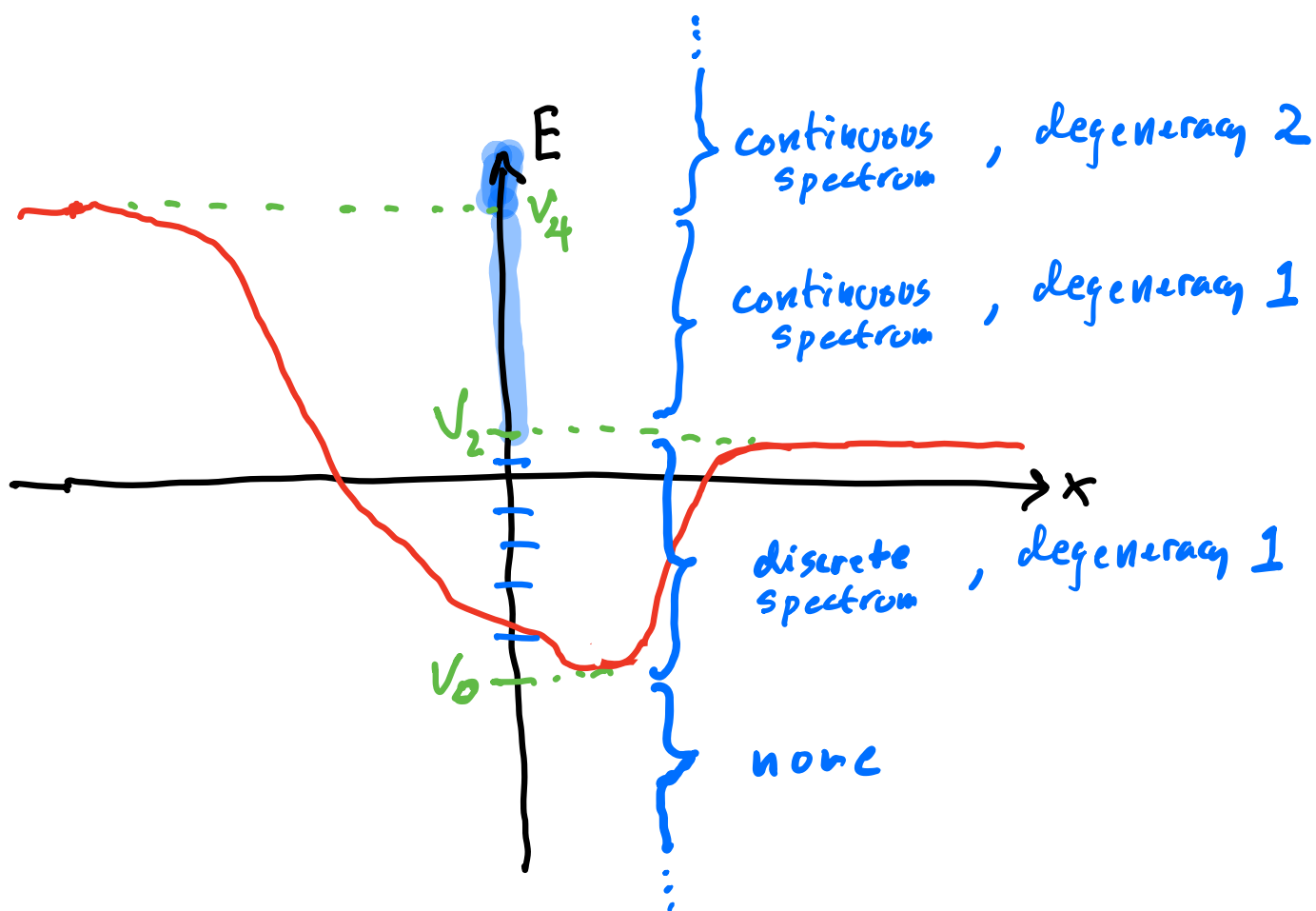
Allow plane wave states, i.e., oscillatory wave functions with $\lim_{|x| \rightarrow \infty} |\varphi(x)| = \text{const.} > 0$.

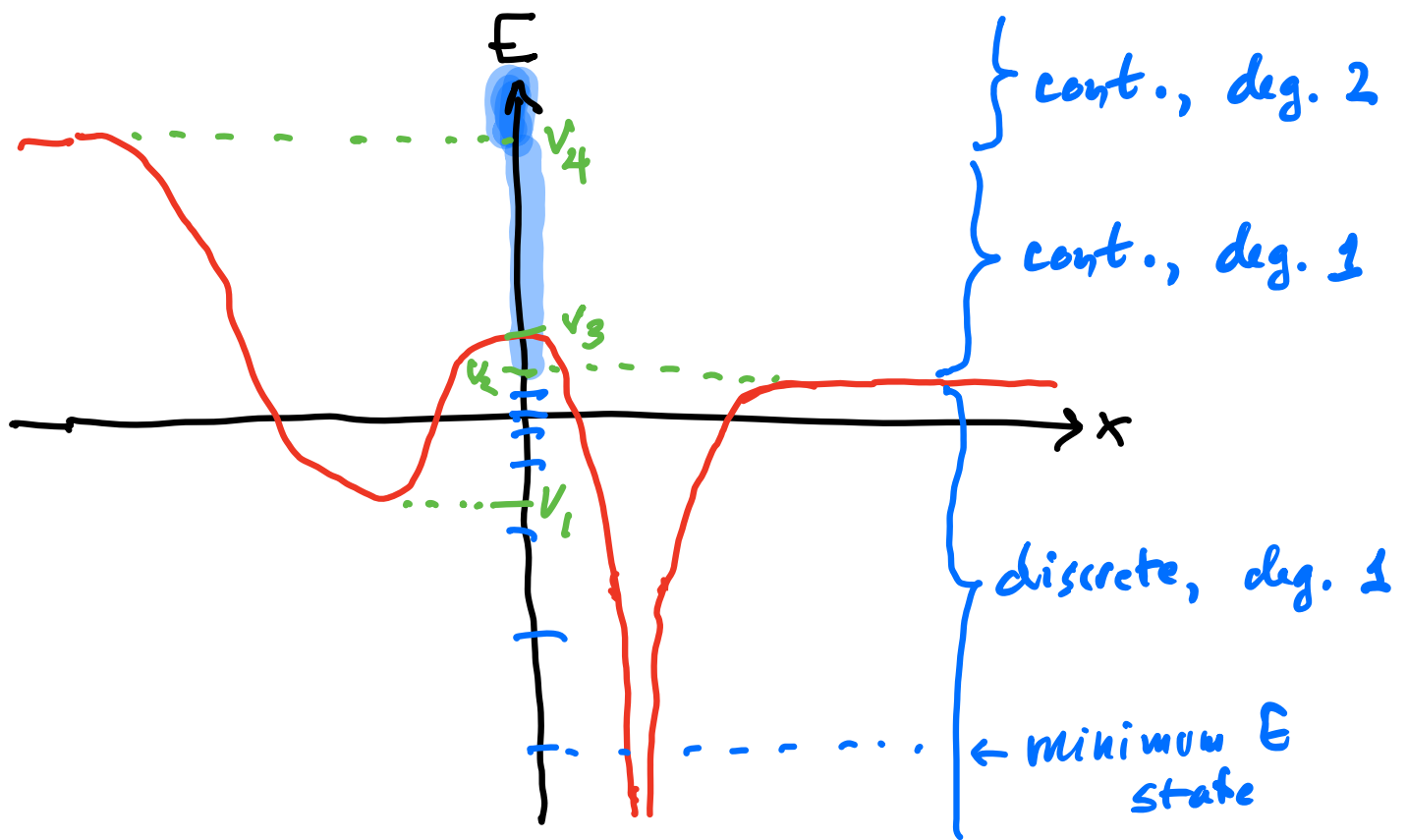
(We will interpret them later as scattering states).

$\Rightarrow$ 2 lin. indep't sol's allowed
for any E

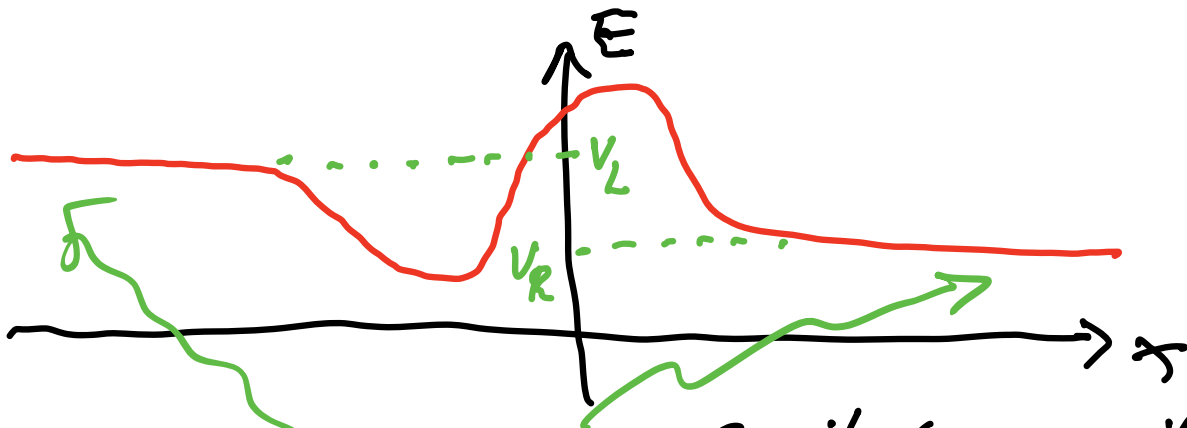
$\Rightarrow$ "continuous spectrum w/ degeneracy 2"

SUMMARY: General picture of $\text{spec}(\hat{H})$





Scattering States



$$E > V_R \Rightarrow \psi_R \sim C e^{i k_R x} + D e^{-i k_R x}$$

$$E > V_L \Rightarrow \psi_L \sim A e^{i k_L x} + B e^{-i k_L x}$$

$$\text{where } k_{L,R} \doteq \frac{1}{\hbar} \sqrt{2m(E - V_{L,R})}$$

- Recall $\langle x | p \rangle \sim e^{ixp/\hbar}$

$$\therefore \begin{aligned} \psi_R &\sim C \langle x | \hbar k_R \rangle + D \langle x | -\hbar k_R \rangle \\ \psi_L &\sim A \langle x | \hbar k_L \rangle + B \langle x | -\hbar k_L \rangle \end{aligned}$$

$\Rightarrow \psi_{L,R}$ are $\sim$ superposition of left- & right-moving states of **definite momentum** ($\Delta P = 0$)

$$p_R = \pm \hbar k_R \quad \text{etc.}$$

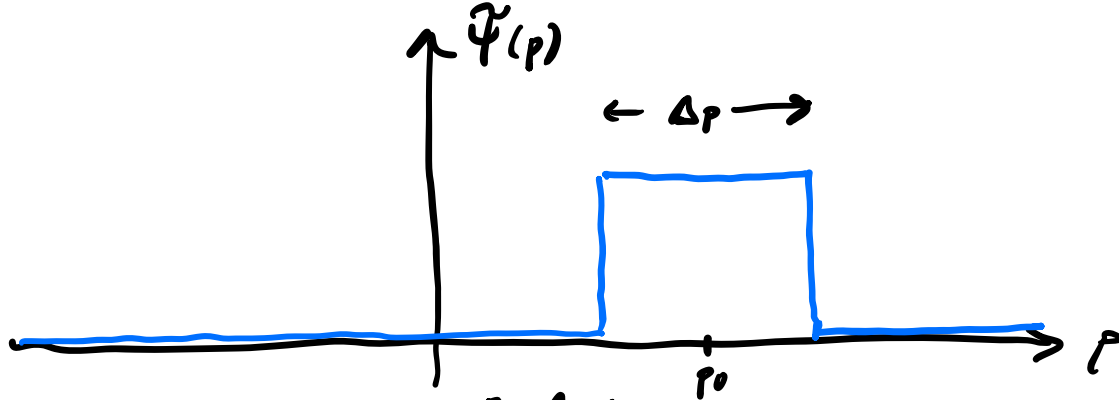
- Non-normalizable just like $|p\rangle$ states:

$$\langle p | p' \rangle = \delta(p - p') \Rightarrow \langle p | p \rangle = \delta(0) = \infty.$$

But, can make normalizable **"wave packets"** which are superpositions of an infinite number of momentum eigenstates

E.g.

$$|p_0, \Delta p\rangle \doteq N \int_{p_0 - \frac{1}{2}\Delta p}^{p_0 + \frac{1}{2}\Delta p} dp |p\rangle$$



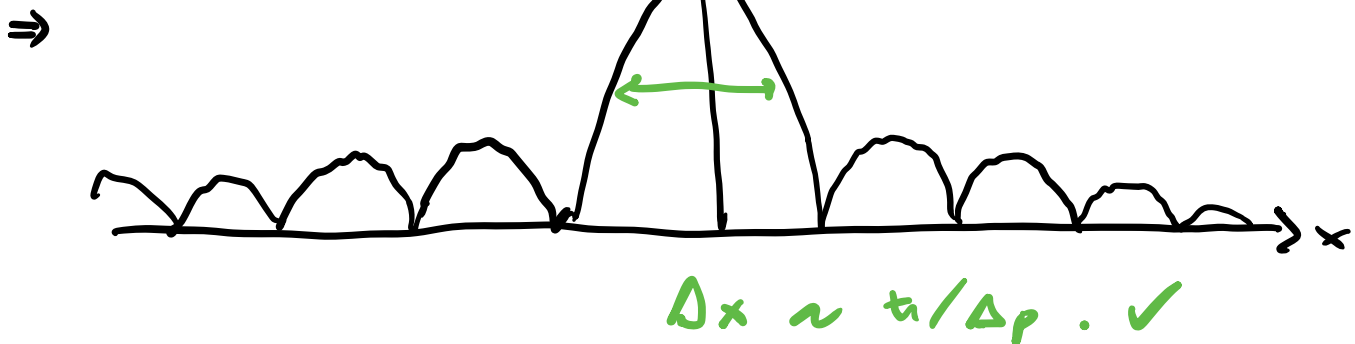
$$\begin{aligned}
 \langle p_0, \Delta p | p_0, \Delta p \rangle &= |N|^2 \int_{p_0 - \Delta p/2}^{p_0 + \Delta p/2} dp \int_{p_0 - \Delta p/2}^{p_0 + \Delta p/2} dq \underbrace{\langle p | q \rangle}_{\delta(p-q)} \\
 &= |N|^2 \int_{p_0 - \Delta p/2}^{p_0 + \Delta p/2} dp = \Delta p \cdot |N|^2
 \end{aligned}$$

⇒ Normalized if pick $N = \frac{1}{\sqrt{\Delta p}}$. ✓

- What does wave function look like?

$$\psi(x) \doteq \langle x | p_0, \Delta p \rangle = \frac{1}{\sqrt{\Delta p} \cdot \sqrt{2\pi\hbar}} \int_{p_0 - \Delta p/2}^{p_0 + \Delta p/2} dp e^{ipx/\hbar} = \dots$$

(see problem set)

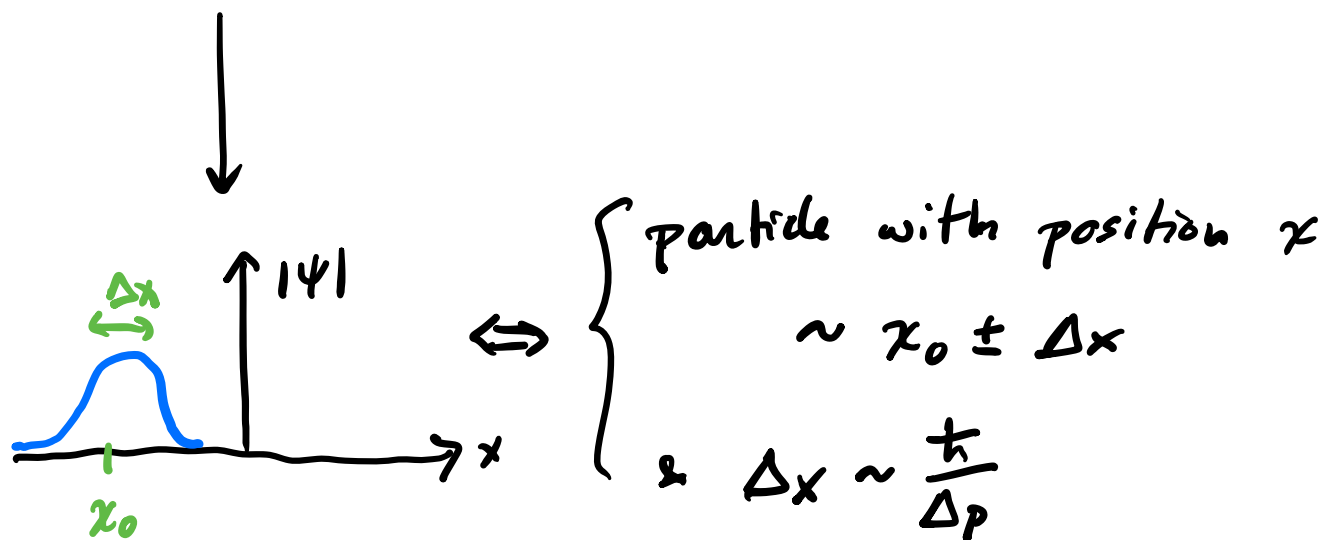
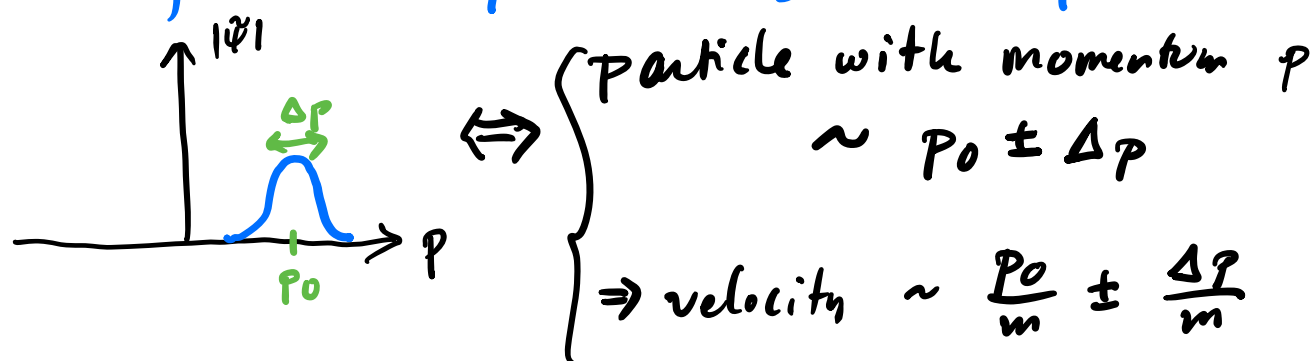


- (Note: Use Gaussians for "cleaner" wave-packets.)

- Summary:

- Have continuum of eigenvalues but no physical eigenstates $\Rightarrow$ only "scattering states"
- But can make physical states with eigenvalue spread as small as you like.

- Physical interpretation of wave packets



- Expect time dependence (free particle)

$$x_{cl}(t) = x_0 + v_0 t = x_0 + \frac{p_0}{m} t$$

$$\Rightarrow x(t) \sim x_0 \pm \frac{\hbar}{\Delta p} + \frac{p_0 \pm \Delta p}{m} t$$

$$\sim x_{cl}(t) \pm \underbrace{\frac{\Delta p}{m} t}_{\Delta x(t) \stackrel{?}{\propto} t} \quad \text{for large } t \quad (?)$$

Uncertainty in x increases with time,
= "dispersion" or "spread" of $\Psi(x)$

The answer (?) is wrong! Correct answer
in QM (i.e., use Schrö's eqn.) is

$$\Delta x(t) \propto \sqrt{t} \quad (!)$$

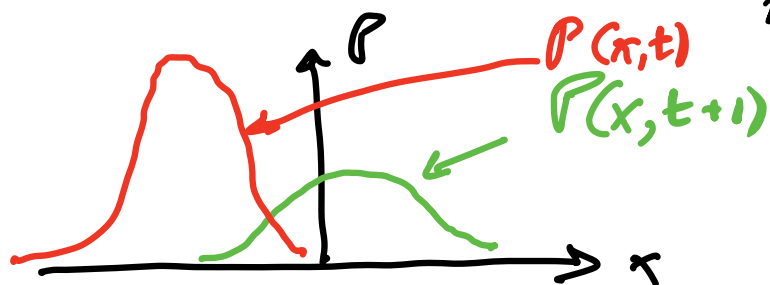
(See Townsend for calculation ...)

- More generally, to see time dependence, want to solve Schrö's Eqn:

$$\begin{aligned} \frac{\partial \Psi(x,t)}{\partial t} &= -\frac{i}{\hbar} \langle x | \hat{H} | \Psi(t) \rangle \\ &= -\frac{i}{\hbar} \left(-\frac{\hbar^2}{2m} \frac{\partial^2 \Psi(x,t)}{\partial x^2} + V(x) \cdot \Psi(x,t) \right). \end{aligned}$$

- Complicated for a general wave packet, but we really only want qualitative info, e.g. how fast does peak of wave packet move? how fast does it spread? etc.

- $|\psi(x,t)|^2 \doteq P(x,t)$ = probability density for finding particle @ (x,t)



How does P change with time?

- Smoothly
 - Total prob. = $\int P dx = 1$
- } "conserved flow of probability"

$$\Leftrightarrow \underbrace{\frac{d}{dt} P(a < x < b)}_{\substack{\text{rate of change of} \\ \text{probability (a < x < b)}}} = \underbrace{j(x=a)}_{\substack{\text{rate prob.} \\ \text{flows in @ x=a}}} - \underbrace{j(x=b)}_{\substack{\text{rate prob.} \\ \text{flows out @ x=b}}}$$

- So compute

$$\begin{aligned} \frac{\partial}{\partial t} P(x,t) &= \frac{\partial}{\partial t} (|\psi(x,t)|^2) = \frac{\partial \psi^*}{\partial t} \psi + \psi^* \frac{\partial \psi}{\partial t} \\ &= +\frac{i}{\hbar} \left(-\frac{\hbar^2}{2m} \frac{\partial^2 \psi^*}{\partial x^2} + \cancel{V\psi^*} \right) \psi - \frac{i}{\hbar} \psi^* \left(-\frac{\hbar^2}{2m} \frac{\partial^2 \psi}{\partial x^2} + \cancel{V\psi} \right) \\ &= \frac{i\hbar}{2m} \left(\psi^* \frac{\partial^2 \psi}{\partial x^2} - \psi \frac{\partial^2 \psi^*}{\partial x^2} \right) = \frac{\partial}{\partial x} \left[\frac{i\hbar}{2m} \left(\psi^* \frac{\partial \psi}{\partial x} - \psi \frac{\partial \psi^*}{\partial x} \right) \right] \\ &\doteq - \frac{\partial}{\partial x} j(x,t) \end{aligned}$$

So Schrö Egn $\Rightarrow$

$$\frac{\partial \rho}{\partial t} + \frac{\partial j}{\partial x} = 0$$

"local conservation law"

where $\left\{ \begin{array}{l} \rho \doteq |\psi|^2 \quad \text{prob. density} \\ j \doteq \frac{i\hbar}{2m} \left(\psi \frac{\partial \psi^*}{\partial x} - \psi^* \frac{\partial \psi}{\partial x} \right) \doteq \text{"prob. current density"} \end{array} \right.$

• Apply to $\psi(x) = A e^{ikx}$ state

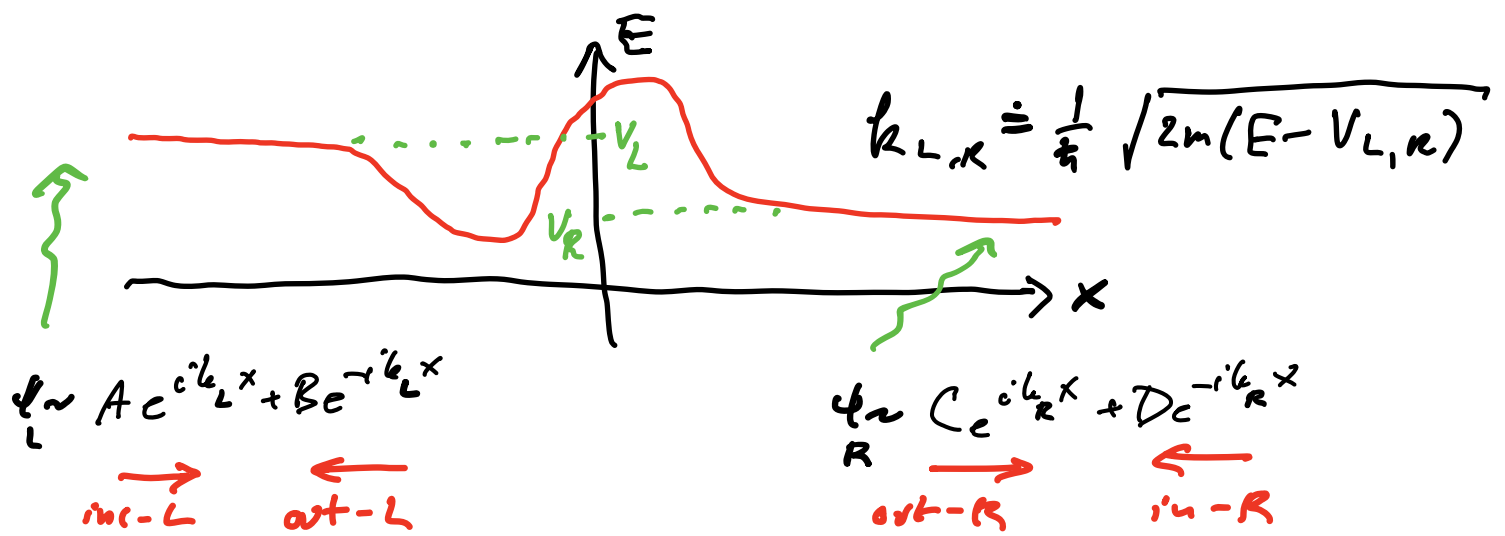
= free particle in momentum eigenstate $p = \hbar k$

$$\Rightarrow j = \frac{i\hbar}{2m} \left(A e^{ikx} A^* \frac{d(e^{-ikx})}{dx} - A^* e^{-ikx} A \frac{d(e^{ikx})}{dx} \right)$$

$$= \frac{i\hbar}{2m} |A|^2 (-ik - ik)$$

$$= |A|^2 \frac{\hbar k}{m} = |A|^2 \frac{p}{m} = |A|^2 v. \quad \checkmark$$

• Use probability current density to make physical interpretation of scattering states



• 2 physically interesting problems:

- (1) Send in particle (wave packet) from L, or
- (2) " " " " " " R.

⇒ BC for (1): $D=0$ (i.e., no in-R)

BC for (2): $A=0$ (i.e., no in-L).

(1): Solve $\hat{H}|\varphi\rangle = E|\varphi\rangle \dots$ find

$$\lim_{x \rightarrow -\infty} \varphi \sim \overset{\substack{\text{incoming} \\ \downarrow}}{A} e^{i k_L x} + \overset{\substack{\text{reflected} \\ \downarrow}}{B} e^{-i k_L x}$$

$$\lim_{x \rightarrow +\infty} \varphi \sim \overset{\substack{\text{transmitted} \\ \uparrow}}{C} e^{i k_R x} + \overset{\substack{\text{disallowed by BC} \\ \downarrow \times}}{D} e^{-i k_R x}$$

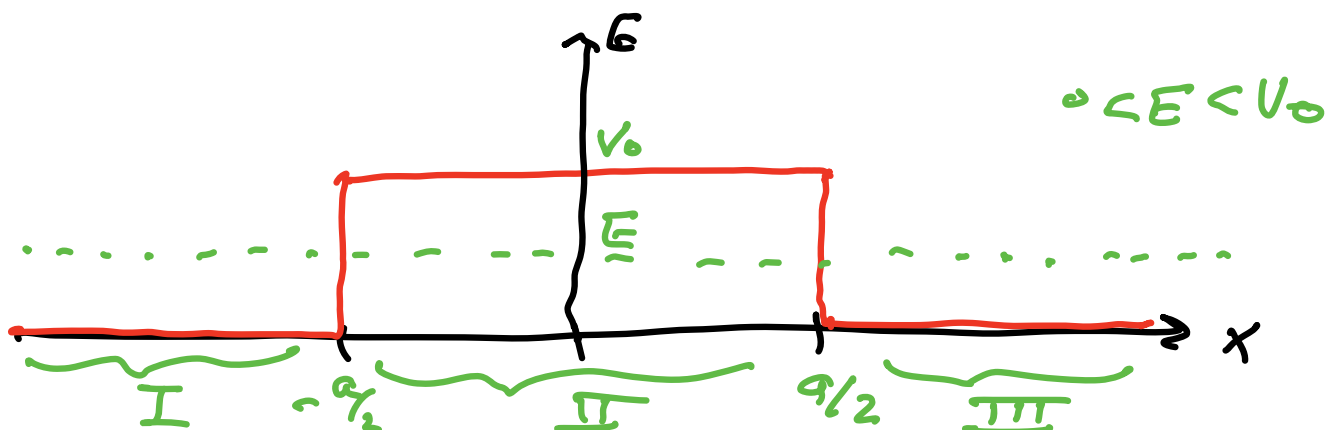
$$\Rightarrow \begin{cases} j_{\text{in}} = \frac{\hbar k_L}{m} |A|^2 \\ j_{\text{trans}} = \frac{\hbar k_R}{m} |C|^2 \end{cases} \quad j_{\text{refl}} = -\frac{\hbar k_L}{m} |B|^2$$

$$\therefore \begin{aligned} R &\doteq \text{reflection probability} = \frac{|j_{\text{refl}}|}{|j_{\text{in}}|} = \frac{|B|^2}{|A|^2} \\ T &\doteq \text{transmission probability} = \frac{|j_{\text{trans}}|}{|j_{\text{in}}|} = \frac{k_R |C|^2}{k_L |A|^2} \end{aligned} \quad !!$$

Expect $R+T=1$. Can prove using definitions & $\partial_t P + \partial_x j = 0$ & $\int P dx = 1$.

(Similarly for problem (2) ...)

Example: Potential barrier



→ incoming from left → no e^{-ikx} term in ψ_{III}

$$\psi_I = A e^{ikx} + B e^{-ikx}$$

$$k \doteq \frac{1}{\hbar} \sqrt{2mE}$$

$$\psi_{II} = D e^{kx} + E e^{-kx}$$

$$k \doteq \frac{1}{\hbar} \sqrt{2m(V_0 - E)}$$

$$\psi_{III} = C e^{ikx}$$

Matching conditions @ $x = \pm a/2$:

$$\psi @ x = -\frac{a}{2}: A e^{-ika/2} + B e^{ika/2} = D e^{-ka/2} + E e^{ka/2} \quad (1)$$

$$\psi' @ x = -\frac{a}{2}: ikA e^{-ika/2} - ikB e^{ika/2} = kD e^{-ka/2} - kE e^{ka/2} \quad (2)$$

$$\psi @ x = +\frac{a}{2}: C e^{ika/2} = D e^{ka/2} + E e^{-ka/2} \quad (3)$$

$$\psi' @ x = +\frac{a}{2}: ikC e^{ika/2} = kD e^{ka/2} - kE e^{-ka/2} \quad (4)$$

$$(3) \pm \frac{1}{k}(4) \Rightarrow \begin{cases} D e^{ka/2} = \frac{1}{2} \left(1 + \frac{ik}{k}\right) e^{ika/2} C \\ E e^{-ka/2} = \frac{1}{2} \left(1 - \frac{ik}{k}\right) e^{ika/2} C \end{cases}$$

Plug in to

$$(1) \Rightarrow A e^{-ika/2} + B e^{ika/2} = C e^{ika/2} \left(\frac{1}{2}\right) \left[\left(1 + \frac{ik}{k}\right) e^{-ka} + \left(1 - \frac{ik}{k}\right) e^{ka} \right]$$

$$\frac{(3)}{ik} \Rightarrow A e^{-ika/2} - B e^{ika/2} = C e^{ika/2} \left(\frac{-ik}{2k}\right) \left[\left(1 + \frac{ik}{k}\right) e^{-ka} - \left(1 - \frac{ik}{k}\right) e^{ka} \right]$$

$$(+)$$

$$2A e^{-ika/2} = \frac{1}{2} C e^{ika/2} \left[\underbrace{\left(1 - \frac{ik}{k}\right) \left(1 + \frac{ik}{k}\right) e^{-ka} + \left(1 + \frac{ik}{k}\right) \left(1 - \frac{ik}{k}\right) e^{ka}}_{\doteq \xi} \right]$$

$$\Rightarrow \frac{C}{A} = 4 e^{-ika} \xi^{-1}, \quad \xi = 2(e^{ka} + e^{-ka}) + i \left(\frac{k}{k} - \frac{k}{k}\right) (e^{ka} - e^{-ka})$$

$$= 4 \cosh(ka) + 2i \left(\frac{k}{k} - \frac{k}{k}\right) \sinh(ka)$$

$$\therefore T = \left| \frac{C}{A} \right|^2 = \frac{16}{|\xi|^2} = \frac{16}{16 \cosh^2(ka) + 4 \left(\frac{k}{k} - \frac{k}{k}\right)^2 \sinh^2(ka)}$$

$$\Rightarrow T = \left[\cosh^2(\kappa a) + \frac{1}{4} \left(\frac{\kappa}{k} - \frac{k}{\kappa} \right)^2 \sinh^2(\kappa a) \right]^{-1}$$

$$\frac{1}{4} \left(\frac{\kappa}{k} - \frac{k}{\kappa} \right)^2 = \frac{1}{4} \left(\sqrt{\frac{E}{V_0 - E}} - \sqrt{\frac{V_0 - E}{E}} \right)^2 = \frac{1}{4} \cdot \frac{1}{\frac{E}{V_0} \left(1 - \frac{E}{V_0} \right)} \doteq \varepsilon \gg 1$$

$$\text{Min. } \varepsilon \text{ @ } E = \frac{1}{2} V_0 \Rightarrow \varepsilon = 1$$

$$\text{Max. } \varepsilon \text{ @ } E = 0 \text{ or } V_0 \Rightarrow \varepsilon = \infty$$

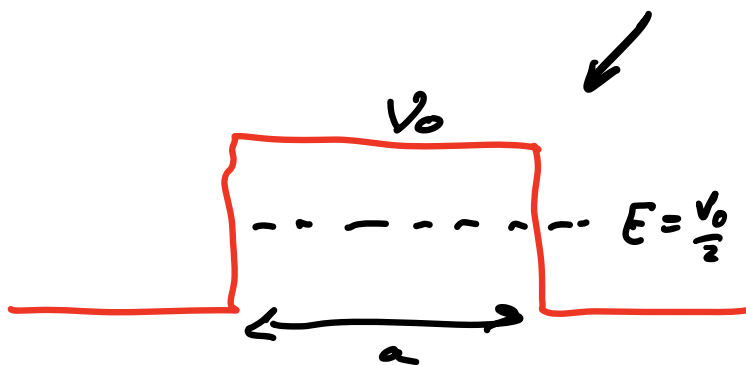
$$\text{Use } \cosh^2 = 1 + \sinh^2 \Rightarrow$$

$$T = \left[1 + (1 + \varepsilon) \sinh^2(\kappa a) \right]^{-1} \quad \text{w/ } \varepsilon \gg 1$$

$$\Rightarrow T \leq 1 \quad \checkmark$$

$$T = 0 \text{ @ } \varepsilon = \infty \Rightarrow E = 0 \text{ or } V_0$$

$$T_{\max} \text{ @ } \varepsilon_{\min} = 1 \Rightarrow T_{\max} = (1 + 2 \sinh^2(\kappa a))^{-1} \text{ @ } E = \frac{V_0}{2}$$

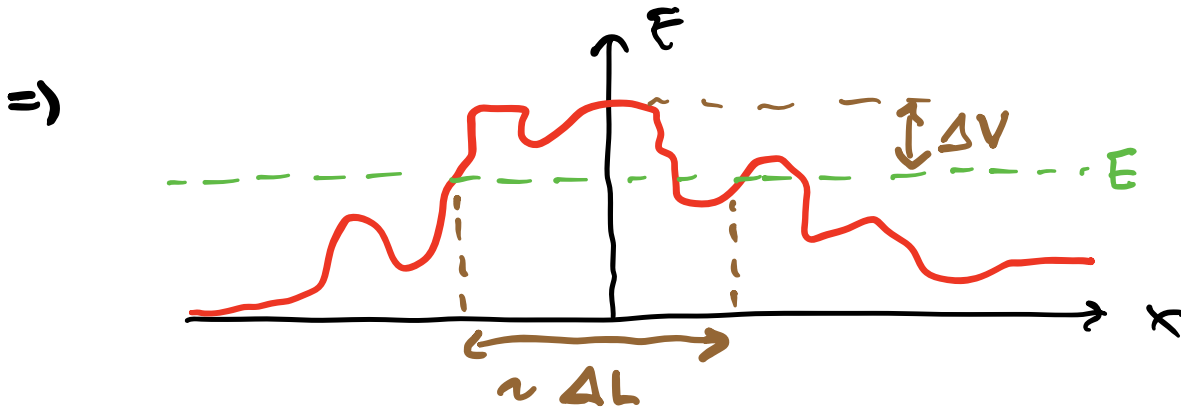


$$\kappa = \frac{1}{\hbar} \sqrt{2m(V_0 - E)} = \frac{\sqrt{mV_0}}{\hbar}$$

$$\therefore T_{\max} = \frac{1}{1 + \frac{1}{2} (e^{\kappa a} - e^{-\kappa a})^2} \approx 2e^{-2\kappa a} \quad \text{for } \kappa a \gg 1$$

$$\therefore \text{Tunnelling probability} \approx e^{-2\kappa a} = e^{-\frac{2}{\hbar} a \sqrt{mV_0}}$$

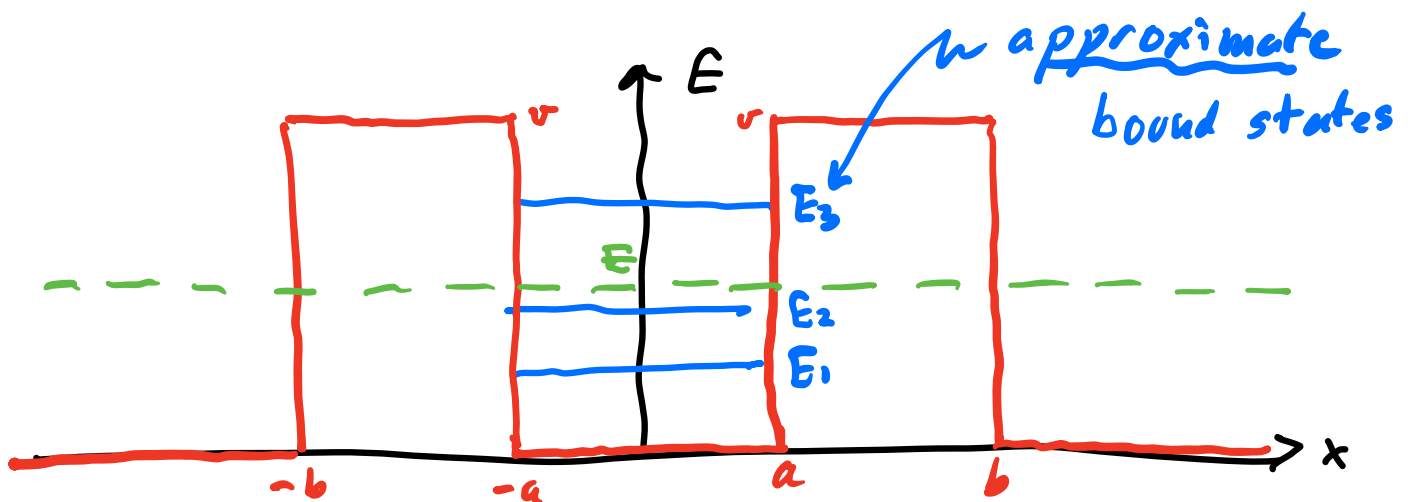
Generalizes to arbitrary potential barrier as long as wide & high enough (e.g. "WKB approx")



$$\log(\text{tunnel. prob.}) \approx -\frac{1}{\hbar} \Delta L \cdot \sqrt{m} \cdot \sqrt{\Delta V}$$

Extremely tiny for macroscopic $\Delta L, m, \Delta V$ ✓

Example: Resonant Tunnelling



As $E \rightarrow E_n \Rightarrow T \rightarrow 1$

no matter how high/thick pot'l barrier!

??

Resonant tunnelling example.

Resonant tunnelling from a piecewise flat potential:

$$V(x) = 0, \quad |x| > b \text{ and } |x| < a, \\ = v, \quad a < |x| < b,$$

with $b > a > 0$. Define

$$k = \sqrt{2mE} / \hbar, \\ \kappa = \sqrt{2m(v-E)} / \hbar.$$

Define the matrices for matching conditions at $x = \pm a, \pm b$, are

$$\mathbf{M1}[a_-] = \left\{ \left\{ e^{ik a}, e^{-ik a} \right\}, \left\{ ik e^{ik a}, -ik e^{-ik a} \right\} \right\}; \\ \mathbf{M2}[a_-] = \left\{ \left\{ e^{\kappa a}, e^{-\kappa a} \right\}, \left\{ \kappa e^{\kappa a}, -\kappa e^{-\kappa a} \right\} \right\}; \\ \mathbf{M1}[x] // \text{MatrixForm} \\ \mathbf{M2}[x] // \text{MatrixForm}$$

$$\begin{pmatrix} e^{ikx} & e^{-ikx} \\ ik e^{ikx} & -ik e^{-ikx} \end{pmatrix} \\ \begin{pmatrix} e^{\kappa x} & e^{-\kappa x} \\ \kappa e^{\kappa x} & -\kappa e^{-\kappa x} \end{pmatrix}$$

(0 because of scattering BC.)

Then, if the wave function for $|x| > b$ has the form

$$\psi(x) = A e^{ikx} + B e^{-ikx}, \text{ for } x < -b, \\ = C e^{ikx}, \text{ for } x > b,$$

the matching conditions can be written as the matrix equation $\vec{a} = X \vec{c}$ where $\vec{a}^T = (A, B)$, $\vec{c}^T = (C, 0)$, and

$$\mathbf{X} = \text{Simplify}[\\ \text{Inverse}[\mathbf{M1}[-b]] . \mathbf{M2}[-b] . \text{Inverse}[\mathbf{M2}[-a]] . \mathbf{M1}[-a] . \text{Inverse}[\mathbf{M1}[a]] . \mathbf{M2}[a] . \text{Inverse}[\mathbf{M2}[b]] . \mathbf{M1}[b]];]$$

Then $C/A = 1/X_{11}$ where

$$\mathbf{X11} = \mathbf{X}[[1, 1]]$$

$$\frac{1}{16 k^2 \kappa^2} e^{-2ia\kappa + 2ib\kappa - 2a\kappa - 2b\kappa} \left(-e^{4b\kappa} (k + i\kappa)^4 - e^{4a\kappa} (ik + \kappa)^4 + \right. \\ \left. 2e^{2(a+b)\kappa} (k^2 + \kappa^2)^2 + e^{4a(i\kappa + \kappa)} (k^2 + \kappa^2)^2 - 2e^{4ia\kappa + 2a\kappa + 2b\kappa} (k^2 + \kappa^2)^2 + e^{4ia\kappa + 4b\kappa} (k^2 + \kappa^2)^2 \right)$$

so the transmission probability is given by $T = 1/|X_{11}|^2$:

```

Xexp = ComplexExpand[X11];
Xconj = Xexp /. i -> -i;
Xsquared = Simplify[Expand[Xexp Xconj]]

```

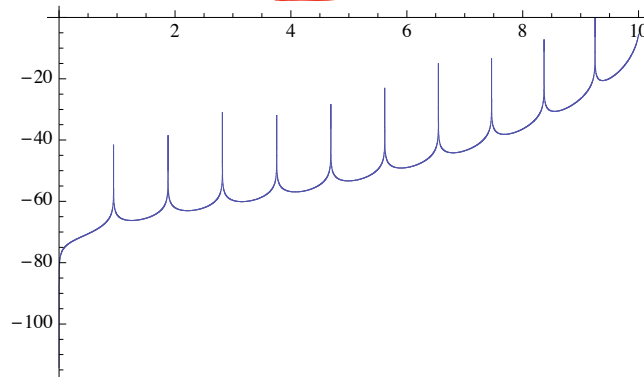
$$\begin{aligned}
& -\frac{1}{128 k^4 \kappa^4} \\
& e^{-4(a+b)\kappa} \left(-e^{8a\kappa} k^8 - e^{8b\kappa} k^8 - 6e^{4(a+b)\kappa} k^8 + 4e^{2(3a+b)\kappa} k^8 + 4e^{2(a+3b)\kappa} k^8 - 4e^{8a\kappa} k^6 \kappa^2 - 4e^{8b\kappa} k^6 \kappa^2 + \right. \\
& 8e^{4(a+b)\kappa} k^6 \kappa^2 - 6e^{8a\kappa} k^4 \kappa^4 - 6e^{8b\kappa} k^4 \kappa^4 - 100e^{4(a+b)\kappa} k^4 \kappa^4 - 8e^{2(3a+b)\kappa} k^4 \kappa^4 - \\
& 8e^{2(a+3b)\kappa} k^4 \kappa^4 - 4e^{8a\kappa} k^2 \kappa^6 - 4e^{8b\kappa} k^2 \kappa^6 + 8e^{4(a+b)\kappa} k^2 \kappa^6 - e^{8a\kappa} \kappa^8 - \\
& e^{8b\kappa} \kappa^8 - 6e^{4(a+b)\kappa} \kappa^8 + 4e^{2(3a+b)\kappa} \kappa^8 + 4e^{2(a+3b)\kappa} \kappa^8 + (e^{2a\kappa} - e^{2b\kappa})^2 (k^2 + \kappa^2)^2 \\
& \left. (-2e^{2(a+b)\kappa} (k^2 + \kappa^2)^2 + e^{4a\kappa} (k^4 - 6k^2 \kappa^2 + \kappa^4) + e^{4b\kappa} (k^4 - 6k^2 \kappa^2 + \kappa^4)) \cos[4ak] + \right. \\
& \left. 4(e^{2a\kappa} - e^{2b\kappa})^3 (e^{2a\kappa} + e^{2b\kappa}) k \kappa (-k^2 + \kappa^2) (k^2 + \kappa^2)^2 \sin[4ak] \right)
\end{aligned}$$

This is a mess, but plot it for some convenient values ($a=\pi/2$, $b=\pi$, $E=n^2$, $v=100$, $m/(2\hbar)=1$), so that the two barriers each have thickness $\pi/2$:

```

WellTransmission = 1 / Xsquared /. {a -> Pi/2, b -> Pi, k -> n, kappa -> Sqrt[100 - n^2]};
welltranplot = Plot[Log[WellTransmission], {n, 0, 10}, PlotRange -> All, PlotPoints -> 100000]

```



Note the peaks in T at $n \approx \{1, 2, 3, 4, \dots\}$. These values of n correspond to the bound state energies of the square well potential in the middle ($x < |a|$). These peaks in the transmission probability are called "resonant tunnelling".

For comparison, consider instead the transmission probability through a single square barrier of thickness π (i.e., without an intervening potential well). Following the same steps as above gives ...

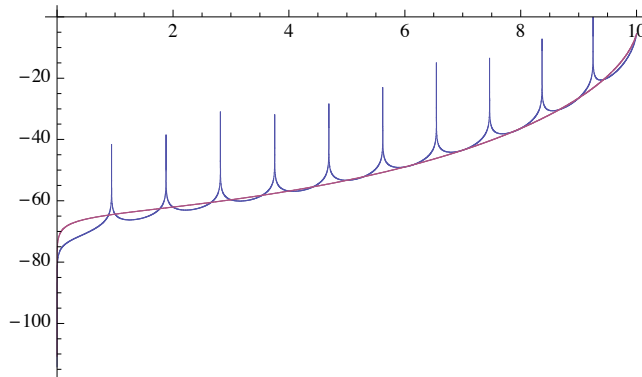
```

B = Simplify[Inverse[M1[-b]].M2[-b].Inverse[M2[-a]].M1[-a]];
B11 = B[[1, 1]];
Bexp = ComplexExpand[B11];
Bconj = Bexp /. i -> -i;
Bsquared = Simplify[Expand[Bexp Bconj]]

BarrierTransmission = 1 / Bsquared /. {a -> 0, b -> pi, k -> n, kappa -> Sqrt[100 - n^2]};
Plot[{Log[WellTransmission], Log[BarrierTransmission]},
{n, 0, 10}, PlotRange -> All, PlotPoints -> 100 000]


$$\frac{e^{-2(a+b)\kappa} \left( e^{4a\kappa} (k^2 + \kappa^2)^2 + e^{4b\kappa} (k^2 + \kappa^2)^2 - 2 e^{2(a+b)\kappa} (k^4 - 6k^2\kappa^2 + \kappa^4) \right)}{16k^2\kappa^2}$$


```



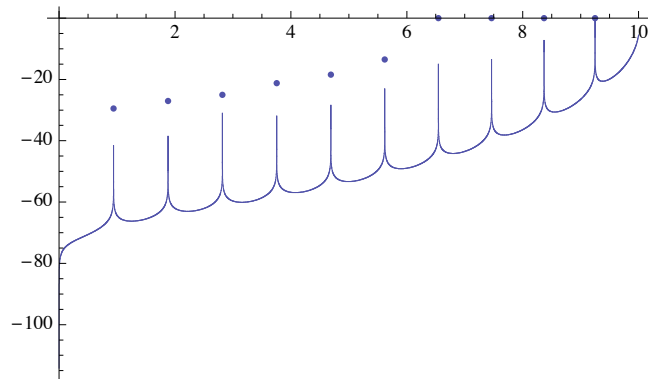
... which closely follows the transmission probability for the case with an intervening potential well, except for the absence of the resonant tunnelling peaks.

[Note: In the plot the resonant tunnelling peaks only seem to rise about 10 orders of magnitude (i.e., a factor of about e^{25}) above the background, but this is just because of the numerical resolution of *Mathematica* --- in fact, they rise to values much closer to 1 (i.e., $\log(T) \approx 0$). Numerical evidence of this is just to evaluate the peak values by searching for relevant extrema of T. This gives the points in the following plot...

```

Off[FindRoot::"lstol"]
Off[Power::"infy"]
peak[1] = FindRoot[D[Denominator[WellTransmission], n] == 0, {n, .95}];
val[1] = {y → Log[Abs[WellTransmission /. peak[1]]]};
peak[2] = FindRoot[D[Denominator[WellTransmission], n] == 0, {n, 1.9}];
val[2] = {y → Log[Abs[WellTransmission /. peak[2]]]};
peak[3] = FindRoot[D[Denominator[WellTransmission], n] == 0, {n, 2.8}];
val[3] = {y → Log[Abs[WellTransmission /. peak[3]]]};
peak[4] = FindRoot[D[Denominator[WellTransmission], n] == 0, {n, 3.75}];
val[4] = {y → Log[Abs[WellTransmission /. peak[4]]]};
peak[5] = FindRoot[D[Denominator[WellTransmission], n] == 0, {n, 4.7}];
val[5] = {y → Log[Abs[WellTransmission /. peak[5]]]};
peak[6] = FindRoot[D[Denominator[WellTransmission], n] == 0, {n, 5.6}];
val[6] = {y → Log[Abs[WellTransmission /. peak[6]]]};
peak[7] = FindRoot[D[Denominator[WellTransmission], n] == 0, {n, 6.55}];
val[7] = {y → Min[Log[Abs[WellTransmission /. peak[7]]], 0]};
peak[8] = FindRoot[D[Denominator[WellTransmission], n] == 0, {n, 7.4}];
val[8] = {y → Min[Log[Abs[WellTransmission /. peak[8]]], 0]};
peak[9] = FindRoot[D[Denominator[WellTransmission], n] == 0, {n, 8.35}];
val[9] = {y → Log[Abs[WellTransmission /. peak[9]]]};
peak[10] = FindRoot[D[Denominator[WellTransmission], n] == 0, {n, 9.24}];
val[10] = {y → Log[Abs[WellTransmission /. peak[10]]]};
peaks = Table[{n /. peak[i], y /. val[i]}, {i, 1, 10}];
peakplot = ListPlot[peaks];
Show[welltranplot, peakplot]

```



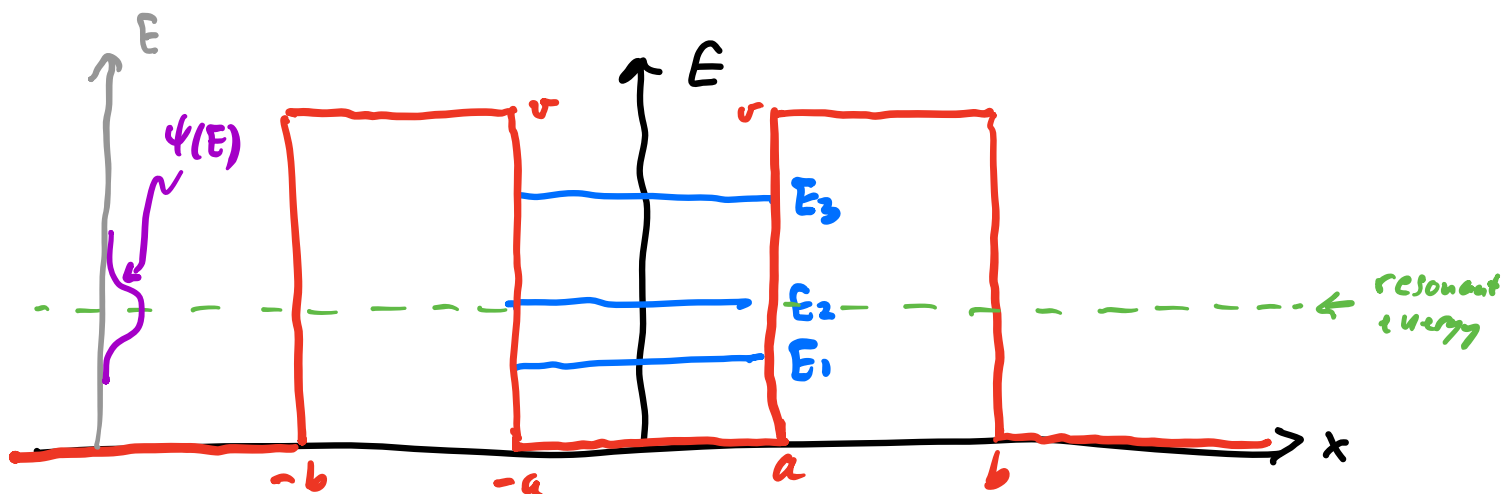
... showing another factor of e^{10} increase in T over the line plot. Also, the fact that for the last 4 peaks the value is essentially $T=1$ ($\log(T)=0$), indicates that the values at the earlier peaks are probably just limited by numerical accuracy.]

This should seem like a wrong answer since, if true, it would be in contradiction to the classical limit.

The resolution of this paradox is that the above calculation is correct, but we are being mis-led by using unphysical scattering states instead of physical wave packet states.

We have seen that wave packets have a finite spread in energies

$$|\psi\rangle = \int dE \psi(E) |E\rangle$$



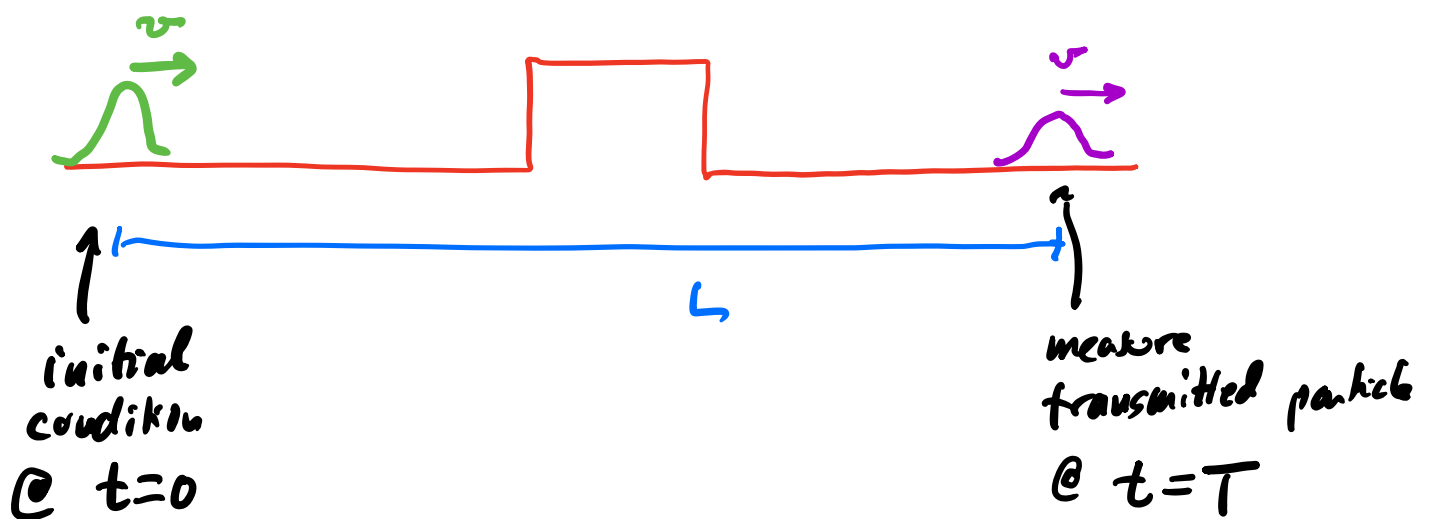
So transmission probability for physical state $|\psi\rangle$ is average of $T(E)$'s :

$$T(\psi) \approx \int dE \cdot T(E) \cdot |\psi(E)|^2$$

So even if exists E_n w/ $T(E_n) = 1$, if width of $T(E_n)$ peak is narrow, then

$$T(\psi) \ll 1. \quad \checkmark$$

- Note: T & R are not the only scattering questions (experiments) one can do. Another common experiment is scattering time delay:



Time delay: $\Delta T = T - \frac{1}{v} L$.

This can also be computed using scattering states -- "scattering phase shift" --