

## The Relative Dixmier Property in Discrete Crossed Products

HERBERT HALPERN, VICTOR KAFTAL, AND GARY WEISS\*

*Department of Mathematical Sciences, University of Cincinnati,  
Cincinnati, Ohio 45221-0025*

*Communicated by C. Foias*

Received February 1, 1986; revised February 26, 1986

### 1. INTRODUCTION

The problem of determining the pure states of the algebra  $B(H)$  of all bounded operators on a separable Hilbert space  $H$  can be reduced to determining all pure states of a maximal abelian  $*$ -subalgebra (masa)  $N$  of  $B(H)$  provided every pure state of  $N$  has a unique extension to a state of  $B(H)$ . It is known that the only candidate for the extension property is an atomic masa  $N$  [18], which can be considered as the algebra of diagonal operators in an infinite matrix algebra. The extension property is equivalent to the property that given any  $x$  in  $B(H)$ , the norm closure of the convex hull of the set  $\{vxv^* \mid v \text{ unitary in } N\}$  (notation:  $K(x) = \overline{\text{co}}\{vxv^* \mid v \in U(N)\}$ ) has a nonvoid intersection with the commutant  $N'$  (cf. [5, 2.4]).

There is a more general formulation for the question concerning the intersection of the convex hull with  $N'$ . For this, let  $M$  be a von Neumann algebra; then Dixmier [9] showed that the intersection of  $\overline{\text{co}}\{vxv^* \mid v \in U(M)\}$  and  $M'$  is nonvoid for every  $x$  in  $M$ , i.e., the closed convex hull contains an element of the center. Several authors [1-5, 8, 9, 11-13, 15, 16, 20, 22] have studied this or other similar intersections under various hypotheses. For example, for a von Neumann algebra, the intersection can be viewed as a type of essential spectrum for  $x$  in a setting appropriate to von Neumann algebras (cf. [8, 12, 13, 24]). On the other hand, when  $M$  is a  $C^*$ -algebra, it is not always true that the intersection of  $\overline{\text{co}}\{vxv^* \mid v \in U(M)\}$  with the center of  $M$  is nonvoid. Algebras in which all such intersections are nonvoid are said to possess the *Dixmier property*. Several algebras have been shown to have the Dixmier property; others do not have the property.

In this paper, we study a more general property which we call the relative Dixmier property. We fix a certain subalgebra  $N$  of the von

\* Research partially supported by NSF Grant DMS 8503390.

Neumann algebra  $M$  and consider for  $x \in M$  the intersection of  $K(x) = \overline{\text{co}}\{v xv^* \mid v \in U(N)\}$  with the commutant  $N'$  of  $N$ . This formulation is a general setting for the extension problem for  $B(H) = M$  [18] as well as for some recent work on compact operators on type  $\text{III}_\lambda$  ( $0 \leq \lambda < 1$ ) factors [14, 15]. Here the algebra  $N$  will be a von Neumann algebra and  $M$  will be the crossed product of  $N$  by the action of an automorphism  $\theta$ . In Section 3 we prove that  $K(au)$  has a nonvoid intersection with  $N'$  for every  $a$  in  $N$  and every unitary operator  $u$  in  $M$  such that  $\text{ad } u$  induces an automorphism of  $N$ . In particular, if  $\text{ad } u$  is properly outer  $K(au)$  contains 0. In Section 4 we assume that  $N$  is identified with its canonical image in the crossed product  $M = N \times_\theta Z$  of  $N$  by the action  $\theta$  of  $Z$  on  $N$ , where  $\theta$  is an automorphism of  $N$ . We show that  $K(x)$  has a nonvoid intersection with  $N'$  for every  $x$  in the  $C^*$ -algebra generated by the group  $U(M; N)$  of unitaries  $u$  in  $M$  with  $uNu^* = N$ . In particular, we show that  $K(x)$  will have a nonvoid intersection with  $N'$  whenever  $x$  is continuous under the dual automorphism  $\hat{\theta}$  of  $\theta$ . In Section 5 we study two special cases: (1)  $M = B(H)$  and  $N$  is the atomic masa of diagonal operators with respect to some fixed orthonormal basis, and (2)  $M$  is a type  $\text{III}_\lambda$  factor ( $0 \leq \lambda < 1$ ) and  $N$  a type  $\text{II}_\infty$  algebra. We identify the set  $U(M; N)$  and study its relationship to the elements continuous under the dual automorphism  $\hat{\theta}$ . In case (1) we show the relationship of  $U(M; N)$  to the work of Kadison and Singer [18].

## 2. THE RELATIVE DIXMIER PROPERTY

Let  $H$  be a Hilbert space, let  $M$  and  $N$  be von Neumann algebras on  $H$ , and let  $N$  be a subalgebra of  $M$ . Let  $F$  be the set of all functions of finite support of the set  $U(N)$  of unitary operators of  $N$  into  $[0, 1]$  such that  $\sum \{f(v) \mid v \in U(N)\} = 1$ . For  $x$  in  $M$ , let  $f \cdot x = \sum \{f(v) v xv^* \mid v \in U(N)\}$  and let  $K(x)$  be the closed convex set  $\overline{\text{co}}\{v xv^* \mid v \in U(N)\}$ ; then

$$K(x) = \text{norm closure } \{f \cdot x \mid f \in F\}.$$

We note that  $\|f \cdot x\| \leq \|x\|$  for every  $f$  in  $F$ . Also the relation

$$f \cdot (g \cdot x) = (f * g) \cdot x$$

holds, where  $f * g$  is the usual convolution

$$f * g(w) = \sum \{f(v) g(wv^*) \mid v \in U(N)\}$$

of the functions  $f$  and  $g$  on the discrete group  $U(N)$ . We note that

$$f \cdot K(x) \subset K(x),$$



and hence, if  $y \in K(x)$ , then  $K(y) \subset K(x)$ . We remark that  $f$  leaves  $N' \cap M$  pointwise invariant. Also in the sequel we use the fact that  $K(u) \subset Nu$  for every  $u \in U(M)$  with  $uNu^* = N$ ; indeed  $vu v^* = v(uv^*u^*)u$  for every  $v \in U(N)$ .

**DEFINITION 2.1.** An element  $x$  in  $M$  is said to possess the *relative Dixmier property* (RDP) with regard to  $N$  if  $K(x) \cap N' = K'(x)$  is nonvoid. Let  $N_d$  be the set of all elements with the relative Dixmier property.

It is easy to see that the set  $N_d$  is a norm closed set. If  $N = M$ , then  $K'(x)$  is always nonvoid. This is the theorem of Dixmier [9]. In this case  $K'(x)$  acts as a numerical range. For example, if  $M$  is semifinite, then  $K'(x) = \{x_0\}$  if and only if  $x - x_0$  is in the strong radical of  $M$  [8, 12, 24].

**LEMMA 2.2** [5]. *Let  $M$  and  $N$  be von Neumann algebras with  $N \subset M$ , let  $x$  be in  $M$ , and let  $p_1, p_2, \dots, p_n$  be orthogonal projections in  $N$  of sum 1; then  $\sum p_k x p_k$  is in  $K(x)$ .*

*Proof.* By induction we may assume that

$$y = p_1 x p_1 + \dots + p_{n-2} x p_{n-2} + (p_{n-1} + p_n) x (p_{n-1} + p_n)$$

is in  $K(x)$ . But  $v = p_1 + \dots + p_{n-1} - p_n$  is selfadjoint unitary in  $N$  and

$$\sum p_k x p_k = 2^{-1}(y + v y v^*),$$

is contained in  $K(y)$  and hence in  $K(x)$ .

Q.E.D.

*Remark.* We have actually shown that  $\sum p_k x p_k$  is in  $\text{co}\{v x v^* \mid v = v^* \in U(N)\}$ .

If  $N$  is abelian, several types of convex sets coincide as the next proposition demonstrates.

**PROPOSITION 2.3.** *Let  $N$  be an abelian von Neumann subalgebra of  $M$  and let  $x$  be in  $M$ . Let*

$$K_s(x) = \overline{\text{co}}\{v x v^* \mid v = v^* \in U(N)\},$$

and let

$$K_p(x) = \text{clos} \left\{ \sum p_k x p_k \mid p_1, \dots, p_n \text{ orthogonal projections in } N \text{ of sum } 1 \right\},$$

then

$$K_p(x) \cap N' = K_s(x) \cap N' = K(x) \cap N' = K'(x).$$

*Proof.* By Lemma 2.2 and the following remark, we have that

$$K_p(x) \subset K_s(x) \subset K(x).$$

Hence, it is sufficient to show that  $K'(x)$  is contained in  $K_p(x)$ . If  $a$  is in  $K'(x)$ , then  $0$  is in  $K'(x-a)$ ; and if  $0$  is in  $K_p(x-a)$  for  $a$  in  $M \cap N'$ , then  $a$  is in  $K_p(x)$ . Thus, there is no loss of generality in showing that  $0$  is in  $K_p(x)$  whenever  $0$  is in  $K(x)$ . Given  $\varepsilon > 0$ , there are positive numbers  $\alpha_1, \dots, \alpha_n$  of sum 1 and corresponding unitary operators  $v_1, \dots, v_n$  in  $N$  such that  $\|\sum \alpha_i v_i x v_i^*\| < \varepsilon$ . Since  $N$  is abelian, the  $v_i$  have a joint spectral resolution. Hence, there is no loss in generality in the assumption that each  $v_i$  is of the form  $v_i = \sum \{\alpha_{ij} p_j \mid 1 \leq j \leq m\}$ , where  $p_j$  are mutually orthogonal projections in  $N$  of sum 1 and  $\alpha_{ij}$  are numbers of modulus 1. Then for all  $i, j$ , we have that  $p_j v_i x v_i^* p_j = p_j x p_j$ , and hence,  $\|\sum p_j x p_j\| = \|\sum_j p_j (\sum_i \alpha_i v_i x v_i^*) p_j\| < \varepsilon$ . Since  $\varepsilon > 0$  is arbitrary,  $0$  is in  $K_p(x)$ .

Q.E.D.

The von Neumann algebra  $N = l^\infty(Z)$  is a maximal abelian subalgebra of the algebra  $M$  of bounded operators on  $l^2(Z)$ . The algebra  $N$  is identified with the algebra of diagonal infinite (in two directions) matrices in the space of (bounded) infinite (in two directions) matrices. An element  $x$  in  $M$  is said to be *paveable* if given  $\varepsilon > 0$ , there are orthogonal projections  $p_1, \dots, p_n$  in  $N$  of sum 1 with  $\|\sum p_i x p_i - E(x)\| < \varepsilon$ . Here  $E(x)$  is the diagonal of  $x$ . By Proposition 2.3 an element  $x$  in  $M$  is paveable if and only if  $x$  has the RDP relative to  $N$ .

Anderson has shown that every element  $x$  in  $M$  is paveable if and only if every pure state on  $N$  has a unique extension to a (pure) state on  $M$  [1, 2].

When  $N$  is abelian, the Markov-Kakutani theorem implies that the  $\sigma$ -weak closure of  $K(x)$  has a nonvoid intersection with  $N'$ . Hence, there is a conditional expectation  $E$  of  $M$  onto  $N' \cap M$  such that, for all  $x$  in  $M$ ,  $E(x)$  is in the  $\sigma$ -weak closure of  $K(x)$  due to the result of Schwartz [23]. If  $E$  is any conditional expectation of  $M$  onto  $N' \cap M$ , and if  $N' \cap M = N$ , then the set  $K'(x)$  is either void or equal to the singleton set  $\{E(x)\}$ . Thus, if  $N' \cap M = N$ , there exists a unique conditional expectation of  $M$  onto  $N' \cap M$  whenever every  $x$  in  $M$  has the RDP with respect to  $N$ . We state a nonabelian version of this in Proposition 4.8.

We now study a subset  $N_0$  of the set  $N_d$  of all elements with the relative Dixmier property.

**PROPOSITION 2.4.** *Let  $N$  and  $M$  be von Neumann algebras with  $N \subset M$  and let*

$$N_0 = \{x \in M \mid f \cdot x \in N_d \text{ for every } f \in F\}.$$



Then  $N_0$  is a norm closed self-adjoint subspace of  $M$ , which contains  $N$ , and is a two-sided module over  $N' \cap M$ .

*Proof.* Given  $x_1, x_2$  in  $N_0$ ,  $f$  in  $F$ , and  $\varepsilon > 0$ , there are functions  $g_1, g_2$  in  $F$  and elements  $a_1, a_2$  in  $N' \cap M$  such that  $\|g_1 \cdot f \cdot x_1 - a_1\| < \varepsilon$  and  $\|g_2 \cdot g_1 \cdot f \cdot x_2 - a_2\| < \varepsilon$ , whence

$$\begin{aligned} & \|g_2 \cdot g_1 \cdot f \cdot (x_1 + x_2) - (a_1 + a_2)\| \\ & \leq \|g_2 \cdot (g_1 \cdot f \cdot x_1 - a_1)\| + \|g_2 \cdot g_1 \cdot f \cdot x_2 - a_2\| < 2\varepsilon. \end{aligned}$$

Here we used the fact that  $g_2 \cdot a_1 = a_1$ . This means that  $K'(f \cdot (x_1 + x_2))$  is nonvoid because the distance of  $K(f \cdot (x_1 + x_2))$  to  $N'$  is arbitrarily small. Consequently, we see that  $x_1 + x_2$  is in  $N_0$ . The other algebraic properties are clear. Finally,  $N_0$  is norm closed as is easy to verify using the fact that  $\|f \cdot x\| \leq \|x\|$  for every  $x$  in  $M$  and  $f$  in  $F$ . Q.E.D.

COROLLARY 2.5. *If  $N$  is abelian, then  $N_0 = N_d$ .*

*Proof.* We have that  $N_0 \subset N_d$  in general. Conversely, let  $x \in N_d$ , let  $a \in K'(x)$  and let  $f \in F$ . There is a sequence  $\{f_n\}$  in  $F$  such that  $\lim f_n \cdot x = a$ . However, we have that  $f_n * f = f * f_n$  and  $\lim f_n \cdot (f \cdot x) = \lim f \cdot (f_n \cdot x) = f \cdot a = a$ . Thus  $K'(f \cdot x) = K'(x)$  is nonvoid, whence  $x \in N_0$ . Q.E.D.

### 3. ALGEBRAS WITH SPATIAL AUTOMORPHISMS

Let  $N$  be a von Neumann algebra with center  $C$  on the separable Hilbert space  $H$  and let  $\theta$  be an automorphism of  $N$ . There is a largest projection  $p(\theta)$  in the fixed point algebra  $N^\theta$  of  $N$  such that the restriction of  $\theta$  to  $N_{p(\theta)}$  is inner. The projection  $p(\theta)$  actually is in  $C$  [7]. The automorphism  $\theta$  is said to be *properly outer* if  $p(\theta) = 0$  and it is said to be *aperiodic* if  $p(\theta^n) = 0$  for all  $n \neq 0$ . Connes [7] has shown that the automorphism  $\theta$  is properly outer if and only if, given a nonzero projection  $p$  in  $N$  and given  $\varepsilon > 0$ , there is a nonzero projection  $q$  in  $N$ ,  $q \leq p$ , with  $\|q\theta(q)\| < \varepsilon$  (cf. [25, 17.9]).

For a properly outer automorphism  $\theta$  on  $N$  implemented by a unitary operator  $u$  on  $H$ , we show that  $K(au)$  contains 0 for every  $a$  in  $N$ . We break the proof into several steps, each of which uses some form of the following proposition, which can be viewed as a generalization of the previously mentioned result of Connes [7].

PROPOSITION 3.1. *Let  $N$  be a von Neumann algebra and let  $\theta$  be a properly outer automorphism on  $N$ ; then, for every  $a$  in  $N$ , every nonzero pro-*

jection  $p$  in  $N$ , and every  $\varepsilon > 0$ , there is a nonzero projection  $q \leq p$  in  $N$  such that  $\|qa\theta(q)\| < \varepsilon$ .

*Proof.* By passing to a nonzero subprojection of  $p$  if necessary, there is no loss of generality in the assumption that  $pa$  can be written as  $pa = bw$ , where  $b \in N^+$  and  $w \in U(N)$ . The polar decomposition plus some manipulations with finite and purely infinite projections will produce this. There is also no loss of generality in the assumption that the range support of  $b$  is  $p$ ; otherwise, there is a nonzero projection majorized by  $p$  that left annihilates  $pa$  and consequently trivially satisfies the conclusion of our proposition. There is a scalar  $0 < \alpha < \|b\|$  and a nonzero spectral projection  $q'$  of  $b$  majorized by  $p$  such that

$$\|q'(b - \alpha)\| < \varepsilon/2.$$

Notice that  $\text{ad } w \cdot \theta$  is still a properly outer automorphism of  $N$ . So there is a nonzero projection  $q$  majorized by  $q'$  such that

$$\|q \text{ ad } w \cdot \theta(q)\| < \varepsilon/2 \|b\|.$$

Then we have that

$$\begin{aligned} \|qa\theta(q)\| &= \|qbw\theta(q)\| \\ &\leq \|q(b - \alpha) \text{ ad } w \cdot \theta(q)\| + \alpha \|q \text{ ad } w \cdot \theta(q)\| \\ &\leq \varepsilon. \end{aligned} \quad \text{Q.E.D.}$$

Consideration of a properly outer automorphism  $\theta$  on an algebra  $N$  with center  $C$  can be split into three cases: (1)  $p(\theta|C) = 0$ ; (2)  $p(\theta|C) = 1$  and  $N$  is finite; and (3)  $p(\theta|C) = 1$  and  $N$  is properly infinite. We consider these three cases separately using a maximality argument based on Proposition 3.1.

**PROPOSITION 3.2.** *If the automorphism  $\theta$  of  $N$  is properly outer on the center  $C$  of  $N$ , then  $0 \in K(\text{au})$  for every  $a \in N$ .*

*Proof.* Let  $\{p_n\}$  be a maximal set of nonzero orthogonal projections in  $C$  such that  $p_m\theta(p_n) = 0$  for all  $m, n$ . Setting  $p = \sum p_n$ , we have that  $p\theta(p) = 0$ . We show that  $p_0 = \text{lub}\{p, \theta(p), \theta^{-1}(p)\} = 1$ . On the contrary, suppose that  $p_0 \neq 1$ . Then there is a nonzero projection  $q \leq 1 - p_0$  in  $C$  such that  $\|q\theta(q)\| < 1$ . Since  $q\theta(q)$  is a projection, we have  $q\theta(q) = 0$ . But we have that

$$\theta(q) \leq \theta(1 - p_0) \leq 1 - p$$



and hence  $p\theta(q) = 0$ . Likewise, we have that  $\theta(p)q = 0$ . Thus, the existence of  $q$  contradicts the maximality of  $\{p_n\}$ . So we must have that  $\text{lub}\{p, \theta(p), \theta^{-1}(p)\} = 1$ .

We have that  $p\theta(p) = p\theta^{-1}(p) = 0$ . Consequently, the four projections given by  $r_1 = \theta^{-1}(p)\theta(p)$ ,  $r_2 = \theta(p) - r_1$ ,  $r_3 = \theta^{-1}(p) - r_1$ , and  $r_4 = p$  are orthogonal central projections of sum 1. We have that

$$r_m u r_m = r_m \theta(r_m) u = 0$$

for  $1 \leq m \leq 4$ , whence

$$\sum r_m a u r_m = 0.$$

However, the sum  $\sum r_m a u r_m$  is in  $K(au)$  (Lemma 2.2) and so 0 is in  $K(au)$ .  
Q.E.D.

We now assume that  $p(\theta | C) = 1$ . This means that  $\theta$  is the identity on  $C$ .

**PROPOSITION 3.3.** *If  $N$  is finite and  $p(\theta | C) = 1$ , then  $0 \in K(au)$  for every  $a \in N$ .*

*Proof.* Let  $\varepsilon > 0$ , let  $p$  be a nonzero projection of  $N$  and let  $\{q_n\}$  be a maximal set of mutually orthogonal nonzero projections of  $N$  majorized by  $p$  such that (1)  $\|q_n a \theta(q_n)\| < \varepsilon$  and (2)  $q_n a \theta(q_m) = 0$  for  $n \neq m$ . Let  $q = \sum q_n$ ; then  $q \leq p$  and  $\|q a \theta(q)\| < \varepsilon$ . Let  $r$  be any central projection and let

$$q' = \text{lub}\{l(r p a \theta(q)), l(r p \theta^{-1}(a^* q)), r q\},$$

where  $l(x)$  denotes the left support of the operator  $x$ , i.e., the range projection of  $x$ . Then we have  $q' = r p$ . Indeed otherwise  $q'' = r p - q' \neq 0$ . But then  $q'' \leq p$ ,

$$q'' a \theta(q) = q'' r p a \theta(q) = q'' q' r p a \theta(q) = 0,$$

and likewise  $q a \theta(q'') = 0$  and  $q q'' = 0$ . If  $q''$  is replaced by a smaller projection, the preceding relations also hold. But by Proposition 3.1 there is a nonzero projection  $s \leq q''$ , such that  $\|s a \theta(s)\| < \varepsilon$ . This contradicts the maximality of  $\{q_n\}$ . Therefore  $q' = r p$ .

Let now  $\phi$  be the canonical center valued trace on  $N$  [10, III.5]. Since  $\{\phi(x)\} = K(x) \cap C$  for all  $x \in N$  and since it is easy to verify that  $\theta(K(x)) = K(\theta(x))$ , we have  $\phi(\theta(x)) = \theta(\phi(x)) = \phi(x)$ . From

$$l(r p a \theta(q)) \sim l(r \theta(q) a^* p) \leq r \theta(q) = \theta(r q)$$

and

$$l(rp\theta^{-1}(a^*q)) \sim l(r\theta^{-1}(q)\theta^{-1}(a)p) \leq r\theta^{-1}(q) = \theta^{-1}(rq),$$

we have  $\phi(rp) = \phi(q') \leq 3\phi(rq)$ . Since this inequality holds for every central projection  $r$ , we conclude that  $\phi(q) \geq 3^{-1}\phi(p)$ . Therefore, by induction we can construct a sequence  $\{p_n\}$  of mutually orthogonal projections in  $N$  such that (1)  $\|p_n a \theta(p_n)\| < \varepsilon$ , (2)  $\phi(p_n) \geq 3^{-1}(1 - \phi(\sum \{p_m \mid 0 \leq m \leq n-1\}))$ , where we choose  $p_0 = 0$ . Then we have  $\phi(1 - \sum \{p_m \mid 0 \leq m \leq n\}) \leq (\frac{2}{3})^n$ . Choose integers  $n, k$  so that  $(\frac{2}{3})^n < 1/k < \varepsilon$  and set  $p' = 1 - \sum \{p_m \mid 0 \leq m \leq n\}$ . Since  $\phi(p') \leq \phi(1 - p')$ ,  $p'$  is unitarily equivalent to a subprojection of  $1 - p'$ . By iteration we can find  $k$  unitary operators  $w_j \in N$  such that the projections  $w_j p' w_j^*$  are mutually orthogonal. Then by Lemma 2.2 the operator  $\sum k^{-1} w_j (p_1 a u p_1 + \cdots + p_n a u p_n + p' a u p') w_j^*$  belongs to  $K(au)$  and has norm not larger than

$$\begin{aligned} & \sum k^{-1} \|w_j (p_1 a u p_1 + \cdots + p_n a u p_n) w_j^*\| \\ & \quad + \left\| \sum k^{-1} w_j p' w_j^* (w_j a u w_j^*) w_j p' w_j^* \right\| \\ & \leq \|p_1 a u p_1 + \cdots + p_n a u p_n\| + k^{-1} \text{lub} \|w_j a u w_j^*\| \\ & \leq \text{lub} \|p_j a \theta(p_j)\| + k^{-1} \|a\| \\ & \leq \varepsilon(1 + \|a\|). \end{aligned}$$

Since  $\varepsilon$  is arbitrary, we conclude that  $0 \in K(au)$ .

Q.E.D.

**PROPOSITION 3.4.** *If  $N$  is properly infinite and if  $p(\theta|C) = 1$ , then  $0 \in K(au)$  for every  $a \in N$ .*

*Proof.* Let  $\varepsilon > 0$  and let  $\{q_n\}$  be a maximal set of mutually orthogonal nonzero projections of  $N$  such that (1)  $\|q_n a \theta(q_n)\| < \varepsilon$  for all  $n$  and (2)  $q_n a \theta(q_m) = 0$  for all  $n \neq m$ . Then the projection  $q = \sum q_n$  is properly infinite and has central support  $c(q) = 1$ . On the contrary, there would be a nonzero central projection  $r$  such that  $qr$  is finite or zero. Setting

$$q' = \text{lub} \{l(ra\theta(q)), l(r(\theta^{-1}(a^*q))), rq\},$$

we would have that  $q'$  is finite or zero because  $rq$ ,  $r\theta(q)$ , and  $r\theta^{-1}(q)$  are all finite or zero. As in the proof of Proposition 3.3, we could find a nonzero projection  $q''$  with  $q'' \leq r - q'$  that satisfies relations (1) and (2). However, this would contradict the maximality of the set  $\{q_n\}$ . Therefore, the projection  $q$  is properly infinite of central support 1. This means that  $q \sim 1$



because  $N$  acts on a separable Hilbert space. We also have that  $\|qa\theta(q)\| < \varepsilon$ .

By passing if necessary to a subprojection of  $q$ , we may assume that  $q$  is unitarily equivalent to  $1 - q$ . Now by recursion we can find  $k$  unitary operators  $w_j$  in  $N$  such that the projections  $w_j(1 - q)w_j^*$  are mutually orthogonal. Here  $k$  is chosen so that  $1/k < \varepsilon$ . Then the operator

$$\sum k^{-1}w_j(qauq + (1 - q)au(1 - q))w_j^*$$

is in  $K(au)$  (Lemma 2.2) and has norm not exceeding

$$\|qa\theta(q)\| + k^{-1} \text{lub} \|w_j(1 - q)au(1 - q)w_j^*\| < \varepsilon(1 + \|a\|).$$

Since  $\varepsilon > 0$  is arbitrary, we conclude that 0 is in  $K(au)$ .

Q.E.D.

Now we combine the previous propositions and obtain one of our main results.

**THEOREM 3.5.** *Let  $N$  be a von Neumann algebra on a separable Hilbert space  $H$  and let  $u$  be a unitary operator on  $H$  such that  $\text{ad } u$  induces an automorphism of  $N$ . Then, for every  $a \in N$ , the element  $au$  is in  $N_0 = \{x \in B(H) \mid f \cdot x \in N_d \text{ for every } f \in F\}$  and in particular  $0 \in K(au)$  if  $\text{ad } u$  is properly outer.*

*Proof.* Let  $\theta$  be the restriction of  $\text{ad } u$  to  $N$ , let  $C$  be the center of  $N$ , and let  $p_1 = p(\theta)$  and  $p = 1 - p_1$ . Then  $p_1 \in C$  and  $\theta(p_1) = p_1$  [6, 1.5.1]. Let  $p_2 = p - p(\theta|C_p)$ . We also have that  $p_2 \in C$  and  $\theta(p_2) = p_2$ . Let  $p(\theta|C_p) = p_3 + p_4$  be the canonical decomposition of  $p(\theta|C_p)$  into the sum of a finite central projection  $p_3$  and a properly infinite central projection  $p_4$  for  $N$ . Since  $\theta(p_3)$  is finite, we have that  $\theta(p_3) = p_3$  and consequently that  $\theta(p_4) = p_4$ . Thus, we have the decomposition  $N = \Sigma \oplus N_i$ ,  $C = \Sigma \oplus C_i$ ,  $\theta = \Sigma \oplus \theta_i$ , where  $N_i = N_{p_i}$ ,  $C_i = C_{p_i} = N_i \cap N_i'$ ,  $\theta_i = \theta|N_i = \text{ad } up_i|N_i$  for  $1 \leq i \leq 4$ .

Let  $F_i$  be the set of nonnegative functions  $f$  with finite support on  $U(N_i)$  such that  $\sum \{f(v) \mid v \in U(N_i)\} = 1$ . Embed  $F_i$  in  $F$  by setting

$$f_i(v) = f_i(vp_i)$$

if  $v(1 - p_i) = 1 - p_i$  and  $f_i(v) = 0$  otherwise. For every  $a$  in  $N$  and  $f \in F$ , let  $a(f)$  be the element in  $N$  given by  $a(f) = \sum \{f(v)va\theta(v^*) \mid v \in U(N)\}$ . Then  $f \cdot (au) = a(f)u$ . As a consequence of this, it is sufficient to show  $au \in N_d$  for all  $a \in N$  in order to show  $au$  is in  $N_0$ .

Now let  $a \in N$  and let  $\varepsilon > 0$ . Since  $\theta_1$  is an inner automorphism on  $N_1$ , there is a unitary operator  $w$  in  $N_1$  such that  $uw p_1$  is in  $N_1$ . There is an  $f_1$  in  $F_1$  and a  $c$  in  $C_1$  such that  $\|f_1 \cdot (auwp_1) - c\| < \varepsilon/4$

by the Dixmier property. Thus, we get  $\|f_1 \cdot (aup_1) - cw^*\| = \|f_1 \cdot (auwp_1) - c\| < \varepsilon/4$  due to the fact that multiplication by  $w$  commutes with the action of  $f_1$ . Since  $\{N_i, \theta_i\}$  ( $i = 2, 3, 4$ ) satisfy Propositions 3.2, 3.3, and 3.4, respectively, we can find  $f_i \in F_i \subset F$  such that

$$\begin{aligned}\|f_2 \cdot a(f_1) up_2\| &< \varepsilon/4, \\ \|f_3 \cdot a(f_2 * f_1) up_3\| &< \varepsilon/4,\end{aligned}$$

and

$$\|f_4 \cdot a(f_3 * f_2 * f_1) up_4\| < \varepsilon/4.$$

Then, for  $f = f_4 * f_3 * f_2 * f_1$ , we have

$$\begin{aligned}\|f \cdot au - cw^*\| &\leq \|f_4 \cdot a(f_3 * f_2 * f_1) up_4\| \\ &\quad + \|f_4 \cdot (f_3 \cdot a(f_2 * f_1) up_3)\| \\ &\quad + \|f_4 * f_3 \cdot (f_2 \cdot a(f_1) up_2)\| \\ &\quad + \|f_4 * f_3 * f_2 \cdot (f_1 \cdot aup_1 - cw^*)\| \\ &\leq \varepsilon.\end{aligned}$$

Since  $\varepsilon$  is arbitrary and since  $cw^*$  is in  $N'_1$ , we have that  $K'(au)$  is nonvoid. If  $u$  is properly outer, then  $p_1 = 0$  and thus  $0$  is in  $K'(au)$ . Q.E.D.

We conclude with the following characterization of those  $x \in N_0$  with  $K'(x) = \{0\}$ . In particular, this applies to  $x = au$  for  $a \in N$ , and  $au$  properly outer.

**PROPOSITION 3.6.** *If  $0 \in K(f \cdot x)$  for all  $f \in F$ , then  $K'(x) = \{0\}$ .*

*Proof.* Let  $z \in K'(x)$  and let  $\varepsilon > 0$ . Then there is an  $f \in F$  such that  $\|f \cdot x - z\| < \varepsilon/2$  and a  $g \in F$  such that  $\|g \cdot (f \cdot x)\| < \varepsilon/2$ . Thus

$$\|z\| \leq \|g \cdot (f \cdot x - z)\| + \|g \cdot (f \cdot x)\| < \varepsilon.$$

Since  $\varepsilon$  is arbitrary we conclude that  $z = 0$ .

Q.E.D.

#### 4. CROSSED PRODUCTS

Let  $N$  be a von Neuman algebra with center  $C$  on the separable Hilbert space  $K$  and let  $\theta$  be an automorphism of  $N$ . Let  $H$  be the separable Hilbert space  $H = L^2(K, Z)$  of square summable functions of  $Z$  into  $K$  and let the crossed product  $M = N \times_{\theta} Z$  of  $N$  by the action  $\theta$  of  $Z$  be represented on  $H$ . Let  $\pi = \pi_{\theta}$  be the canonical embedding of  $N$  into  $M$  and let  $u = u_{\theta}$  be the



unitary operator on  $H$  given by  $(u\zeta)(n) = \zeta(n-1)$ . In the sequel, we identify  $N$  with its image  $\pi(N)$ . Let  $E$  be the *canonical expectation* of  $M$  onto  $N$ . Each element  $x$  in  $M$  is uniquely determined by the totality of the values  $E(xu^{-n})$  and can be represented as a generalized Fourier series  $\sum E(xu^{-n})u^n$ . The series converges in the Bures topology which is weaker than the weak convergence of the finite partial sums [19]. Let  $U(M; N)$  be the group of all unitary operators  $v$  in  $M$  with  $vNv^* = N$ . We note that  $N' \cap M = N' \cap N = C$  if  $\theta$  is aperiodic [25; 22.3]. The *normalizer* of  $E$  is the group of all unitary operators  $v$  in  $M$  such that  $E(vxv^*) = vE(x)v^*$  for every  $x$  in  $M$ . If  $N' \cap M = C$ , the normalizer of  $E$  coincides with the group  $U(M; N)$  [25, 10.17]. We note that  $U(N) \subset U(M; N)$ .

We can now state one of our main results.

**THEOREM 4.1.** *Let  $N$  be a von Neumann algebra on the separable Hilbert space  $H$ , let  $\theta$  be an automorphism of  $N$ , and let  $M = N \times_{\theta} Z$ . Then the  $C^*$ -algebra  $A$  generated by the normalizer  $U(M; N)$  is contained in  $N_0$ . In particular, every element  $x \in A$  has the relative Dixmier property and if  $\theta$  is aperiodic,  $K(x)$  has a nonvoid intersection with the center  $C$  of  $N$ .*

*Proof.* The set of linear combinations of elements of  $U(M; N)$  is dense in  $A$  because  $U(M; N)$  is a group. Since  $N_0$  is a Banach space (Proposition 2.4), it is sufficient to show  $U(M; N) \subset N_0$ . This has already been shown in a more abstract setting in Theorem 3.5. Finally, if  $\theta$  is aperiodic, we have that  $K'(x) = K(x) \cap N' \cap M = K(x) \cap C$ . Q.E.D.

For every  $t$  in the torus  $T$ , identified with the dual group of  $Z$ , there is an automorphism  $\hat{\theta}_t$  on  $M$  uniquely determined by  $\hat{\theta}_t(x) = x$  ( $x \in N$ ) and  $\hat{\theta}_t(u) = t^{-1}u$ . This so-called *dual action*  $t \rightarrow \hat{\theta}_t$  on  $M$  is strongly continuous. The canonical expectation  $E$  is then given by integration with respect to the normalized Haar measure on  $T$  as  $E(x) = \int \hat{\theta}_t(x) dt$ .

**DEFINITION 4.2.** Let  $M$  be the crossed product of  $N$  by the action of the automorphism  $\theta$ . An element  $x$  in  $M$  is said to be *continuous* if  $t \rightarrow \hat{\theta}_t(x)$  is continuous in the norm topology. The set of all continuous elements will be denoted by  $M_c$ .

The set  $M_c$  is a weakly dense norm closed  $*$ -subalgebra of  $M$  [21, 7.5.1]. We now describe  $M_c$ . We state this in a more general context.

**PROPOSITION 4.3.** *Let  $\sigma$  be the action of a locally compact abelian group  $G$  on the von Neumann algebra  $A$ ; then the  $C^*$ -algebra  $A_c$  of all  $x$  in  $A$  such that  $t \rightarrow \sigma_t(x)$  is continuous in the norm is the set  $\{\sigma(\phi)x \mid \phi \in L^1(G), x \in A\}$ . Here  $\sigma(\phi)x$  is given by  $\sigma(\phi)x = \int \phi(t)\sigma_t(x) dt$ .*

*Proof.* It is known that the norm closure of  $L^1(G)A = \{\sigma(\phi)x \mid \phi \in L^1(G)\}$ ,

$x \in A\}$  is equal to the set  $A_c$  of continuous elements (cf. [21, 7.5.1]). However, the set  $A_c$  forms a left Banach module over  $L^1(G)$ , since  $\|\sigma(\phi)x\| \leq \|\phi\|_1 \|x\|$  for  $\phi$  in  $L^1(G)$ . The approximate identity of  $L^1(G)$  is an approximate identity for the Banach module  $A_c$ . Therefore, Cohen's factorization theorem is applicable (cf. [17, 32.22]) so that  $L^1(G)A_c$  is already closed and the three spaces  $L^1(G)A$ ,  $L^1(G)A_c$ , and  $A_c$  thus coincide.

Q.E.D.

In our setting, we have  $M_c = \{\hat{\theta}(\phi)x \mid \phi \in L^1(T), x \in M\}$ .

LEMMA 4.4. *Let  $\phi \in L^1(T)$  and  $x = \sum a_n u^n \in M$ , then  $\hat{\theta}(\phi)x = \sum (\hat{\phi}(n)a_n)u^n$ , where the series are the generalized Fourier expansions of  $x$  and  $\hat{\theta}(\phi)x$ , respectively.*

*Proof.* Let  $x = \sum a_n u^n$ , i.e.,  $a_n = E(xu^{-n})$ . Recall that  $E$  is  $\sigma$ -weakly continuous and that  $E \circ \hat{\theta}_t = \hat{\theta}_t \circ E = E$  for all  $t \in T$ . Then

$$\begin{aligned} E((\hat{\theta}(\phi)x)u^{-n}) &= E\left(\int \phi(t) \hat{\theta}_t(x) u^{-n} dt\right) \\ &= E\left(\int \phi(t) t^{-n} \hat{\theta}_t(xu^{-n}) dt\right) \\ &= \int \phi(t) t^{-n} E(\hat{\theta}_t(xu^{-n})) dt \\ &= \left(\int \phi(t) t^{-n} dt\right) E(xu^{-n}) \\ &= \hat{\phi}(n)a_n. \end{aligned}$$

Q.E.D.

Using this lemma on Cesaro summability, we have a simple proof of the fact that  $M_c$  coincides with the  $C^*$ -crossed product of  $N$  by  $\theta$ , i.e., with the  $C^*$ -algebra generated by  $N$  and  $u$ , which is the norm closure of  $\text{span}\{au^n \mid a \in N, n \in \mathbb{Z}\}$  (cf. [20]).

PROPOSITION 4.5.  *$\text{span}\{au^n \mid a \in N, n \in \mathbb{Z}\}$  is norm dense in  $M_c$ .*

*Proof.* For every  $a \in N$ ,  $n \in \mathbb{Z}$  we have that  $au^n \in M_c$ , since  $\hat{\theta}_t(au^n) = t^{-n}au^n$ . Conversely, let  $\phi \in L^1(T)$ ,  $x \in M$ , and  $\varepsilon > 0$ , then there is a  $\psi \in L^1(T)$  such that  $\|\psi * \phi - \phi\|_1 < \varepsilon$  and such that the support of  $\psi$  is finite [17, 33.12]. Since

$$\|\hat{\theta}(\psi * \phi)(x) - \hat{\theta}(\phi)x\| \leq \|\psi * \phi - \phi\|_1 \|x\| \leq \varepsilon \|x\|$$

$\hat{\theta}(\phi)x$  is approximated by the finite sum

$$\hat{\theta}(\psi * \phi)x = \sum \hat{\psi}(n) \hat{\phi}(n) a_n u^n.$$

Q.E.D.



**THEOREM 4.6.** *Let  $N$  be a von Neumann algebra on a separable Hilbert space, let  $\theta$  be an automorphism of  $N$ , let  $M = N \times_{\theta} \mathbb{Z}$ , and let  $M_c$  be the set of elements of  $M$  continuous under the dual automorphism  $\hat{\theta}$ ; then  $M_c \subset N_0$ . In particular, every continuous element of  $M$  has the relative Dixmier property.*

*Proof.* By Proposition 4.5, the algebra  $M_c$  is the  $C^*$ -algebra generated by  $N$  and  $u$ , and is thus contained in the  $C^*$ -algebra generated by  $U(M; N)$ . By Theorem 4.1, the algebra  $M_c$  is contained in  $N_0$ . Q.E.D.

—We assume henceforth that  $M, \theta$  are as in Theorem 4.6 and that  $\theta$  is aperiodic. We shall see in Section 5 that there are elements with the RDP that are not in  $M_c$ , however, the continuous elements have a connection with the relative Dixmier property. We state this in the following form:

**PROPOSITION 4.7.** *The element  $x \in M$  has the relative Dixmier property if and only if  $K(x) \cap M_c$  is nonvoid.*

*Proof.* If  $x$  has the RDP, there is a  $z \in K'(x)$ ; but then  $z \in M \cap N' = N \cap N'$  is fixed under  $\hat{\theta}$ , hence is in  $M_c$ . Conversely, if  $y \in K(x) \cap M_c$  then by Theorem 4.6,  $K'(y) \subset K'(x)$  is nonvoid and thus  $x$  has the RDP. Q.E.D.

If  $x \in M_c$ , or more generally if  $x \in N_0$ , then  $K'(x)$  coincides with the essential central range  $K'(E(x))$  of  $E(x)$  [8; 12; 13].

**PROPOSITION 4.8.** (a)  $K'(x) \subset K'(E(x))$  for every  $x \in M$ ; and (b)  $K'(x) = K'(E(x))$  for every  $x \in N_0$ .

*Proof.* (a) Let  $z \in K'(x)$ , let  $\varepsilon > 0$ , and let  $f \in F$  be such that  $\|f \cdot x - z\| < \varepsilon$ . Then  $\|E(f \cdot x - z)\| = \|f \cdot E(x) - z\| < \varepsilon$ . Since  $\varepsilon$  is arbitrary,  $z \in K(E(x))$ .

(b) Let  $z \in K'(E(x))$ , let  $\varepsilon > 0$ , and let  $f \in F$  be such that  $\|f \cdot E(x) - z\| < \varepsilon$ . Then there is a  $z' \in K'(f \cdot x)$  and hence a  $g \in F$  such that  $\|g * f \cdot x - z'\| < \varepsilon$ . Therefore,

$$\begin{aligned} \|z - z'\| &\leq \|z - g * f \cdot E(x)\| + \|g * f \cdot E(x) - z'\| \\ &= \|g \cdot (z - f \cdot E(x))\| + \|E(g * f \cdot x - z')\| \\ &< 2\varepsilon. \end{aligned}$$

This shows that  $K'(x)$  is dense in  $K'(E(x))$  and hence coincides with it. Q.E.D.

If  $N$  is a properly infinite algebra we can strengthen Theorem 4.6: we show that if  $x \in M$  has a "large piece" in  $M_c$  then  $x$  has the RDP.

**PROPOSITION 4.9.** *Let  $N$  be properly infinite and let  $x \in M$ . If there is a projection  $p \in N$ ,  $p \sim I$  such that  $pxp \in M_c$ , then  $x$  has the relative Dixmier property.*

*Proof.* For every projection  $p' \leq p$  in  $N$  we have that  $t \rightarrow \hat{\theta}_t(p'xp') = p'\hat{\theta}_t(pxp)p'$  is norm continuous and hence  $p'xp' \in M_c$ . Thus we can assume without loss of generality that  $1 - p \sim p \sim 1$ . Then reasoning as in [13, Theorem 4.12], for every  $\varepsilon > 0$  and  $k > \varepsilon^{-1}\|x\|$  we can find  $k$  unitary operators  $w_j$  such that  $w_j(1-p)w_j^*$  are mutually orthogonal. Let  $f(v) = k^{-1}$  for  $v = w_j$ ,  $j = 1, 2, \dots, k$ , and zero otherwise; then  $\|f \cdot (1-p)x(1-p)\| = k^{-1} \|\sum w_j(1-p)x(1-p)w_j^*\| < \varepsilon$ . Since  $pxp \in M_c \subset N_0$ ,  $f \cdot pxp \in N_0$  and hence there is a  $z \in N \cap N'$  and a  $g \in F$  such that  $\|g * f \cdot pxp - z\| < \varepsilon$ . But then  $g * f \cdot (pxp + (1-p)x(1-p))$  belongs to  $K(x)$  by Lemma 2.2 and has distance from  $z$  and hence  $N'$  not greater than  $2\varepsilon$ . Therefore  $x$  has the RDP. Q.E.D.

## 5. $B(H)$ AND TYPE $\text{III}_\lambda$ ( $0 \leq \lambda < 1$ ) FACTORS

Let  $\{\zeta_n | n \in \mathbb{Z}\}$  be the canonical basis of the Hilbert space  $l^2 = l^2(\mathbb{Z})$  given by  $\zeta_n(m) = \delta_{mn}$  (Kronecker delta) and let  $u_{mn}$  be the partial isometries on  $l^2$  given by  $u_{mn}(\zeta) = (\zeta, \zeta_n)\zeta_m$ . The von Neumann algebra  $N$  of diagonal operators with respect to the basis  $\{\zeta_n\}$  is isomorphic to  $l^\infty(\mathbb{Z})$  under the identification  $\phi \rightarrow \sum \phi(n)u_{nn}$ . If  $u$  denotes the bilateral shift on  $l^2(\mathbb{Z})$  given by  $u(\sum \alpha_n \zeta_n) = \sum \alpha_n \zeta_{n+1}$ , then  $\text{ad } u = \theta$  is a properly outer automorphism on  $N$ . In fact, given any nonzero projection  $p$  in  $N$ , any 1-dimensional subprojection  $q$  of  $p$  satisfies  $q\theta(q) = 0$ . Similarly, each automorphism  $\theta^n$  ( $n \neq 0$ ) is properly outer and so  $\theta$  is aperiodic.

The algebra  $N$  and the bilateral shift generate the algebra  $B(l^2)$  of all bounded linear operators on  $l^2$ . On the other hand, the bilateral shift generates the (von Neumann) algebra  $L(\mathbb{Z})$  of Laurent operators. The algebra  $L(\mathbb{Z})$  is isomorphic to  $L^\infty(T)$  under the map  $\phi \rightarrow \sum \hat{\phi}(n)u^n = L_\phi$  so that  $L_\phi \zeta = \phi * \zeta$  for  $\zeta$  in  $l^2$ . The function  $\phi$  is called the symbol of the Laurent operator  $L_\phi$ .

For  $t$  in  $T$ , let  $w_t$  be the unitary operator on  $l^2$  given by  $(w_t \zeta)(n) = t^{-n} \zeta(n)$ . The map  $t \rightarrow w_t$  is a strongly continuous unitary representation of  $T$  on  $l^2(\mathbb{Z})$  with generator  $d$  (i.e.,  $w_t = \exp(\log td)$ ) with  $d$  equal to an unbounded selfadjoint operator affiliated with  $N$ . If the algebra  $B(l^2)$  is identified with the crossed product  $N \times_\theta \mathbb{Z}$  under the isomorphism that sends  $x$  in  $N$  into  $\pi_\theta(x)$  and  $u$  into  $u_\theta$ , then  $\text{ad } w_t$  is the action  $\hat{\theta}_t$  dual to  $\theta$ .



The algebra  $B_c = B(l^2)_c$  is precisely the  $C^*$ -algebra generated by  $N$  and  $u$  (cf. Proposition 4.5). The algebra  $B_c \cap L(Z)$  is by Proposition 4.5 the  $C^*$ -algebra generated by  $u$ , i.e., the algebra of all Laurent operators with continuous symbol. By Theorem 4.6 all the elements of  $B_c$  have the relative Dixmier property. In another place [16, 4.2] we show that the Laurent operators with Riemann integrable symbol have the RDP. It is an open question [2; 18] whether all operators in  $B(H)$  or even in  $L(Z)$  have the relative Dixmier property.

The compact operators on  $l^2$  are also in  $B_c$ . In fact, we have a more general result. Let  $T^\infty$  be the compact group  $T^\infty = \prod \{T_n \mid n \in Z\}$ , where  $T_n = T$ . We embed  $T$  in  $T^\infty$  by identifying  $t$  in  $T$  with  $\{t^n\}$  in  $T^\infty$ . The map  $w$  of  $T^\infty$  into  $N$  given by  $(w_\alpha \zeta)(n) = \alpha(n) \zeta(n)$  for  $\alpha \in T^\infty$  is a strongly continuous unitary representation of  $T^\infty$  on  $l^2$  since

$$\alpha \rightarrow (w_\alpha \zeta, \xi) = \sum \overline{\alpha(n)} \zeta(n) \overline{\xi(n)}$$

is continuous if  $\zeta, \xi$  have finite support and thus is continuous for any  $\zeta, \xi$  in  $l^2$ . We note that  $w_t$  for  $t$  in  $T$  has the meaning originally assigned to it. Notice that the range of  $w$  is actually  $U(N)$  and that  $T^\infty$  and  $U(N)$  with its strong (equivalently, weak) topology are algebraically and topologically isomorphic. Let  $\omega$  be the action of  $T^\infty$  on  $B(l^2)$  given by  $\omega_\alpha = \text{ad } w_\alpha$ .

**PROPOSITION 5.1.** *An operator  $x$  in  $B(l^2)$  is continuous under  $\alpha \rightarrow \omega_\alpha(x)$  in the norm topology if and only if  $x - E(x)$  is compact.*

*Proof.* Let  $\chi_n$  be the character of  $T^\infty$  given by  $\chi_n(\alpha) = \langle \chi_n, \alpha \rangle = \alpha(n)$ . For the previously defined matrix units  $u_{mn}$ , we have that

$$\omega_\alpha(u_{mn}) = \overline{\alpha(m)} \alpha(n) u_{mn}.$$

Then, for any  $\phi$  in  $L^1(T^\infty)$  and  $x \in B(l^2)$  let the operator  $\omega(\phi)x$  be given by

$$\omega(\phi)x = \int \phi(\alpha) \omega_\alpha(x) d\alpha.$$

Thus,

$$\omega(\phi)u_{mn} = \hat{\phi}(\chi_m \chi_n^{-1}) u_{mn}.$$

However, linear combinations of the  $u_{mn}$  are dense in  $B(l^2)$  in the  $\sigma$ -weak topology. Thus, the formula

$$\omega(\phi)x = \sum \hat{\phi}(\chi_m \chi_n^{-1})(x \zeta_n, \zeta_m) u_{mn}$$

holds for all  $x$  in  $B(l^2)$ . The sum is the limit of the net of the finite partial sums in the  $\sigma$ -weak topology.

Now let  $\alpha \rightarrow \omega_\alpha(x)$  be continuous on  $T^\infty$  in the norm topology. Then

$$\alpha \rightarrow \omega_\alpha(x - E(x)) = \omega_\alpha(x) - E(x)$$

is also continuous. We have already used the fact that for preassigned  $\varepsilon > 0$  there is a function  $\phi$  in  $L^1(T^\infty)$ , whose Fourier transform  $\hat{\phi}$  has finite support, such that

$$\|\omega(\phi)(x - E(x)) - (x - E(x))\| < \varepsilon.$$

However, the operator  $x - E(x)$  has zero diagonal, whence  $\omega(\phi)(x - E(x)) = \sum \{\hat{\phi}(\chi_m \chi_n^{-1})(x \zeta_n, \zeta_m) u_{mn} \mid m \neq n\}$  is a finite sum. Indeed, we note that  $\chi_i \chi_j^{-1} = \chi_m \chi_n^{-1}$  implies either  $i = j$  and  $m = n$  or  $i = m$  and  $j = n$ . Thus  $x - E(x)$  is approximated in norm by the finite rank operator  $\omega(\phi)(x - E(x))$ , and consequently,  $x - E(x)$  is a compact operator.

Conversely, suppose that  $x - E(x)$  is compact. Then, given  $\varepsilon > 0$ , there is a finite linear combination  $\sum \gamma_{mn} u_{mn}$  approximating  $x - E(x)$  within  $\varepsilon$ . Because

$$\alpha \rightarrow \omega_\alpha \left( \sum \gamma_{mn} u_{mn} \right) = \sum \gamma_{mn} \overline{\alpha(m)} \alpha(n) u_{mn}$$

is continuous in norm and because

$$\left\| \omega_\alpha \left( \sum \gamma_{mn} u_{mn} - (x - E(x)) \right) \right\| < \varepsilon,$$

for all  $\alpha \in T^\infty$ , the function  $\alpha \rightarrow \omega_\alpha(x - E(x))$  is the uniform limit of continuous functions and hence continuous. Finally, the function  $\omega_\alpha(E(x)) = E(x)$  for all  $\alpha$  and thus, the function  $\alpha \rightarrow \omega_\alpha(x)$  is continuous.

Q.E.D.

**COROLLARY 5.2.** *If  $x - E(x)$  is compact, then  $x \in B_c$ .*

The normalizer  $U(B(\ell^2); N)$  is easy to describe.

**PROPOSITION 5.3.** *Let  $P(Z)$  be the set of all permutations of  $Z$ ; then, for every  $\sigma \in P(Z)$ , the equation  $(u_\sigma \zeta)(n) = \zeta(\sigma^{-1}(n))$  defines a unitary operator on  $\ell^2(Z)$  and  $U(B(\ell^2); N) = \{w_\alpha u_\sigma \mid \sigma \in P(Z), \alpha \in T^\infty\}$ .*

*Proof.* We clearly have that  $u_\sigma^* = u_{\sigma^{-1}}$  and that

$$\|u_\sigma \zeta\|^2 = \sum |\zeta(\sigma^{-1}(n))|^2 = \sum |\zeta(n)|^2 = \|\zeta\|^2$$

for every  $\zeta \in \ell^2(Z)$ , whence  $u_\sigma$  is unitary. Furthermore, we have that

$$\begin{aligned} (u_\sigma^* w_\alpha \zeta)(n) &= \overline{\alpha(\sigma(n))} \zeta(\sigma(n)) \\ &= (w_{\alpha \cdot \sigma} u_\sigma^* \zeta)(n) \end{aligned}$$



so that  $u_\sigma^* w_\alpha u_\sigma = w_{\alpha \cdot \sigma}$ . Thus, we get  $\text{ad } u_\sigma$  maps  $U(N)$  into  $U(N)$  and thus  $u_\sigma$  is in the normalizer. Conversely, let  $v$  be in the normalizer; then each projection  $\text{ad } v(u_{nn})$  is a 1-dimensional projection in  $N$ . Hence, there is a  $\sigma$  in  $P(Z)$  such that  $\text{ad } v(u_{nn}) = u_{\sigma(n)\sigma(n)}$ , and consequently, the automorphism  $\text{ad}(vu_\sigma^*)$  is the identity on  $N$ . Because  $N$  is maximal abelian, the unitary operator  $vu_\sigma^*$  is in  $N$  and  $vu_\sigma^* = w_\alpha$  for some  $\alpha$  in  $T^\infty$ . Q.E.D.

The bilateral shift  $u$  is represented as  $u_\sigma$  for that  $\sigma$  in  $P(Z)$  with  $\sigma(n) = n + 1$ . Now we show the  $C^*$ -algebra  $A$  generated by the normalizer is strictly larger than  $B_c$ .

**PROPOSITION 5.4.** *The unitary operator  $u_\sigma$  is in  $B_c$  if and only if  $Z_\sigma = \{\sigma(n) - n \mid n \in Z\}$  is a finite set.*

*Proof.* For every  $\alpha$  in  $T^\infty$  we have that

$$w_\alpha u_\sigma w_\alpha^* = w_\alpha w_\beta u_\sigma,$$

where  $\beta$  in  $T^\infty$  is given by  $\beta(n) = \alpha(\sigma^{-1}(n))^{-1}$ . Thus, we have that

$$\begin{aligned} \|w_\alpha u_\sigma w_\alpha^* - u_\sigma\| &= \|(w_\alpha w_\beta - 1)u_\sigma\| = \|w_\alpha w_\beta - 1\| \\ &= \text{lub}\{|\alpha(n)\alpha(\sigma^{-1}(n))^{-1} - 1| \mid n \in Z\}. \end{aligned}$$

In particular, we have that

$$\|w_t u_\sigma w_t^* - u_\sigma\| = \text{lub}\{|t^p - 1| \mid p \in Z_\sigma\}.$$

Since

$$\lim_{\epsilon \rightarrow 0} (\text{lub}\{|t^p - 1| \mid p \in Z_\sigma, |t - 1| < \epsilon\}) = 0$$

if and only if  $Z_\sigma$  is a finite set, we see that  $u_\sigma \in B_c$  if and only if  $Z_\sigma$  is a finite set. Q.E.D.

We can now state the extension property of Kadison and Singer [18, Theorem 3].

**PROPOSITION 5.5.** *Every pure state of the algebra  $N$  of diagonal operators in  $B(l^2)$  has a unique extension to a pure state on the  $C^*$ -algebra  $A$  generated by the normalizer  $U(B(l^2); N)$ .*

*Proof.* The set  $K'(x)$  is nonvoid for every  $x$  in  $A$ . So Theorem 2.4 [5] may be applied. Q.E.D.

We note that Proposition 3.2 gives directly that  $0 \in K(au)$  since  $N$  is abelian. Also we see that  $E(x) \in K(x)$  whenever  $x \in B_c$  follows directly from the fact that there are Riemann sums for  $\int \hat{\theta}_t(x) dt = E(x)$  that

lie in  $\overline{\text{co}}\{\omega_t(x) \mid t \in T\}$  and converge uniformly to  $E(x)$ . Also  $\{w_t \mid t \in T\}$  is a compact subgroup of  $U(N)$  that generates  $N$ ; moreover  $\omega_t(x) = \text{ad } w_t(x) \in N$  for all  $t$  in  $T$  implies  $x \in N$ .

We now treat a second example. Let  $N$  be a type  $\text{II}_\infty$  von Neumann algebra with center  $C$  on a separable Hilbert space, let  $\tau$  be a faithful normal semifinite trace on  $N$ , and let  $\theta$  be an automorphism of  $N$  such that  $\tau \cdot \theta \leq \lambda \tau$  for some  $0 < \lambda < 1$ . Then the automorphism  $\theta$  is aperiodic on  $N$ . In fact, given any nonzero projection  $p$  in  $N$ , there is a nonzero  $\theta$ -wandering projection  $q$  (i.e.,  $q\theta^n(q) = 0$  for all  $n \neq 0$ ) majorized by  $p$  [15, 3.3]. The construction of type  $\text{III}_\lambda$  factors ( $0 < \lambda < 1$ ) is based on the existence of such automorphisms  $\theta$  on certain type  $\text{II}_\infty$  factors, and the construction of type  $\text{III}_0$  factors is based on the existence of such automorphisms on certain type  $\text{II}_\infty$  algebras with diffuse center on which  $\theta$  acts ergodically.

The elements  $v$  of the normalizer  $U(M; N)$  of  $N$  in  $M$  have a specific description [6, 1.5.5] because  $M$  is generated by  $N$  and the subgroup  $\{u^n \mid n \in \mathbb{Z}\}$  of the normalizer. If  $v$  is in  $U(M; N)$ , then there is a doubly infinite sequence  $\{p_n\}$  of projections in  $C$  of sum 1 and a doubly infinite sequence  $\{u_n\}$  of partial isometries in  $N$  with  $u_n^* u_n = u_n u_n^* = p_n$  such that  $u^{-n} v p_n x p_n v^* u^n = u_n x u_n^*$  for every  $x$  in  $N$ . Because  $N' \cap M = C$ , the unitary  $v$  can be written as  $v = \sum \{u^n w_n p_n \mid n \in \mathbb{Z}\}$ , where  $\{w_n\}$  is a doubly infinite sequence of unitaries in  $N$  with  $u_n^* w_n \in C p_n$ . Conversely, if  $\{p_n\}$  is a doubly infinite sequence of orthogonal projections in  $C$  of sum 1 and  $\{w_n\}$  is a doubly infinite sequence of unitary operators in  $N$  such that  $u^n w_n p_n w_n^* u^{-n} = p_n$  for all  $n$ , then  $v = \sum u^n w_n p_n$  is in  $U(N; M)$ . In terms of the preceding sum decomposition of elements of  $U(M; N)$ , we can describe the continuous elements.

**PROPOSITION 5.6.** *Let  $\{p_n \mid n \in \mathbb{Z}\}$  be a sequence of orthogonal projections in  $C$  of sum 1 and let  $\{w_n\}$  be a corresponding sequence of unitary operators in  $N$  such that  $u^n w_n p_n (u^n w_n)^* = p_n$  for all  $n$ . Then the unitary operator  $v = \sum u^n w_n p_n$  in  $U(M; N)$  is in  $M_c$  if and only if the set  $Z_v = \{n \in \mathbb{Z} \mid p_n \neq 0\}$  is a finite set.*

*Proof.* We have that

$$\hat{\theta}_t(v) = \sum t^{-n} u^n w_n p_n,$$

and consequently, that

$$\|\hat{\theta}_t(v) - v\| = \text{lub}_n |t^n - 1| \|u^n w_n p_n\|.$$

As in Proposition 5.4,  $\lim_{t \rightarrow 1} \|\hat{\theta}_t(v) - v\| = 0$  if and only if  $Z_v$  is a finite set.

Q.E.D.



Thus, if  $N$  is a factor, the  $C^*$ -algebra  $A$  generated by  $U(M; N)$  is equal to  $M_c$ . If  $N$  has a diffuse center  $C$  and  $\theta$  acts ergodically on  $C$ , then  $A$  is strictly larger than  $M_c$ . In fact, there is a sequence  $\{p_n\}$  of orthogonal projections of sum 1 in  $C$  such that  $\{\theta^n(p_n)\}$  are also orthogonal of sum 1 and such that  $\{n \mid p_n \neq 0\}$  is infinite (cf. [25, 29.2]). The operator  $\sum u^n p_n$  is in  $U(M; N)$  by direct calculation and is not in  $M_c$  by Proposition 5.6.

We now state some results of an earlier paper in the context of the RDP.

**PROPOSITION 5.7.** *Let  $N$  be a type  $\text{II}_\infty$  factor (resp. type  $\text{II}_\infty$  algebra with diffuse center) on a separable Hilbert space, let  $\tau$  be a faithful normal semifinite trace on  $N$ , and let  $\theta$  be an automorphism of  $N$  such that  $\tau \cdot \theta = \lambda\tau$  for some  $0 < \lambda < 1$  (resp. such that  $\tau \cdot \theta \leq \lambda\tau$  for some  $0 < \lambda < 1$  and such that  $\theta$  is ergodic on the center of  $N$ ). Let  $x$  be in  $(N \times_\theta Z)^+ = M^+$ . If  $E(x)$  is compact in  $N$ , i.e., is in the strong radical of  $N$ , then there is a cofinite projection  $p$  in  $N$  such that  $pxp \in M_c$  and  $K'(x) = \{0\}$ .*

*Proof.* In [14, 6.1] we have proved the existence of a cofinite projection  $p$  such that the generalized Fourier expansion of  $pxp$  converges uniformly. This means that  $pxp$  is in  $M_c$ . The second statement follows from Proposition 4.8(a) and 4.9 together with the fact that  $K'(E(x)) = \{0\}$  if  $E(x)$  is compact [13, 4.12]. Q.E.D.

Let us consider now  $1 \times_\theta Z \subset M$  which we can identify with  $1 \otimes L(Z)$ , where  $L(Z)$  is the previously mentioned class of Laurent operators in  $l^2$ . While we do not know whether the elements of  $L(Z)$  have the RDP in  $B(l^2)$ , we have the following:

**PROPOSITION 5.8.** *Let  $x \in 1 \otimes L(Z) \subset M$ , where  $M$  is a type  $\text{III}_\lambda$  factor with  $0 < \lambda < 1$ . Then  $x$  has the RDP and  $K'(x) = \{E(x)\}$ .*

*Proof.* Let  $x = \sum a_n u^n$  be the generalized Fourier expansion of  $x$ . Then all the  $a_n$  are scalar multiples of 1 and  $E(x) = a_0$ . Thus  $K'(x) \subset K'(E(x)) = \{E(x)\}$  by Proposition 4.8(a). Without loss of generality assume that  $E(x) = 0$ . By [15, 3.6(b)] we can find an infinite wandering projection  $p \in N$ . Thus

$$pxp = \sum a_n pu^n p = \sum a_n p\theta^n(p)u^n = 0.$$

Since  $p \sim 1$ , by Proposition 4.9 we see that  $0 \in K(x)$ . Then  $K'(x) = \{0\}$ .

Q.E.D.

#### REFERENCES

1. J. ANDERSON, Extensions, restrictions and representations of states on  $C^*$ -algebras, *Trans. Amer. Math. Soc.* **249** (1979), 303–329.

2. J. ANDERSON, A conjecture concerning pure states of  $B(H)$  and a related theorem, in "Proceedings, Vth International Conference Operator Algebras," Timisoara and Herculane, Romania, Pitman, New York/London, 1984.
3. R. J. ARCHBOLD, Extensions of states of  $C^*$ -algebras, *J. London Math. Soc.* **21** (1980), 351-354.
4. R. J. ARCHBOLD, On the Dixmier property of certain algebras, *Math. Proc. Cambridge Philos. Soc.* **86** (1979), 251-259.
5. R. J. ARCHBOLD, J. BUNCE, AND K. D. GREGEN, Extensions of states of  $C^*$ -algebras, II, *Proc. Royal Soc. Edinburgh Sect. A* **92** (1982), 113-122.
6. A. CONNES, Une classification des facteurs de type III, *Ann. Ecole Norm. Sup.* **6** (1973), 133-252.
7. A. CONNES, Outer conjugacy classes of automorphisms, *Ann. Ecole Norm. Sup.* **8** (1975), 383-419.
8. J. CONWAY, The numerical range and a certain convex set in an infinite factor, *J. Funct. Anal.* **5** (1970), 428-435.
9. J. DIXMIER, Applications  $\natural$  dans les anneaux d'opérateurs, *Compos. Math.* **10** (1952), 1-55.
10. J. DIXMIER, "Les Algèbres d'Opérateurs dans l'Espace Hilbertien," Gauthier-Villars, Paris, 1972.
11. U. HAAGERUP AND L. ZSIDO, Sur la propriété de Dixmier pour  $C^*$ -algèbres, *C. R. Acad. Sci. Paris Sér. I Math.* **298** (1984), 173-176.
12. H. HALPERN, Essential central spectrum and range for elements in a von Neumann algebra, *Pacific J. Math.* (1972), 349-380.
13. H. HALPERN, Essential central ranges and self-adjoint commutators in properly infinite von Neumann algebras, *Trans. Amer. Math. Soc.* **238** (1977), 117-146.
14. H. HALPERN AND V. KAFTAL, Compact operators in type  $III_{\lambda}$  and type  $III_0$  factors, *Math. Ann.* **273** (1986), 251-270.
15. H. HALPERN AND V. KAFTAL, Compact operators in type  $III_{\lambda}$  and type  $III_0$  factors, II, *Tohoku Math. J.*, to appear.
16. H. HALPERN, V. KAFTAL, AND G. WEISS, Matrix pavings and Laurent operators, *J. Oper. Theory*, to appear.
17. E. HEWITT AND K. ROSS, "Harmonic Analysis," Vols. I, II, Springer-Verlag, New York, 1963, 1970.
18. R. V. KADISON AND I. M. SINGER, Extensions of pure states, *Amer. J. Math.* **81** (1959), 547-564.
19. R. MERCER, Convergence of Fourier series in discrete crossed products of von Neumann algebras, *Proc. Amer. Math. Soc.* **94** (1985), 254-258.
20. D. OLSEN AND G. K. PEDERSEN, On a certain  $C^*$ -crossed product inside a  $W^*$ -crossed product, *Proc. Amer. Math. Soc.* **79** (1980), 587-590.
21. G. K. PEDERSEN, "C\*-Algebras and their Automorphism Groups," Academic Press, New York, 1979.
22. N. RIEDEL, On the Dixmier property of simple  $C^*$ -algebras, *Math. Proc. Cambridge Philos. Soc.* **91** (1982), 75-78.
23. J. SCHWARTZ, Two finite non-hyperfinite, non-isomorphic factors, *Comm. Pure Appl. Math.* **16** (1963), 19-26.
24. S. STRATILA AND L. ZSIDO, An algebraic reduction theory for  $W^*$ -algebras II, *Rev. Roumaine Math. Pures Appl.* **18** (1973), 407-460.
25. S. STRATILA, "Modular Theory in Operator Algebras," Abaca Books, Normal, Ill., 1981.
26. M. TAKESAKI, Duality for crossed products and the structure of von Neumann algebras of type III, *Acta Math.* **131** (1973), 249-310.