POL 3082 ALLIANCES AND ALIGNMENT IN IR: THE CASE OF NATO*

Spring Semester 2015
Department of Political Science
University of Cincinnati
15-POL-3082

Meeting time: Tue and Thu 12:30-1:50 pm
Office Hours: Tue and Thu 9:30am- noon
Location: Rieveschl 422B
Phone: 513-556-3318
Instructor: Dr. Ivan Dinev Ivanov
Instructor’s Office: 1121 Crosley Tower
EMAIL: Ivan.Ivanov@uc.edu*

* I prefer to contact me via email and I should be able to respond you within next 12-24 hrs.

COURSE DESCRIPTION:

Alliances are among the oldest forms of interaction between states that affect almost every aspect of international politics, from the flows of trade and investments to the incidence of war or the character of international law. This is a specialized international security course that surveys theoretical and contemporary case arguments of alliance politics. In the first part, the focus will be on the origins of alliances, the ways in which they affect the behaviors of states, and the ways that alliance politics has evolved in the last two centuries. The second part of the course will offer a survey of a contemporary case – NATO’s transformation and its role international security. We will discuss various aspects such as Partnership for Peace Program, the expansion process, the incorporation of the new members, including the Membership Action Plan (MAP), as well as NATO’s new missions in Europe and the Middle East in the 1990s and 2000s. Also, students will present group projects related to NATO’s involvement in new, non-traditional aspects of security (such as smart defense, cyber security, energy security, and protection of critical infrastructures). Finally, as a part of the course, we will run a simulation of a North Atlantic Council (NAC) Meeting.

COURSE OBJECTIVES:

Students should be able to identify key alliance concepts such as balancing, bandwagoning, collective defense, collective security and collective action, public and club goods. Also, they need to distinguish between explanations of alliance formation and mechanisms for their persistence, as well as establish analytical links between these explanations and the major theories of international relations. The students are expected to relate the analytical frameworks discussed in this class to understanding NATO politics and other contemporary cases of allied behavior.

REQUIREMENTS AND GrADING:

<table>
<thead>
<tr>
<th>Course Requirements</th>
<th>% of final grade</th>
<th>Due Date</th>
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<tbody>
<tr>
<td>In-class midterm exam</td>
<td>25%</td>
<td>3/5</td>
</tr>
<tr>
<td>Online Quiz on NATO</td>
<td>15%</td>
<td>4/9</td>
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<tr>
<td>Simulation Paper and Participation</td>
<td>15%</td>
<td>4/14-4/16</td>
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* This syllabus is subject to change. I reserve the right to add supplementary readings if necessary.
Group Project: presentation and report 10% 3/31-4/7
Take home final (reflection and policy analysis) 25% 4/28 @ 11:59 pm
Class attendance and participation 10% throughout the semester

Grading Scale:

<table>
<thead>
<tr>
<th>Score Range</th>
<th>Grade</th>
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<tbody>
<tr>
<td>93-100%</td>
<td>A</td>
<td>73-76%</td>
<td>C</td>
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<tr>
<td>90-92%</td>
<td>A-</td>
<td>70-72%</td>
<td>C-</td>
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<tr>
<td>87-89%</td>
<td>B+</td>
<td>67-69%</td>
<td>D+</td>
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<tr>
<td>83-86%</td>
<td>B</td>
<td>63-66%</td>
<td>D</td>
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<tr>
<td>80-82%</td>
<td>B-</td>
<td>60-62%</td>
<td>D-</td>
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<tr>
<td>77-79%</td>
<td>C+</td>
<td>0-59%</td>
<td>F</td>
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Please note that grades represent NON-NEGOTIABLE assessments of a student’s comprehension of course material.

A NOTE ABOUT THE NAC SIMULATION

The course simulation will deal with negotiation at the North Atlantic Council (NAC) meeting. Each student will represent one member state; selected students will be assigned the role of NATO Secretariat and the Secretary-General. The simulation will reflect the negotiation process accurately as possible. There will be several separate steps of the process (also see the tentative schedule):

Step one (research phase): Representative of each NATO member will study their country’s position and provide the Secretariat for topics that they would like to have included at summit.

Step two (consultation phase): the Secretariat will meet with the representatives of the NATO members and the Secretary General will present a report with a text of the final declaration. Members will caucus among themselves and provide feedback to the secretariat.

Step three (the actual NAC meeting): The NAC will meet at the level of Foreign Ministers/Secretaries to discuss the text of the Declaration. Allies will negotiate the exact wording of the document.

Consider the following links to prepare for the in-class simulation:
http://www.natochannel.tv/

POLICIES AND CAVEATS:

If you have a disability that affects your performance in class, please notify the instructor at the beginning of the semester in order to find an accommodation that meets best student’s interests. Also, for advice and assistance you may contact the university’s disability services office, located in 210 University Pavilion, Phone 513-556-6823, Email: disabissv@ucmail.uc.edu.

Students are expected to attend class, and attendance will be recorded at each class meeting. If students are not able to attend a scheduled test or exam due to extraordinary circumstances (medical), I should be informed PRIOR to the test about the their impending absence and documentation must be provided when you return to class. Absences due to illness or injury will not be excused retroactively unless documentation is provided to the instructor. A student
who misses a total of TEN or more class meetings (1/3) will receive a class participation grade of zero (0), and may also have his or her overall course grade reduced. A student who has a total of THIRTEEN or more unexcused absences (1/2) will receive a course grade of F. Even though I do NOT necessarily require a note from the doctor, I expect you to contact me in advance (preferably by email) and inform me if you are not feeling well. I do NOT plan makeup examinations or quizzes. Missing an exam without prior permission of the instructor may result in a zero on that exam. Make-up tests will only be arranged in extreme cases, so please be sure to let me know as soon as possible if you will not be able to attend at the scheduled time.

Please note that most readings will be posted on the course’s webpage on Blackboard or links will be available from Blackboard. When electronic versions of readings are not available, paper copies will be distributed in class or course pack will be available in the library and/or one of the university bookstores.

Lastly, I need to remind you that all of the work you submit in this course is expected to be your own. Students should review the UC student code of conduct and be aware of all activities defined as academic dishonesty. Although I do encourage students to exchange ideas and/or work together on certain assignments, each student is responsible for completing and submitting his or her own homework. Please be advised that according to the UC Student Code of Conduct plagiarism is defined as:

- Submitting another’s published or unpublished work in whole, in part or in paraphrase, as one’s own without fully and properly crediting the author with footnotes, quotation marks, citations, or bibliographical reference.
- Submitting as one’s own original work, material obtained from an individual or agency without reference to the person or agency as the source of the material.
- Submitting as one’s own original work material that has been produced through unacknowledged collaboration with others without release in writing from collaborators.

Please, understand the proper use of technology in the classroom. Laptop computers, tablets, cell phone and other electronic devices can be used for solely for academic purposes and with discretion. Their volume should be muted during class time. If students use online resources for anything, the than the course content, they will be penalized (e.g. watching sport events, shopping online, or doing other non-academic activities). Penalties may include dismissal from class, 0 points on course participation, or failing the course altogether.

COURSE STRUCTURE AND READINGS:


Glenn Snyder, Alliance Politics, Chapter 1 (Alliances in a Multipolar System), pp. 1-42.

II. Explaining Alliance Formation

1) General theory of alliance formation

† The University of Cincinnati Student Code of Conduct, Last revised on 4/29/04.

2) *The balancing and bandwagoning explanations (balance of power and balance of threat)*

3) *Appeasement and wedge strategies*

4) *Internal vs. External Sources*

III. **Explaining the Functioning of Alliances**

1) *The Collective and Club Goods Frameworks*

2) *The Role of Affect*

3) *Institutional Approaches*

4) *Alliances as Security Communities*

IV. **History and Politics of the North Atlantic Treaty Organization**

Sloan, (Chapters 2, 3&4), pp. 11-71.

V. **NATO’s post-Cold War Expansion**

Zoltan Barany, Chapter 1 (The Pros and Cons of Further Enlargement), pp. 9-44.

VI. NATO’s Operations in the 1990s and 2000s

1) Operations in former Yugoslavia

2) NATO in Afghanistan

3) Other operations: Iraq, Libya, the Mediterranean

VII. NATO’s New Capabilities and Global Outreach


VIII. NATO, the European Union and other International Organizations


**IX. NATO and Modern Transatlantic Relations**


**Literature:**


Glenn A. Snyder, *Alliance Politics* (Cornell University Press, 1997).


### POL 3082 Tentative Schedule (Spring 2015)

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<thead>
<tr>
<th>Week</th>
<th>Tuesday</th>
<th>Thursday</th>
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<tr>
<td>1/12–1/16</td>
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<tr>
<td>Week Two</td>
<td>1/20 Formation of alliances: balancing</td>
<td>1/22 Formation of alliances: bandwagoning</td>
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<td>1/19–1/23</td>
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<tr>
<td>Week Three</td>
<td>1/27 Formation of alliances wedge strategies</td>
<td>1/29 Formation of alliances: internal sources</td>
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<td>1/26–1/30</td>
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<td>Week Four</td>
<td>2/3 Formation of alliances: psychology and affection</td>
<td>2/5 Alliance management: collective goods theory</td>
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<td>2/2–2/6</td>
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<tr>
<td>Week Five</td>
<td>2/10 Alliance management: club goods theory</td>
<td>2/12 Alliances, collective defense and collective security</td>
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<td>2/9–2/13</td>
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<td>Week Six</td>
<td>2/17 Alliance management: institutional assets</td>
<td>2/19 Preparation for the simulation: Instructions and Roles</td>
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<td>2/16–2/20</td>
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<td>Week Seven</td>
<td>2/24 Alliance management and security communities</td>
<td>2/26 Introduction to NATO politics: structure and decision-making</td>
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<td>2/23–2/27</td>
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<tr>
<td>Week Eight</td>
<td>3/3 NATO Politics during the Cold War</td>
<td>3/5 Midterm Exam</td>
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<td>3/2–3/6</td>
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<td>Week Nine</td>
<td>3/10 NATO’s transformation: regional and global partnerships</td>
<td>3/12 NATO’s post-Cold War expansion</td>
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<td>3/9–3/13</td>
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<td>Spring Break</td>
<td>3/17 No classes</td>
<td>3/19 No classes</td>
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<td>Week Ten</td>
<td>3/24 NATO’s Mission’s in Former Yugoslavia</td>
<td>3/26 NATO’s Missions in Afghanistan, Libya</td>
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<td>3/23–3/27</td>
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<td>Week Eleven</td>
<td>3/31 NATO’s new capabilities (research team 1): Part 1</td>
<td>3/19 NATO’s new capabilities (research team 2): Part 2</td>
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<td>3/30–4/3</td>
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<tr>
<td>Week Twelve</td>
<td>4/7 NATO’s new capabilities (research team 3): Part 3</td>
<td>4/9 NATO, the United Nations and other IOs</td>
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<td>4/6–4/10</td>
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<td>Online quiz on NATO Politics</td>
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<tr>
<td>Week Thirteen</td>
<td>4/14 NAC Simulation (Part 1) TBD</td>
<td>4/16 NAC Simulation (Part 2) TBD</td>
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<td>4/13–4/17</td>
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<td>Week Fourteen</td>
<td>4/ 21 The future of NATO: transatlantic relations and global outreach</td>
<td>4/23 No class Q&amp;A on the Final Exam</td>
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<td>4/20–4/24</td>
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**Final paper due: 4/28 @ 11:59 pm EST (submit via Blackboard)**