

# TORIC CODE (Kitaev 1997)

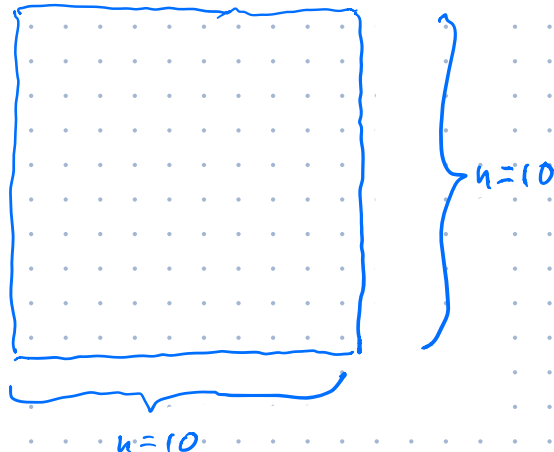
- Encode 2 qubits in  $N$  qubits,  $N = 2n^2$

$$\dim(\mathcal{H}_c) = 2^2 \quad \dim(\mathcal{H}) = 2^N$$

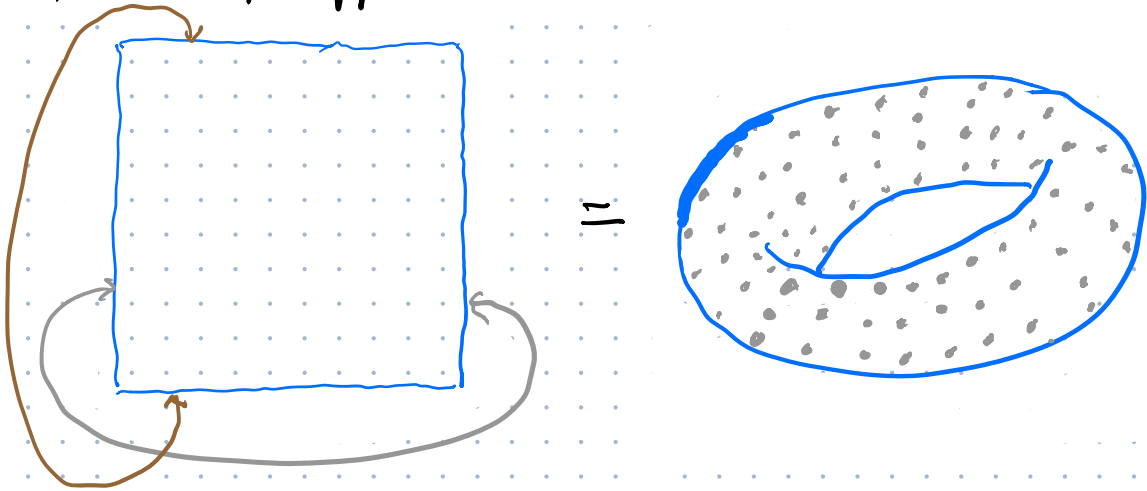
- Corrects against  $n \sim \sqrt{N}$  1-qubit errors
- Has highest-known error threshold
- Conceptually simple
- Leads to notion of topological quantum computing  
= build quantum computer out of a material which automatically (naturally) error-corrects!

To describe, do not think of quantum circuit diagram, but rather of an arrangement of qubits in space:

- ) Imagine an  $n \times n$  square lattice of points  
= "vertices"

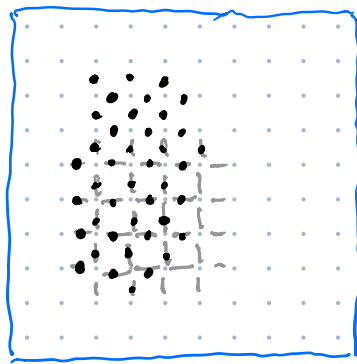


- ) Identify opposite sides of square:



= surface of donut = "torus"

- ) put 1 qubit on each edge of lattice



— = edge  
 ↓ = qubit

⇒ total no. qubits =  $2n^2$ .

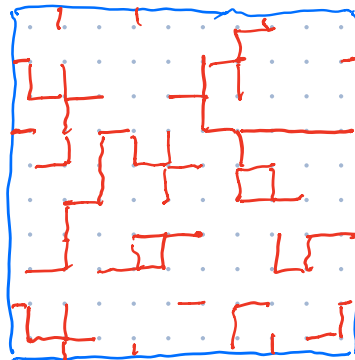
From now on, we just write lattice with understanding that qubits are located on the edges.

Label each edge by an index  $l \in \{1, \dots, 2n^2\}$

So the  $l^{\text{th}}$  qubit Hilbert space has basis  $\mathcal{H}_l \cong \{ |0\rangle_l, |1\rangle_l \}$  which we notate:

A general computational basis state of  $\mathcal{H} = \bigotimes_{l=1}^{2n^2} \mathcal{H}_l$  is:

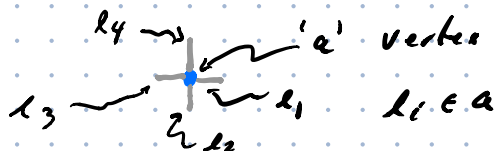
i.e. a set of  $(0's \text{ and } 1's)$  on each edge



- Now want to define a set of error syndrome operators  $F_n = \{ V_a, P_a \}$

• Vertex operators  $V_a$

Label the vertices by  $a, b, \dots \in \{1, \dots, n^2\}$   
 Write " $l \in a$ " to mean edge " $l$ " ends on vertex " $a$ "



Then

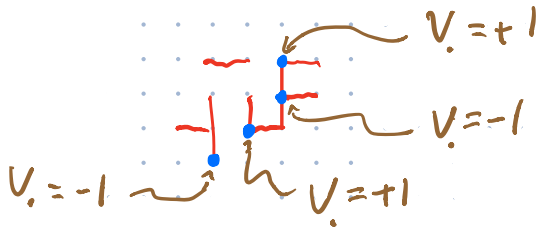
$$V_a \equiv \prod_{l \in a} Z_l = Z_{l_1} Z_{l_2} Z_{l_3} Z_{l_4}$$

$Z_l = Z$ -gate acting on  $l^{\text{th}}$  qubit

$$\Rightarrow \begin{cases} V_a V_b = V_b V_a \\ V_a^2 = I \\ \text{eigenvalues } V_a \in \{\pm 1\} \end{cases}$$

+1 eigenstates = even number of red edges  $\in a$

-1 " " = odd " " " "  $\in a$



$$\Rightarrow \prod_{a=1}^{n^2} V_a = I \quad \text{since each } Z_l \Rightarrow n^2-1 \text{ independent } \{V_a\} \text{ sys.}$$

• Plaque operators  $P_{\hat{a}}$

Call each square made by 4 edges a face of the lattice, and label by  $\hat{a}, \hat{b}, \hat{c}, \dots \in \{1, \dots, n^2\}$





write " $l \in \hat{a}$ " if edge  $l$  is an edge of face  $\hat{a}$ .

Then

$$P_{\hat{a}} \equiv \prod_{l \in \hat{a}} X_l = X_{l_1} X_{l_2} X_{l_3} X_{l_4}$$

where  $X_l =$  Pauli  $X$ -gate acting on  $l^{\text{th}}$  qubit.

$$\Rightarrow P_{\hat{a}} P_{\hat{b}} = P_{\hat{b}} P_{\hat{a}}$$

$$P_{\hat{a}}^2 = I$$

eigenvalues  $\in \{\pm 1\}$

eigenstates?

$$P_{\hat{a}} \left( \begin{array}{|c|} \hline \color{red}\square \\ \hline \end{array} \right) = \begin{array}{|c|} \hline \color{green}\square \\ \hline \end{array}$$

$$P_{\hat{a}} \left( \begin{array}{|c|} \hline \color{red}\square \\ \hline \end{array} \right) = \begin{array}{|c|} \hline \color{green}\square \\ \hline \end{array}$$

$$P_{\hat{a}} \left( \begin{array}{|c|} \hline \color{red}\square \\ \hline \end{array} \right) = \begin{array}{|c|} \hline \color{green}\square \\ \hline \end{array}$$

etc

$$\prod_{\hat{a}=1}^{n^2} P_{\hat{a}} = I$$

$\Rightarrow n^2 - 1$  independent

$\{P_{\hat{a}}\}'s$ .

} flips color of edges of face

$\therefore P_a$  eigenstates =

$$P_a \frac{1}{\sqrt{2}} \begin{pmatrix} \square + \blacksquare \end{pmatrix} = + \frac{1}{\sqrt{2}} \begin{pmatrix} \square + \blacksquare \end{pmatrix}$$

$$P_a \frac{1}{\sqrt{2}} \begin{pmatrix} \square - \blacksquare \end{pmatrix} = - \frac{1}{\sqrt{2}} \begin{pmatrix} \square - \blacksquare \end{pmatrix}$$

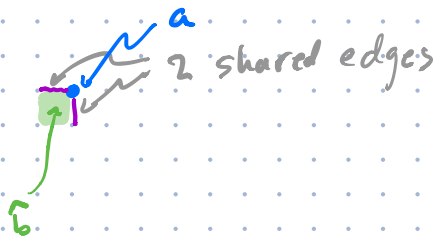
on each face.

- Vertex & Plaquette operators commute

$$[V_a, P_b] = 0 \quad \forall a \quad \forall b$$

→ If vertex  $a$  & face  $b$  have no edge in common, then obvious

→ If they share an edge, then must share exactly 2 edges:



In this case

$$\begin{aligned} [V_a, P_b] &\propto [Z_{e_1} Z_{e_2} X_{e_1} X_{e_2}] = Z_{e_1} Z_{e_2} X_{e_1} X_{e_2} - X_{e_1} X_{e_2} Z_{e_1} Z_{e_2} \\ &= Z_{e_1} X_{e_1} Z_{e_2} X_{e_2} - X_{e_1} Z_{e_1} X_{e_2} Z_{e_2} \end{aligned}$$

$$= (-X_{e_1} Z_{e_1})(-X_{e_2} Z_{e_2}) - X_{e_1} Z_{e_1} X_{e_2} Z_{e_2} = 0. \checkmark$$

- Since all  $F_n \in \{V_a, P_a\}$  commute, there is an orthonormal basis of simultaneous eigenstates of all  $F_n$ .

- There are  $2n^2$  qubits  $\Rightarrow 2^{2n^2}$ -dim state space.

there are

$$(n^2-1) + (n^2-1) = 2n^2-2$$

independent  $\{F_n\} \equiv \{V_a, P_a\}$  operators,

$\Rightarrow 2^{2n^2-2}$  different possible patterns

of  $\pm 1$  eigenvalues of  $\{F_n\}$

$\Rightarrow$  simultaneous eigenspaces =  $2^2$ -dimensional

- Thus  $\{F_n\}$  = error syndrome for 2 qubits encoded in  $2n^2$  qubits.

### The code subspace

- The subspace w/ all  $V_a = P_a = 1$  is 4-dimensional. Call this the

code subspace.

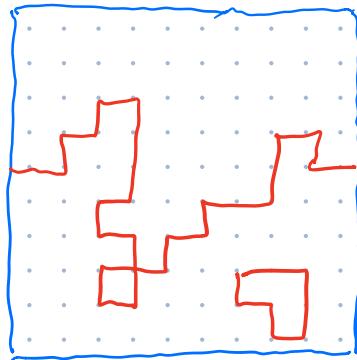
• What is an orthonormal basis?

—  $\forall a = 1 \quad \forall a \Rightarrow$  even # of  $-$  edges  
at each vertex, i.e.

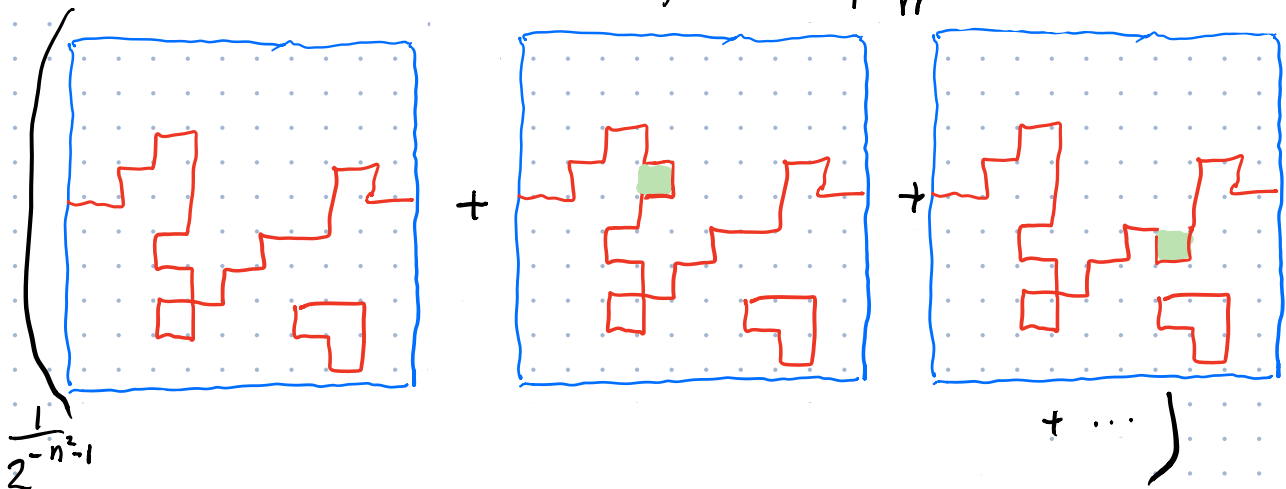
$\in \{ \bullet, \downarrow, \uparrow, \leftarrow, \rightarrow, \leftarrow\uparrow, \rightarrow\downarrow, \leftarrow\downarrow, \rightarrow\uparrow \}$

$\Rightarrow$  the red edges form closed loops

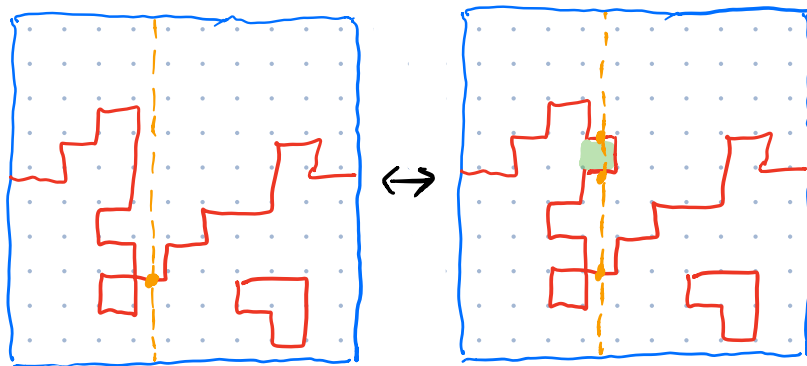
e.g.



—  $P_{\hat{a}} = 1 \quad \forall \hat{a} \Rightarrow$  for each  $\uparrow$  state  
make + superposition of all  
faces 'flipped'



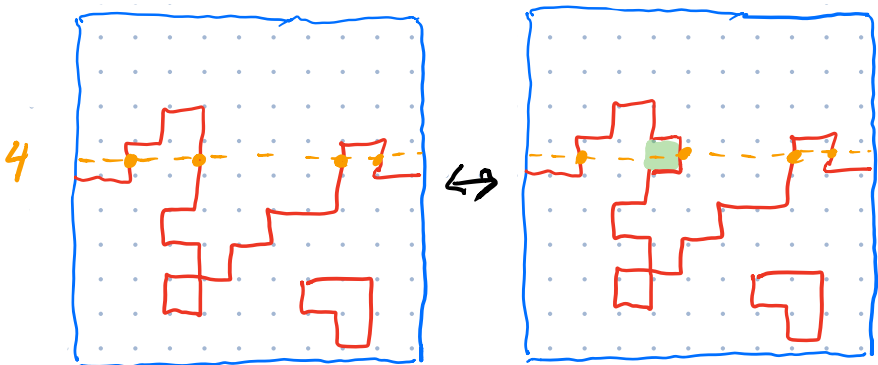
This flipping operation doesn't change the parity (even or odd) of the number of red edges intersecting any line along the  $x$ - or the  $y$ -axis:



1

3

$\Rightarrow "n_x = 1"$  (odd)



4

4

$\Rightarrow "n_y = 0"$   
(even)

- So 4 "code states"

$$|n_x, n_y\rangle \in \{|00\rangle, |01\rangle, |10\rangle, |11\rangle\}$$

- Exercise: show that they are orthogonal.

So, our 2-qubit code space is spanned by:

$$|00\rangle_L \propto \left( \begin{array}{|c|} \hline \cdot & \cdot \\ \hline \end{array} + \begin{array}{|c|} \hline \cdot & \color{red}{\square} \\ \hline \end{array} + \dots \right) \sim \text{no wraps}$$

$$|10\rangle_L \propto \left( \begin{array}{|c|} \hline \color{red}{-} & \cdot \\ \hline \end{array} + \begin{array}{|c|} \hline \cdot & \color{red}{\square} \\ \hline \end{array} + \dots \right) \sim \text{1-wrap x-dir}$$

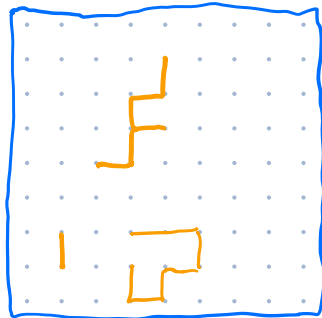
$$|01\rangle_L \propto \left( \begin{array}{|c|} \hline \cdot & \color{red}{|} \\ \hline \end{array} + \begin{array}{|c|} \hline \cdot & \color{red}{\square} \\ \hline \end{array} + \dots \right) \sim \text{1-wrap y-dir}$$

$$|11\rangle_L \propto \left( \begin{array}{|c|} \hline \color{red}{+} & \cdot \\ \hline \end{array} + \begin{array}{|c|} \hline \cdot & \color{red}{\square} \\ \hline \end{array} + \dots \right) \sim \text{1-wrap x+y-dirs}$$

• Error Correction

Look first at  $X_L$  &  $Z_L$  errors, then at general errors.

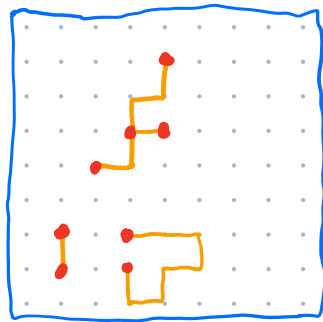
- X errors: mark an edge where an X-error occurs with  $-$ :



$$= \left( \prod_L X_L \right) |\psi\rangle_L \quad \checkmark \text{ in code subspace}$$

Measure  $V_a$ 's: get  $V_a = -1$  on vertices

at "ends" of strings of  $X$ -errors.



• = vertices where  $V_a = -1$

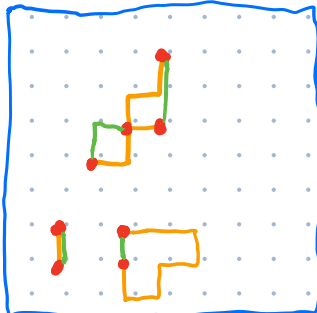
This is because  $V_a = \prod_{l \in a} Z_l$

$$\& \quad Z_l X_l = -X_l Z_l$$

So if an odd number of  $X_l$ 's act for  $l \in a$ , then

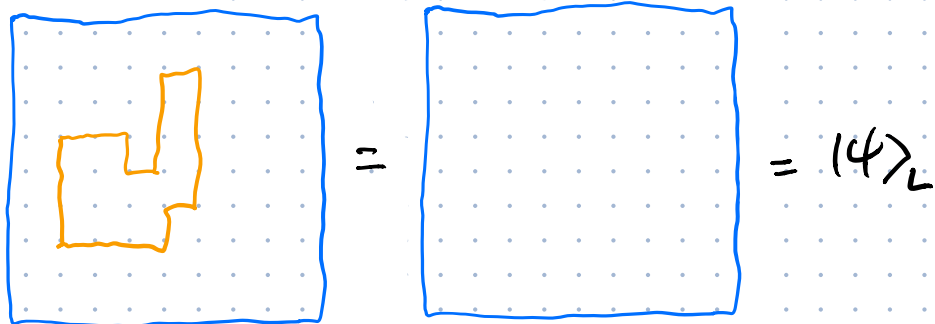
$$V_a (\prod X_l) |\psi\rangle = -(\prod X_l) V_a |\psi\rangle = -(\prod X_l) |\psi\rangle.$$

- So any configuration of  $X$ -error strings with end points is detectable by measuring the  $V_a$ 's.
- Correct these errors by then acting with  $X_l$ 's on any string of edges connecting the  $V_a = -1$  vertices. E.g.:

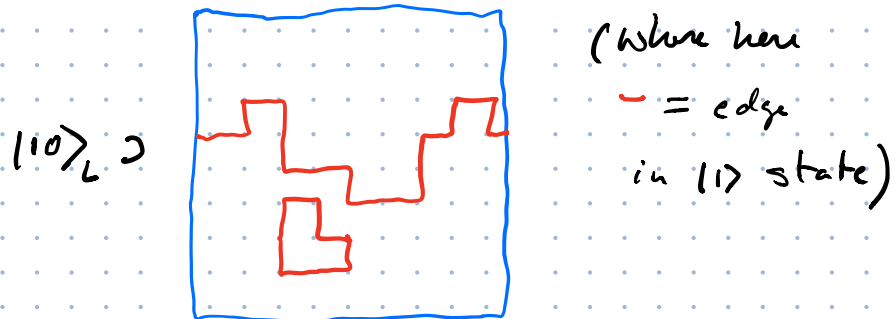


— = edges where we act w/  $X_l$ 's to correct error.

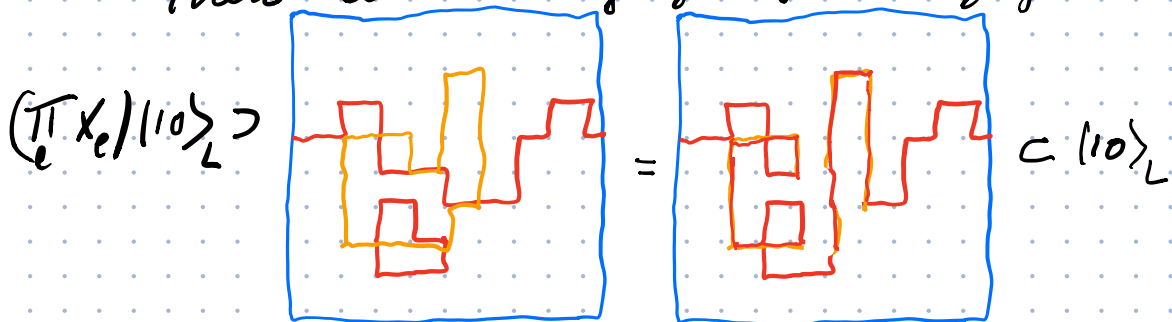
This works since any closed path of  $X_e$ 's acts as the identity on the code space: e.g.



To see this, look at any given computational basis state contributing to  $|\psi\rangle_L$ , e.g.



Then closed string of  $X_e$ 's acting gives

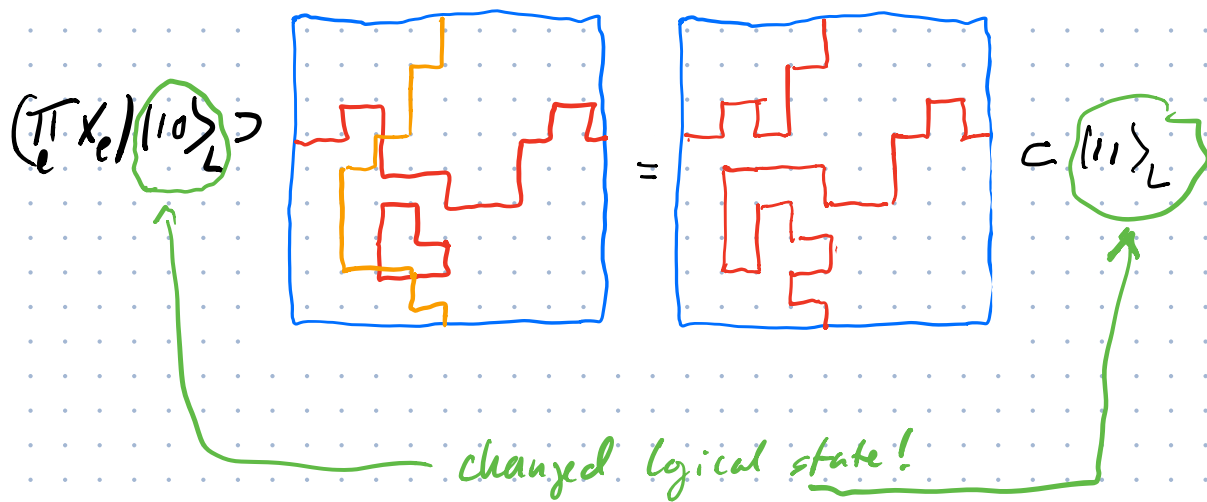


which is just another term in  $|\psi\rangle_L$  since



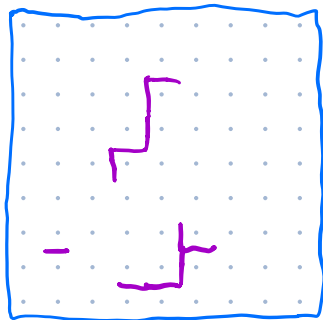
it gives another configuration with a closed string of  $|1\rangle$  (or  $-$ ) edges.

- But this argument breaks down if the closed string of  $X_e$ 's stretches across the whole  $n \times n$  square of qubits (i.e., wraps around a cycle of the torus): e.g.



- Thus we cannot correct for  $X$ -errors if there are so many of them that they form a string around either (or both) cycles of the torus. But we need at minimum  $n$  such errors to wrap the torus, so we can correct in this way up to  $n$   $X$ -errors.

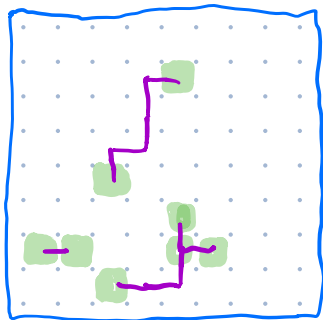
- Z-errors This is very similar to the X errors. Mark an edge "—" where a Z error occurs by "+", i.e. by drawing a purple interval bisecting it perpendicularly. E.g.



$$= (\prod_l Z_l) |\psi\rangle_{\mathcal{L}}$$

↙ in code subspace

Measure  $P_a$ 's: get  $P_a = -1$  on faces at "ends" of purple strings of Z-errors:

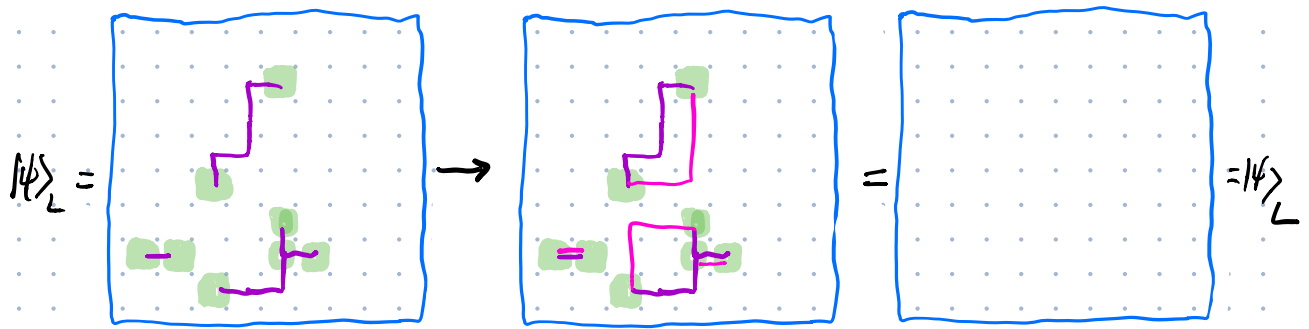


■ = faces where  $P_a = -1$ .

This is because if an odd number of  $Z_e$ 's with  $l \in \partial$  then

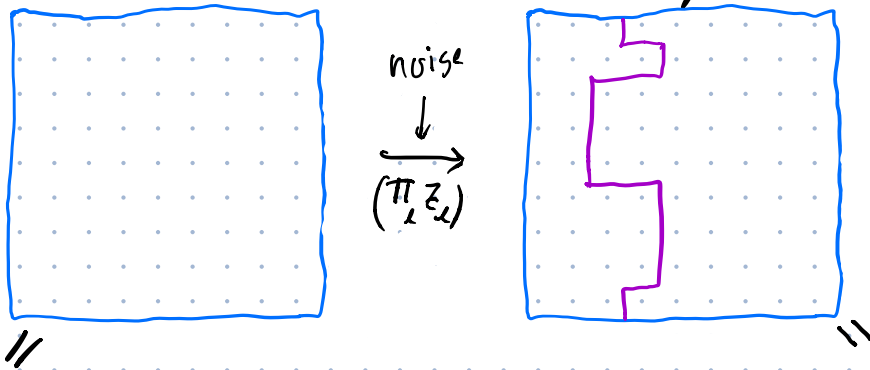
$$P_a (\prod_l Z_l) |\psi\rangle_{\mathcal{L}} = - (\prod_l Z_l) P_a |\psi\rangle_{\mathcal{L}} = - (\prod_l Z_l) |\psi\rangle_{\mathcal{L}}.$$

- Correct these errors by acting with any  $Z_e$ 's to close the purple paths:



Where the last equality follows by a similar argument as for closed loops of X-errors.

- Again, this doesn't work if the loop of Z-errors stretches around one or both cycles of the torus:



$$a|00\rangle_L + b|10\rangle_L + c|01\rangle_L + d|11\rangle_L \neq a|00\rangle_L - b|10\rangle_L + c|01\rangle_L - d|11\rangle_L$$

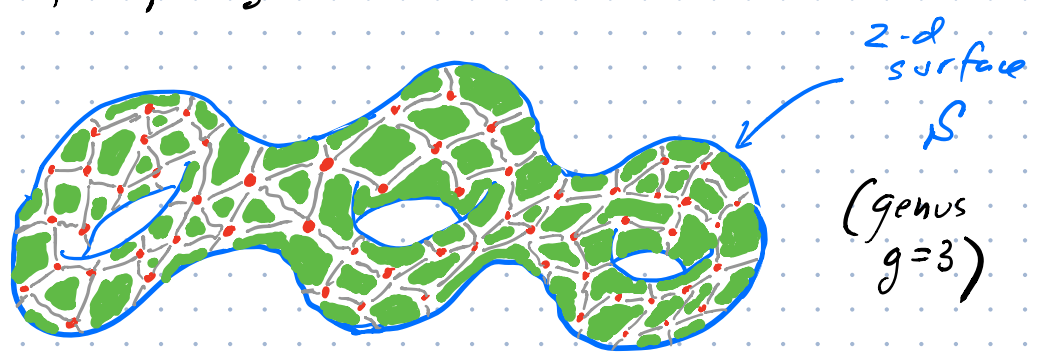
So again, can correct for all  
up to  $n$  Z-errors.

- Y-errors. Exactly as with discussion of Shor's code, since  $Y_i = i X_i Z_i$  & we can correct for  $X_i$  &  $Z_i$  errors independently, we automatically correct for up to  $n$   $Y_i$  errors.

- $E_k$ -errors. General errors are give by error operators  $E_k = aI + bX + cY + dZ$ . By same argument as in Shor's code, if our code corrects for  $X, Y, & Z$  errors, it also automatically corrects for  $E_k$  errors.

- Generalization

Above did not depend on having a square lattice. It works just as well for any 2-dimensional "graph" of qubits, i.e. for any arrangement of qubits on a surface, e.g.



- =  $P_a \leftarrow$  faces
- =  $V_a \leftarrow$  vertices
- = qubit  $\leftarrow$  edges

And code subspace w/  $P_a = V_a = +1 \forall a$  encodes  $2g$  qubits where

$g$  = genus of surface  $S$   
= # of "handles"

Only depends on topology of  $S$ .

## TOPOLOGICAL QUANTUM COMPUTING

Idea #1: realize the large set of encoding qubits as the states of a macroscopic material.

E.g. all the  $2n^2 = N$  qubits of the toric code might be electron spin states on the surface of a metal in the shape of a donut.

Even if it is macroscopic, so

$$N \sim 10^{20}$$

it would still only encode 2 code qubits.

Idea #2: Arrange the interaction energy

among the  $N$  encoding qubits so that noise is automatically suppressed — i.e. you pay a large energy cost to add 1-qubit noise to the system.

E.g. Here is a Hamiltonian (energy function) for the toric code encoding qubits which does the job:

$$H = -E_0 \left( \sum_{a \in \text{vertices}} V_a + \sum_{\tilde{a} \in \text{faces}} P_{\tilde{a}} \right)$$

- Since the eigenvalues of  $V_a, P_{\tilde{a}}$  are all  $\pm 1$  and they all commute, the possible eigen-energies of  $H$  are

$$E = -E_0 \left( \sum_a (\pm 1) + \sum_{\tilde{a}} (\pm 1) \right).$$

- Clearly the lowest-energy states are those with  $V_a = P_{\tilde{a}} = +1 \quad \forall a, \tilde{a}$

w/ energy  $E = -2u^2 E_0$ .

Note that these states are the toric code subspace, so they are precisely the 4-dim'ed space with basis

$$\{|00\rangle_L, |01\rangle_L, |10\rangle_L, |11\rangle_L\}.$$

- Flipping a qubit to make some  $V_a$  or  $P_a = -1$  costs an energy  $E_0$ , so if  $E_0$  can be made large enough, noise will be automatically suppressed.

Physically, the probability of a transition costing an energy  $E_0$  is typically suppressed by an exponential factor  $e^{-\beta E_0}$ , where the energy scale

$$k_B T \equiv \frac{1}{\beta}$$

is called the "noise temperature". It is often the actual temperature of the system. So for given  $E_0$ , noise in such a system can be exponentially suppressed by making the temperature small enough.

- Note that the Hamiltonian is local on the lattice of encoding qubits, because  $P_a$  and  $V_a$  are products of operators acting on "nearest neighbor" qubits. This is typical of actual materials, and gives some hope that such a system can be realized.

- Inensitivity to the detailed interactions

Claim: If you perturb the Hamiltonian by any local operator

$$H \rightarrow H + \delta H$$

$$\left( \delta H = \sum_a O_a \epsilon \quad \text{with } |\epsilon| \ll E_0 \right)$$

then:

- Ground states  $\{ |n_x n_y\rangle_L \}$  remain degenerate (to exponential accuracy)
- Still have finite energy gap  $\sim E_0$  protecting against local noise.

Reason: From analysis of toric code, know we need accumulation of  $\sim \sqrt{N}$  local operator actions ("errors") to change the code state. Thus  $\delta H$  needs to act  $\sim \sqrt{N}$  times to modify ground state energy implying it lifts the degeneracy of the code



subspace by an amount

$$\Delta E \sim \frac{1}{(N)!} \left( \frac{E}{E_0} \right)^N$$

(This estimate comes from perturbation theory.)

Note  $N$  a linear size of system  $\rightarrow$

$$N \sim \frac{L}{a} \leftarrow \text{atomic spacing}$$

so for macroscopic systems, the code subspace remains effectively degenerate.

• This means we don't need to exquisitely engineer our topological computer material: it just has to be "close enough". This gives hope that such materials might actually exist in nature.

$\rightarrow$  In fact, they do! Since around 1990 it was realized that certain states of matter have this kind of property. They are known as "topological phases of matter."

The best-known example are simply the surface electron states of a metal in a magnetic field at low temperatures. (An indication of the topological order is the observed "quantum Hall effect" behavior of these materials.) By now many different examples of topological phases are known.

- So, there are materials that "automatically" protect code qubits from noise. But how do we do computations with them? I.e., what are the analog of quantum gates and circuits for such materials?

Idea #3: Use "noise" as a computational resource!

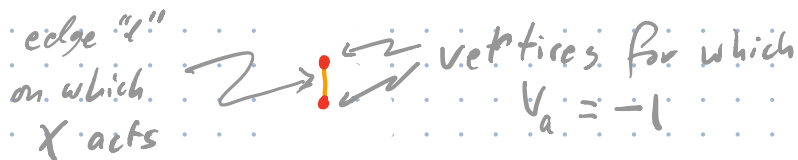
- In these topological phases, the "gap" — the high energy price,  $E_0$ , that one pays to excite the system above its ground states — can be used as a tool to control the specific state of the code subspace (the 4-dimensional subspace of ground states in the toric code case).
- Consider an energy eigenstate in which  $V_{a_0} = -1$  for one vertex  $a_0$ , and otherwise  $V_a = P_a = +1$  for all the other vertices and all the faces. This is a state localized at vertex  $a_0$  with energy  $2E_0$  above the ground state. We can think of this as a "V-particle" at  $a_0$ . (Physicists often call such states of localized energy "quasi-particles".)

- According to our topological Hamiltonian

$$H = -E_0 \left( \sum_a V_a + \sum_a P_a \right)$$

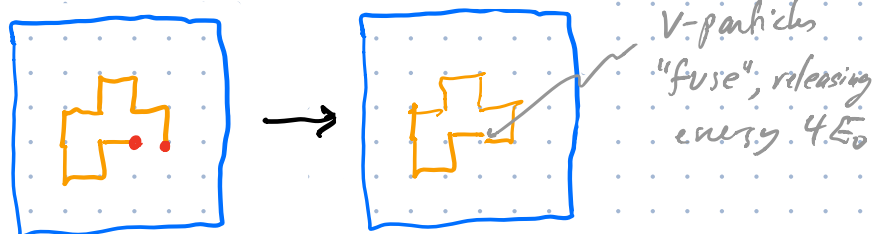
the energy of the  $V$ -particle does not depend on its location  $a_0$ . So, once we have expended energy  $2E_0$  to create it, it costs almost no energy to move it around.

- Consider now adding localized energy to flip a single qubit  $|\psi\rangle \rightarrow X_i |\psi\rangle$



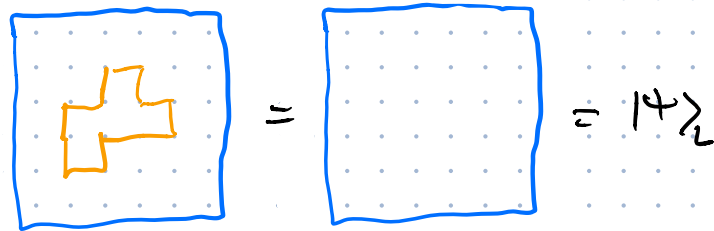
This has the effect of creating two neighboring  $V$ -particles as shown above (recall the discussion of  $X$ -noise earlier), thus costing energy  $4E_0$ .

- We can now move the two  $V$ -particles at will along some path

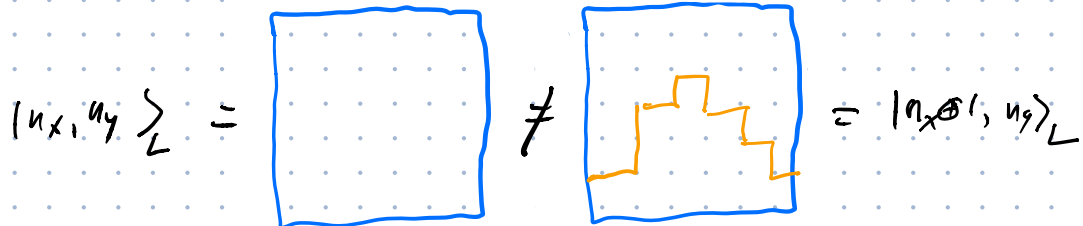


at no cost in energy. If we bring them back together we can cancel

them (gaining back energy  $4E_0$ ) and leaving the original state  $|\Psi\rangle_L$  changed by a closed path of spin-flips. But as we showed earlier, this does not change  $|\Psi\rangle_L$ :



as long as the closed path of spin-flips does not wrap one or both cycles of the torus:



- Thus pair-creating  $V$ -particles, moving one of them around a cycle & then annihilating them acts as a "gate" transforming the logical qubits.
- We can also make a  $|\Psi\rangle_L \rightarrow \mathbb{Z}_2|\Psi\rangle_L$  phase flip. This creates two neighboring "P-particles" — localized states where  $P_{\hat{a}_0} = -1$  for a face  $\hat{a}_0$ . Again, moving

one  $P$ -particle around a cycle of the torus & annihilating it gives a phase transformation of  $|\Psi\rangle_L$ .

- Similarly, a  $|\Psi\rangle_L \rightarrow Y_e |\Psi\rangle \propto X_e Z_e |\Psi\rangle$  creates a pair of "PV-particles" — a bound state of a  $P$ - & a  $V$ -particle.
- For topological matter realizing the toric code, these are all the independent excitations at our disposal, so we can only make gates by pair-creating  $P, V$ , or  $PV$  particles, moving them around, then annihilating them.
- It turns out that the set of 2-qubit gates one obtains in this way is not rich enough to permit general quantum computations. Essentially, the problem is that moving any of these particles along a path looping around another particle ("braiding" the particle paths) all we can get are simple phases

$$E_j E_k \xrightarrow{\text{braid}} e^{i\theta_{jk}} E_k E_j$$

where  $E_j \in \{P, V, \text{ or } PV\}$  are the

different possible excitations.

In the case of the toric code,

$$e^{i\theta_{jk}} = \begin{matrix} & P & V & PV \\ \begin{matrix} P \\ V \\ PV \end{matrix} & \begin{pmatrix} +1 & +1 & +1 \\ +1 & +1 & +1 \\ +1 & +1 & -1 \end{pmatrix} \end{matrix}$$

meaning that  $P$ - and  $V$ -particles act like bosons and  $PV$ -particles act like fermions.

- To be able to use the excitations of a topological phase to construct general quantum gates, it turns out that one needs the excitations to satisfy more general "non-abelian braid statistics"

$$E_j E_k \xrightarrow{\text{braid}} B_{(jk)}^{(lm)} E_l E_m$$

for some complex matrix  $B_{(jk)}^{(lm)}$  with non-zero entries when  $(lm) \neq (kj)$ .

Metals at very low temperatures, high magnetic fields, and subject to an external electric potential such that they are at certain "fractional quantum Hall plateaus" do show such non-abelian braid statistics!